



MONITORING AND EVALUATION PLAN

FOR

LESOTHO COMPACT

2008/09 - 2012/2013

2nd Amendment

May, 2012



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Acronyms

AC	Asbestos Cement
ACH	Automated Clearing House
ADR	Alternative Dispute Resolution
AIDS	Acquired Immune Deficiency Syndrome
AJR	Annual Joint Review
AMP	Activity Monitoring Plan
ART	Anti-Retroviral Treatment
BOS	Bureau of Statistics
BTS	Blood Transfusion Services
CBL	Central Bank of Lesotho
CIF	Compact Implementing Fund
CP	Conditions Precedence
DCSE	Design and Supervision Consultant Supervision Engineer
DHMTs	District Health Management Teams
DQA	Data Quality Assessment
DRWS	Department of Rural Water and Sanitation
EIA	Environmental Impact Assessment
EIF	Entry into Force
ERR	Economic Rate of Return
FA	Fiscal Agent
GIS	Geographical Information System
GoL	Government of Lesotho
HCs	Health Centers
HCWM	Health Care Waste Management
HF	Health Facilities
HFS	Health Facilities Survey
HIV	Human Immuno-deficiency Virus
HMIS	Health Management Information System
HPIU	Health Project Implementation Unit
HSS	Health Systems Strengthening
ICMP	Integrated Catchment Management plan
IE	Implementing Entity
IEA	Implementation Entity Agreement
IPR	Indicator Performance Report
IT	Information Technology
ITT	Indicator Tracking Table
LAA	Land Administration Authority
LARP	Land Administration Reform Project
LSPP	Land Survey and Physical Planning
M & E	Monitoring and Evaluation
MCA-Lesotho	Millennium Challenge Account- Lesotho Authority
MCC	Millennium Challenge Corporation
MIS	Management Information System
MOHSW	Ministry of Health and Social Welfare
MPMU	Metolong Program Management Unit

NHTC	National Health Training Centre
NIDC	National Identification Cards Project
NWCS	National Wetlands Conservation Strategy
OPD	Out-Patient Department
PIA	Project Implementation Agreement
PIU	Project Implementation Unit
PHAST	Participatory Hygiene and Sanitation Transformation
PMCS	Project Management and Construction Supervising Engineer
PMU	Project Management Unit
PSD	Private Sector Development
QDRP	Quarterly Disbursement Request Package
RWSS	Rural Water Supply and Sanitation
TB	Tuberculosis
TBD	To be Determined
ToR	Terms of Reference
UPVC	Un-plasticized Polyvinyl Chloride
US	United States
VIP	Ventilated Improved Pit
WASA	Water and Sewerage Authority
WASCO	Water and Sewerage Company
WB	World Bank
WHO	World Health Organization
WTW	Water Treatment Works

1. Overview

The Millennium Challenge Corporation (MCC) and the Government of Lesotho have agreed on the formulation and implementation of this Monitoring and Evaluation (M&E) Plan that specifies:

- i. How progress towards the Compact goal, objectives, and the intermediate results of each Project and Project Activity will be monitored;
- ii. A methodology, process and timeline for monitoring and evaluation of planned, ongoing, or completed Projects and Project Activities to determine their efficiency, effectiveness, impact and sustainability; and
- iii. Other components of the M&E Plan described below.

The Millennium Challenge Account-Lesotho (MCA-Lesotho) M&E Plan serves the following functions:

- a. Explains in detail how MCC and MCA-Lesotho will monitor the various Projects to determine whether they are achieving their intended results and measure their larger impacts over time through program evaluation and rigorous impact evaluations.
- b. Outlines any M&E requirements that MCA-Lesotho must meet in order to receive disbursements.
- c. Serves as a guide for program implementation and management, so that MCA-Lesotho staff, members of the Board of Directors, Implementing Entities' staff, beneficiaries, and other stakeholders understand the objectives and targets they are responsible for achieving, and are aware of their progress towards those objectives and targets during implementation.
- d. Establishes a process to alert implementers, stakeholders and MCC to any problems in program implementation and provides the basis for making any needed program adjustments.
- e. Outlines the designs and methodologies for conducting rigorous impact evaluation to demonstrate impact and attributions

This M&E Plan is considered a binding document, and failure to comply with its stipulations could result in suspension of disbursements. It may be modified or amended as necessary only with the approval of MCA-Lesotho Board and in accordance with MCC M&E Policy and the requirements of the Compact and any other relevant supplemental legal documents.

2. Introduction

Monitoring and Evaluation (M&E) is a set of planning, information gathering and synthesis, reflection and reporting processes along with necessary conditions and capacities required for the outputs of M&E. M&E is an integral part of program management and a key component of program design and implementation. MCA-Lesotho M&E process is incorporated into all phases of the program design and implementation cycle. It is an essential tool in ensuring that programs are managed in a systematic, effective, sustainable and result-based manner and provides a continuous assessment for enhancing the overall effect of an intervention. It tracks performance to establish whether the goals and objectives are being achieved. The details of how MCA-Lesotho monitoring and evaluation system is set-up are provided in chapters that follow.

2.1. The Process of Developing the Lesotho Compact M&E Plan

MCA-Lesotho adopted a participatory process with its implementing partners and extensive consultations with organizations in all the sectors where Compact Project is operational in developing this M&E Plan. The M&E Plan was developed and approved in 2008. The development of M&E components such as indicators and data sources were led by Implementing Entities (IEs) and Project Implementation Units (PIUs) and their relevant stakeholders. The MCA-Lesotho and MCC played a facilitation role. The Bureau of Statistics (BOS) was consulted to give guidance on the surveys needed to gather information for project outcome and impact indicators. Two workshops were conducted by MCC and MCA-Lesotho to refine the M&E framework and all PIUs participated.

2.2. Amendment of the M&E Plan

The M&E Plan (2008) was further amended and approved by MCA-Lesotho Board of Director and MCC in 2010 to reflect changes in the scope of Compact activities as well as aligning it with changes in the external socio-economic environment. Since the 1st amendment, there have been a number of changes that necessitated the 2nd amendment of the M&E Plan.

This 2012 version of the M&E Plan is therefore presented as the 2nd amendment of the MCA-Lesotho M&E Plan. The M&E Plan amendment of 2012 is aimed at guiding the strengthening of the current M&E system and improving implementation of the M&E Plan within the actual context of Compact implementation.

The amendment has specifically been necessitated by the changes in the economic and social environment for Compact implementation. In addition, the amendment was crucial for alignment of performance targets with the changes in scope and strategies for some Compact Projects. The review was also influenced by availability of baseline information, which also guides the setting of feasible targets for the Compact. The changes made specifically entailed updating of the program logic; review of performance indicators selected for each Compact activity; updating of baseline information and review of targets for Compact years. The detail on the modifications made is attached as Annex A.

2.3. Functions of the M&E Plan

The main functions of the M&E Plan are to:

- i. Ensure accountability for results and transparency
- ii. Outline how the efficiency, effectiveness, impact and sustainability of the Compact will be measured
- iii. Provide information for evidence-based management decision making at sector, program and project level
- iv. Support national policy making and development
- v. Preserve institutional memory

This M&E Plan shall serve as the implementation plan for monitoring and evaluating the MCA-Lesotho Compact. It is a comprehensive M&E framework to which all partners have committed to use as part of the project management tool for decision-making processes and for the results accountability functions of MCA-Lesotho. It describes all M&E activities that will be carried out during the remaining years of the Compact implementation, and it provides for the following:

- a) Introduction of the M&E plan covering the purpose, roles and functions of the M&E plan as well as the description of how it was developed
- b) Summary of the Compact goals and objectives that the project is intended to achieve
- c) The Compact Projects' monitoring and evaluation framework
- d) Detail analysis of outcome and some output indicators for various project components and the monitoring and evaluation pipeline linking indicators at different levels
- e) A description of the data collection and management system
- f) A detail description of program and impact evaluation designs and methodologies
- g) A detail description of information products and reports to be produced by MCA-Lesotho
- h) A description of management and human and financial resources needed for the implementation of the M&E Plan

3. Program Description

3.1. Overview of Program and Projects

The Lesotho Compact consists of three main projects: (a) the Health Sector Project; (b) the Water Sector Project and (c) the Private Sector Development (“PSD”) Project.

3.2. Project Activities

3.2.1. The Health Sector Project

The Health Sector Project is aimed at mitigating the negative economic impact of poor maternal health, HIV&AIDS, tuberculosis (TB) and other diseases by strengthening the health care system of the country. The Project is intended to benefit all Basotho country-wide, primarily adult women and men, who are HIV positive and in need of ART and those who are already enrolled in ART services. The poor urban and rural citizens are expected to benefit from improved accessible health services with lower risk of exposure to TB co-infection and safe birth deliveries. Secondary beneficiaries such as health personnel will gain skills and have equipment to lower the risk of infection in the work place. Specifically, the projects aims to improve health service delivery including expanded hospital based Antiretroviral Treatment (ART) and to increase usage of health services.

The Health Sector Project activities include: (a) renovation of up to 138 health centers in order to establish a national stock of health centers that achieve a common standard; (b) establishment and integration of ART clinics in, and improvement of management of up to 14 hospital out-patient departments (“OPDs”); (c) construction and equipping of a new central laboratory and training laboratory staff; (d) construction of a dedicated, central facility for collecting and processing blood and provision of mobile blood collection and storage equipment; (e) an increase in the number of dormitories and staff residences at Lesotho’s National Health Training College (“NHTC”); (f) strengthened health systems through increased capacity for pre-service and in-service nurse training and improved district-level public health

management; and (g) improved occupational health and safety and medical waste management practices.

3.2.2. The Water Sector Project

The objective of the Water Sector Project is to improve water supply for industrial and domestic needs, and enhance rural livelihoods through improved watershed management. Specifically, it will provide essential infrastructure to deliver water to garment and textile operations, and rehabilitate and expand the water system to achieve a reliable supply of water and better sanitation for domestic users in selected urban, peri-urban and rural areas.

The Project will also enhance rural livelihoods through improved watershed management. The Water Sector Project activities include: (a) construction of a bulk water conveyance system and establishment of a program management unit for the Metolong Dam activity; (b) extension and rehabilitation of the urban and peri-urban water network; (c) provision of improved water supply and sanitation services for an estimated 25,000 households or approximately 150,000 persons through construction of ventilated improved pit latrines and water systems; and (d) restoration of degraded wetlands at two areas in the highland pastures, identification of alternative livelihoods, integrated catchment management plan and preparation of a strategic action plan to support development of a national watershed management and wetlands conservation plan.

3.2.3. The Private Sector Development Project

The Private Sector Development (“PSD”) Project is intended to stimulate investment by improving access to credit, reducing transaction costs and increasing participation of women in the economy.

The PSD Project activities include: (a) the Civil Legal Reform Project (CLRP) Activity aimed to reform the civil legal system through restructuring of the Civil Court operations and management, including creation of case management systems for courts, establishment of an independent and fully functional Commercial Court, and promotion of alternative dispute resolution (“ADR”) through establishment of small claims procedure within the Magistrate

Court and a mandatory court-annexed mediation for the High Court, Magistrate Court Maseru and the Commercial Court of Lesotho b) the Credit Bureau Project Activity aimed to establish a register that facilitates the exchange of information and screening of debtors; (c) the National Identification Project Activity aimed to support production and issuance costs of national identification cards (“NIDC”), establishment of the necessary legal and regulatory reforms for data privacy and establishment and operations of a cross-border credit bureau; (d) the Debit/Smart Card Project Activity aimed to rollout point sale of devices and smartcards as delivery channels aimed to expand the outreach of financial services to the unbanked and under-banked population in Lesotho.

The Land Administration Reform Project (LARP) Activity is aimed at policy and legal reform of land administration in Lesotho through creation of the Lesotho Land Act and the Land Administration Authority Act, implementation of a systematic land regularization program for urban and peri-urban areas, improvement of the rural land allocation process and modernization and improvement of land administration services through development of a new Land Administration Authority (“LAA”); and (f) Gender Equality in Economic Rights Activity aimed at implementation of a training and public awareness program dedicated to promoting gender equality in economic rights and building of local capacity to continue advocacy.

3.3. Economic Analysis

Through the economic analysis conducted using the Economic Rate of Return modeling, the Lesotho Compact is anticipating to realize significant economic benefits which are expected to provide impacts between 10 and 20 years following the Compact’s interventions. The aim of the Lesotho Compact is to provide an economic rate of return double the Lesotho economic growth rate of 4.4% recorded in year in 2008, or to equal to or greater than the hurdle rate¹, which MCC has set at 9%.

¹ The Hurdle rate is the average Gross Domestic Product (GDP) growth rate over three years from 2005 -2007.

The estimates of economic impact of the proposed Compact Activities are based on the assumption that improvement of health status of Basotho through health systems strengthening and infrastructure; provision and access to water for household and industrial use; and removing barriers to foreign and local private sector investment will promote high and sustained economic growth and create a fiscal space for increasing priority in engaging in economic activity in Lesotho. These are ultimately expected to contribute to reduction of poverty in Lesotho through promotion of economic growth.

To derive the economic goal, a cost-benefit analysis was conducted for Compact Activities based on MCC's economic analysis criteria. An internal economic rate of return (ERR), which is a comparison of the costs and benefits of a public investment, was calculated in 2007 (see Table 1 below); one ERR was subsequently revised in 2009. The ERRs for the other Activities will be revised whenever there has been a significant change in the scope of the Projects or Activities.

Table 1: Summary of ERR Values for the Respective Compact Activities

Project/Activity	ERR (Baseline)	ERR (Latest)	Comments
a) Improved health status for Basotho			
Health Project	12.3%	12.3%	No revision made to date
b) Improved access to water for domestic and industrial use			
Urban Water Supply	21.6%	21.6%	No revision made to date
Rural Water and Sanitation	0.7%	0.7%	No revision to made to date
Metolong Dam	24%	24%	No revisions made to date
c) Increased Foreign and Domestic Investment			
Land Administration Reform (LARP)	18.2%	18.2%	No revision made to date
Civil Legal Reform (CLRP)	13%	13%	No revision made to date
National ID/Credit Bureau	15%	10%	1 st revision made
Debit/Smart Card	-	-	No ERR calculated

3.4. Beneficiary Analysis

Beneficiary analysis is used to estimate the impact of Compact Projects on the poor. Beneficiaries of the projects are individuals or households who realize improved standards of living, primarily through improved income, as a result of the economic gains generated by the investment. The participants on the other hand are defined as groups of people who will utilize services provided through MCC funding or enjoy outputs from the Projects. In 2008, the Lesotho Compact was planned to benefit all Basotho. However, following a change in MCC guidelines for calculating beneficiaries, the number has declined; approximately 1,041,422 people are expected to benefit from the Compact.

3.4.1. The Health Sector Project

In 2008, the Health Sector Project was expected to benefit Basotho country-wide; primarily adult women and men who are HIV positive persons in need of ART and those who are already enrolled in ART services. Following a change in MCC guidelines for calculating beneficiaries, and focusing exclusively on those beneficiaries directly supported by the Project, the number of beneficiaries was reduced to 752,003 as of May 2010. The poor urban and rural citizens are expected to derive income benefits from improved accessible health services with lower risk of exposure to Tuberculosis co-infection and safe birth deliveries. Secondary beneficiaries such as health personnel are to gain skills and have access to improved equipment to lower the risk of infection in the work place.

3.4.2. The Water Sector Project

Within the Water Sector Project, the Metolong Dam – Bulk Water Conveyance System Activity was expected to provide bulk water (75 ML) to Roma, Mazenod, Morija and the Thetsane/Tikoe area thereby increasing the capacity of water supply for domestic and industrial use within these areas.

The Urban and Peri-Urban Water Supply Activity is expected to benefit the urban and peri-urban populations who are currently not being served and who do not have access to reliable/consistent water supply. The Activity is planned to also improve access to clean and reliable

water for people in the Semonkong area who are currently using unprotected and unsustainable water systems.

The Rural Water Supply and Sanitation Activity is expected to increase income and improve the quality of life for people living within 150 meters of the 250 water systems that will be installed in rural areas of Lesotho. The Activity will also provide 30,000 rural households with VIP latrines.

The Wetlands Restoration and Rehabilitation Activity (“Wetlands Activity”) is intended to help Lesotho address widespread overgrazing and degradation of alpine wetlands prevalent throughout the highlands which are an important ecological and economic resource to the country and its people. The Activity is essentially a pilot-scale Activity which will be implemented to design and apply restoration measures and examine alternative land management prescriptions. The pilot areas for the Activity are; Lekhalong-la-Lithunya on the borders of the Botha-Bothe and Mokhotlong districts; Koti-Sephola in Mokhotlong district; and Letseng-la-Letsie in Quthing district. An average of 15 villages were identified to have a direct impact at each catchment site.

In summary, the Water Sector Project is estimated to benefit a total of 291,874 people in the country.

3.4.3. The Private Sector Development Project

The Private Sector Development (PSD) Project is expected to directly benefit Basotho by creating a conducive investment climate increasing access to credit and other financial services in the country. The total number of people expected to derive income benefits from the Private Sector Development Project is 368,319 people. The Debit/Smart Card Activity is expected to benefit over 50,000 recipients.

The Land Administration Reform Activity will decrease the time to process land transactions, provide formal land rights and tenure security to informal land occupants, increase mortgages/use of land as an economic asset and strengthen formal land markets. The Activity

is estimated to directly benefit at least 55,000 urban households by delivering formally recognized titles to land assets. Beneficiaries of this Activity are the members of the subset of households with an individual expected to obtain a bank loan using a mortgage deed; deeds are obtained using a land title which is granted during the process of land rights formalization.

The Civil Legal Reform Activity aims at reducing the backlog of commercial cases of the High Court through timely and less costly resolution of cases, thereby directly benefiting financial services providers such as banks and Basotho at large and particularly the poor population. The Activity is aimed to reduce litigation and transaction costs for settling contract disputes, as well as reduced risk due to unenforceable contracts. The key beneficiaries of the Civil Legal Reform Activity will be the financial institutions, business firms and individuals. This Activity is expected to benefit 70% of Lesotho's formal private sector employment. This proxy was used in absence of reliable data on the number of individuals that will increase their income as a result of the new commercial court system.

The Gender Equality in Economic Rights Activity aims at reducing the barriers that prevented the participation of women in economic activities through legal framework, training and awareness and hence benefit all Basotho in economic activities. The training and public awareness to promote Gender Equality in Economic Rights Activity is expected to have a positive impact across the entire economy due to increased awareness of economic rights and practices.

The NIDC and Credit Bureau Activities will increase private sector access to credit, particularly among the “banked” portion of the population. It will also facilitate credit use among significant segments of those currently “un-banked”.

The summary of Compact beneficiaries is provided in the Table 2 below:

Table 2: Summary of Compact Beneficiaries*

Item	Project	Number of Beneficiaries
1	Health Sector Project	752,003
2	Water Sector Project	291,874
	Metolong Dam	124,248
	Urban and Peri-Water	124,248
	Rural Water Supply and Sanitation	112,626
	Wetlands Restoration and Conservation	55,000
3	Private Sector Development Project	368,319²
	Land Administration Reform (LAR)	99,000 ³
	Civil Legal Reform (CLR)	154,508
	Debit/Smart Card	50,000
	National Identification Card (NIDC)	108,632
	Overall Compact	1,041,422

*The Compact total and Project-level totals account for the overlap of beneficiaries across multiple Projects or Activities.

² This estimate originally included the Automated Clearing House Activity, which was expected to benefit all of the PSD Project beneficiaries. The Project-level estimate will be adjusted given the cancellation of the ACH.

³ This estimate comprises members of households where leases are used as collateral for loans. It assumes that approximately half of the households receiving loans through the LARP will use them as collateral for loans. These assumptions will be reviewed when the ERR is revised, and the beneficiary estimates may change.

4. The Program Logic

4.1. The Program Logic for Lesotho Compact

The program logic model for Lesotho Compact is graphically presented in Figure 1 below. The Logic Model outlines the sequence of outcomes and intended causality from the Compact objectives and it shows the conceptual foundation on which the Lesotho Compact Monitoring and Evaluation System is built.

As shown in figure 1 below, the overall impact of Lesotho Compact is to contribute to the reduction of poverty in Lesotho through economic growth. The improvements in the health infrastructure; strengthening of health systems and intensified social mobilization are expected to contribute to increased access to improved health service delivery throughout the country as well as increased utilization of health services by the population. Improvement in the water sector will, on the other hand, lead to increased access to potable water by business community and households in rural and urban areas, which will contribute to reduced water borne diseases, saved water collection time and improved availability of water. Similarly, the Private Sector Development Project will enable access to economic resources and rights by all sectors of the population; hence increased investment and productivity, economic growth and reduced poverty.

MCA-Lesotho Program Goal:

Poverty reduction through economic growth

Health Project Overall Outcome:
Increased access to life-extending ART and essential health services by providing a sustainable delivery platform

Intermediary Outcomes

All Activities: Improved health service delivery, increased utilization and improved quality of health service delivery.

Health System Strengthening: The health sectors system is improved

Health Centers Activity: Improved Health Centers are operational and maintained at standards

ART Clinics Activity: Hospital based ART services are expanded

Central Laboratory Activity: Laboratory services are improved

Blood Transfusion Services Activity:
Blood transfusion services are improved

National Health Training College Activity: Health professionals are trained and retained

Water Project Overall Outcome:
Improve the water supply for industrial and domestic needs, and enhance rural livelihoods through improved watershed management

Intermediary Outcomes

Metolong Dam – Ancillary Works Activity: Bulk water supply to lowlands is increased

Urban Water Supply Activity: Urban domestic water supply is improved

Rural Water Supply and Sanitation Activity: Rural water supply is expanded and sanitation is improved

Wetlands Rehabilitation and Management Activity: Watershed management is improved

Private Sector Development Project Overall Outcomes: Stimulate investment by improving access to credit and increasing the participation of women in the economy

Intermediary Outcomes

Credit Bureau and National Identification Card Activity: Access to credit is expanded

Land Reform Activity: Use of land as collateral is increasing

Civil Legal Reform Activity: Commercial dispute resolution is increased

Debit/Smart Card Activity: Access to financial services in Lesotho increased

Training and Public Awareness to Support Gender Equality in Economic Rights: Knowledge, attitude and practices of women's economic rights are improved.

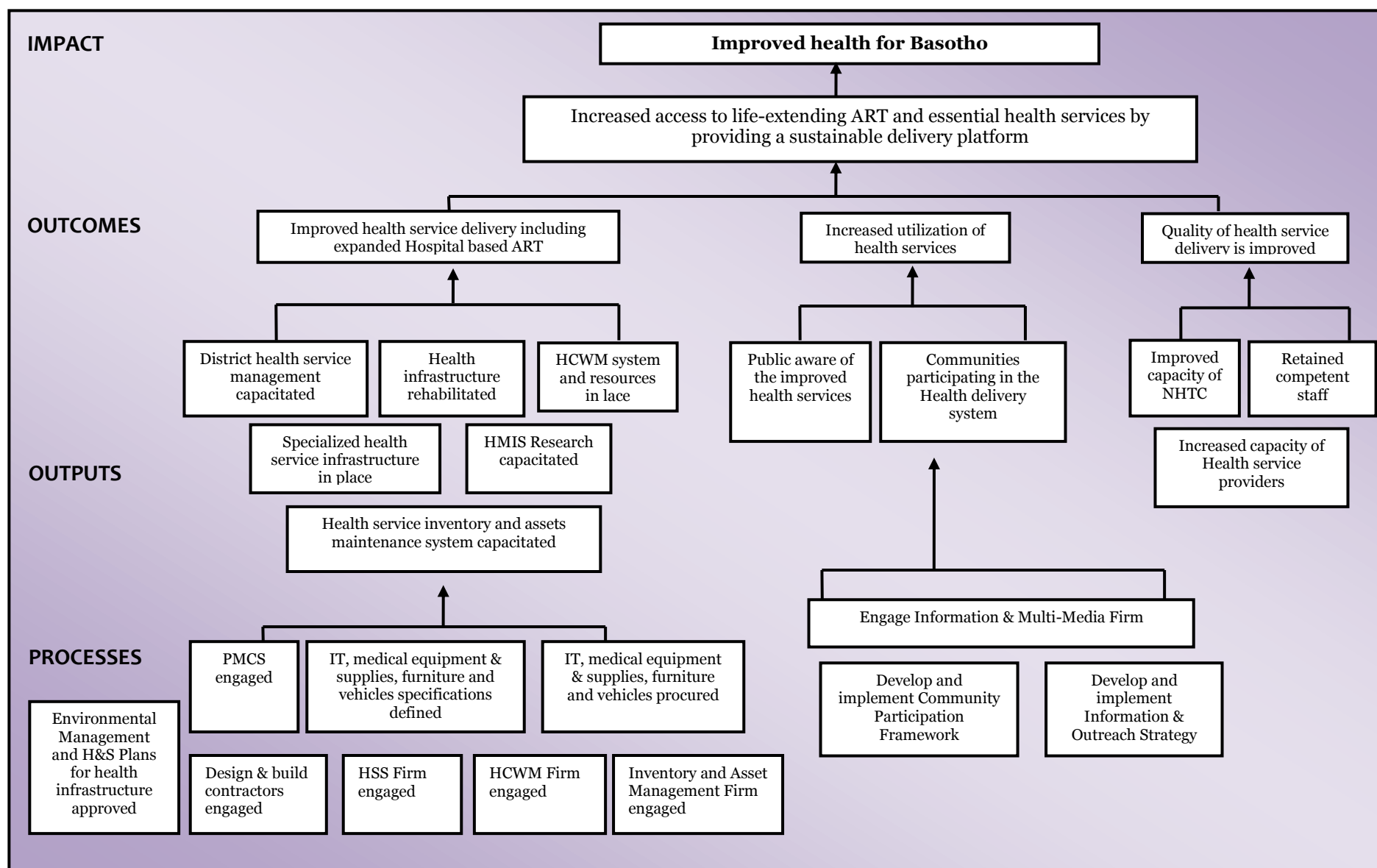
4.2. The Program Logic for Specific Compact Projects

4.2.1. The Logic Model for Health Project

The Logic Model for Health Sector Project is outlined in Figure 2 below. The Project activities include: improved health infrastructure which covers rehabilitation and equipping of up to 138 Health Centers; construction and equipping of a new central laboratory; provision of furniture and equipment for regional Blood Transfusion Services; and training of laboratory staff which will contribute to improved specialized health services. In addition, the Project aimed to construct additional dormitories and staff housing at National Health Training College (NHTC) in an effort to increase the supply of health personnel. The Health Sector Project also expects to develop and to implement a national health informatics system and electronic medical records system, as well as realize decentralized health service management whereby the District Health Management Teams (DHMTs) will be capacitated with necessary resources such as computers and vehicles. Equally important, the Project will focus on improved Health Care Waste Management (HCWM) whereby the Project will assist in the revision of the national HCWM Policy and Plan and in improving occupational safety and health care waste management practices. The Health Sector Project will provide support for improved maintenance and inventory and assets management.

The overall performance of the Project will be guided by availability of quality and consistent data hence improved Health Management Information System with improved case reporting, disease detection as well as patient tracking and case management and health research. It is imperative that the public and health personnel are aware of the envisaged developments in the Sector; and participate in the Project activities as advocated by Information and Outreach activities. With all these activities undertaken, it is expected that the health quality of health service delivery as well as the usage of health services will improve, therefore contribution to improved expanded access to essential health services as well as hospital-based ART. Ultimately, the Project is aimed to contribute towards reduction of mortality and morbidity, thereby contributing to improved health status of Basotho.

Figure 2: The Program Logic for the Health Sector Project



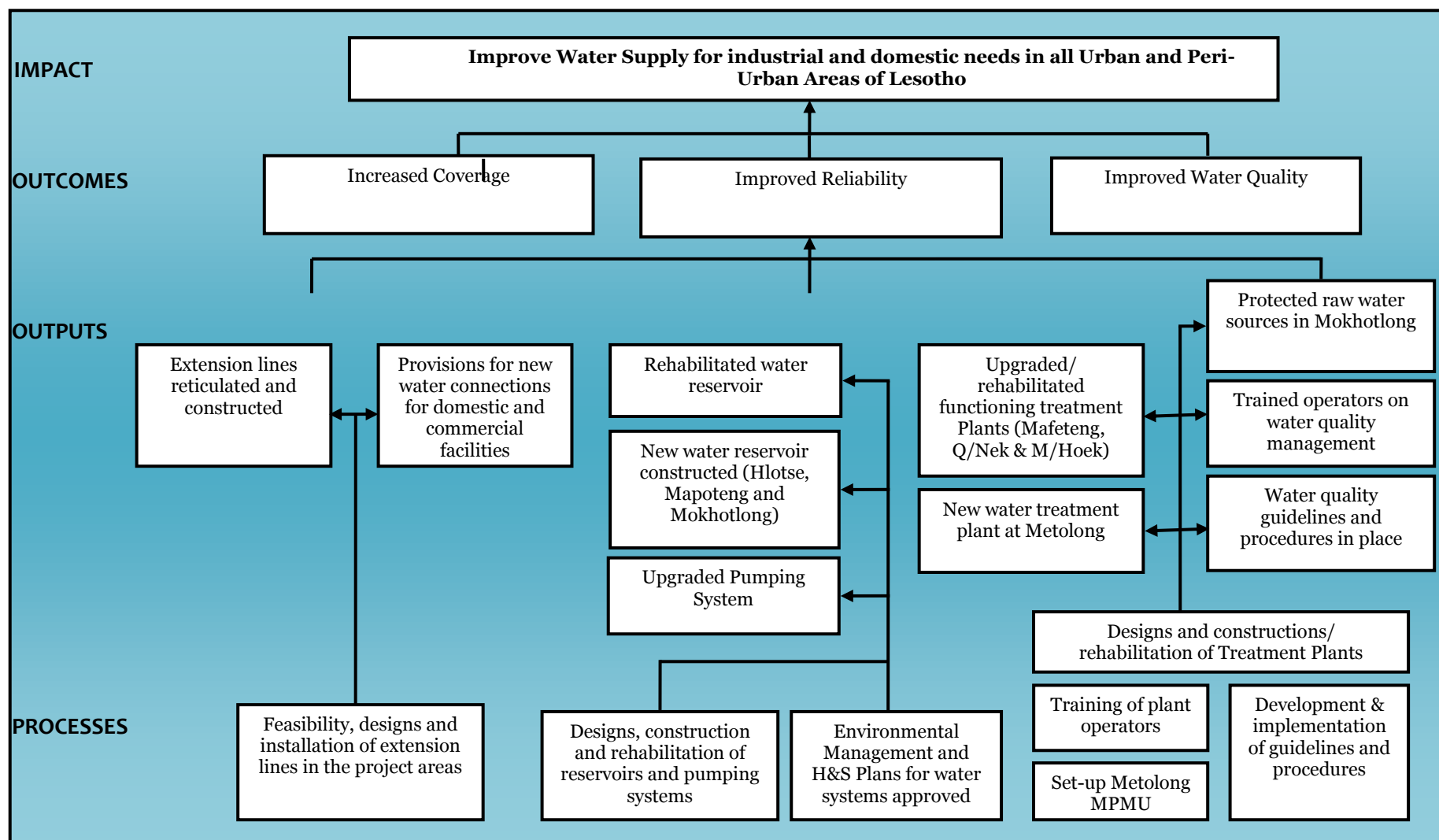
4.2.2. The Logic Models for Activities under the Water Sector Project

The Logic Models for specific Activities under Water Sector Project are provided in Figures 3, 4 and 5 respectively, below.

4.2.2.1. The Logic Model for Urban and Peri-Urban and Metolong Bulk Water Conveyance Activities

Figure 3 below shows that the construction of a bulk water conveyance system, extension and rehabilitation of the urban and peri-urban water networks will result in increased coverage, improved reliability as well as improved water quality as a way of alleviating chronic shortage and need of adequate water supply for domestic and enterprise use. The Urban and Peri-Urban Water Activity is expected to improve coverage, reliability and quality of water to urban centers of Maseru, Mazenod, Roma, Morija and Teyateyaneng, Mapoteng and Mokhotlong, leading to improved water supply for industrial and for domestic use. The Activity is ultimately expected to stimulate enterprise growth and therefore contribute to increased employment in water intensive industries in future.

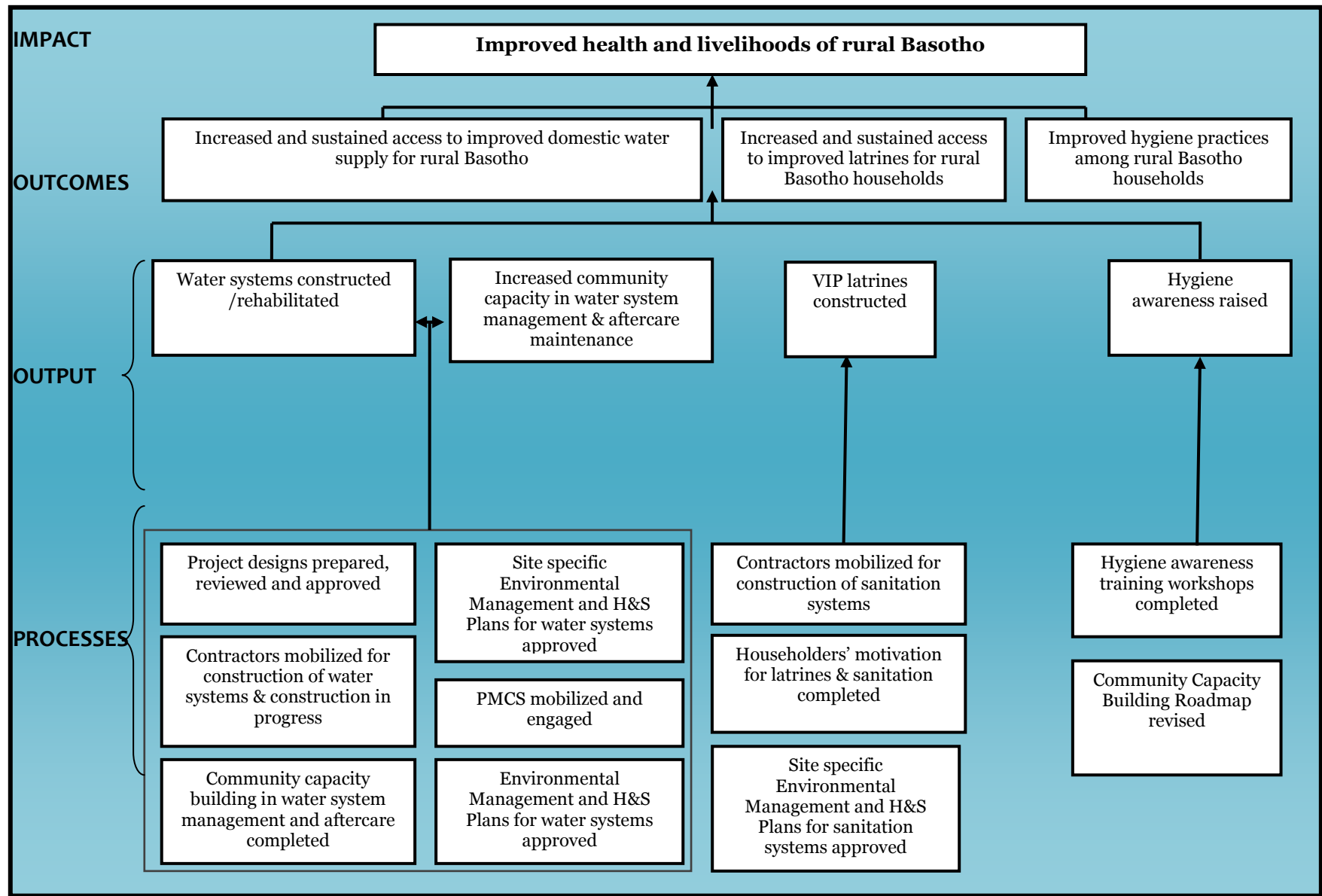
Figure 3: The Program Logic for Urban and Peri-Urban & Metolong Bulk Water Conveyance Activities



4.2.2.2. The Logic Model for Rural Water and Sanitation Activity

Figure 4 below provides program logic for the Rural Water Supply and Sanitation Activity. The Activity will be implemented throughout the 10 districts of Lesotho in three construction phases. The construction of the water system and Ventilated Improved Pit (VIP) latrines are expected to result in increased and sustained access to improved water supply and sanitation facilities for the rural populations. Through education and training in hygiene awareness, the Activity will result in improved hygiene practices among rural communities. Collectively, the activities will improve the health and livelihoods of the targeted groups.

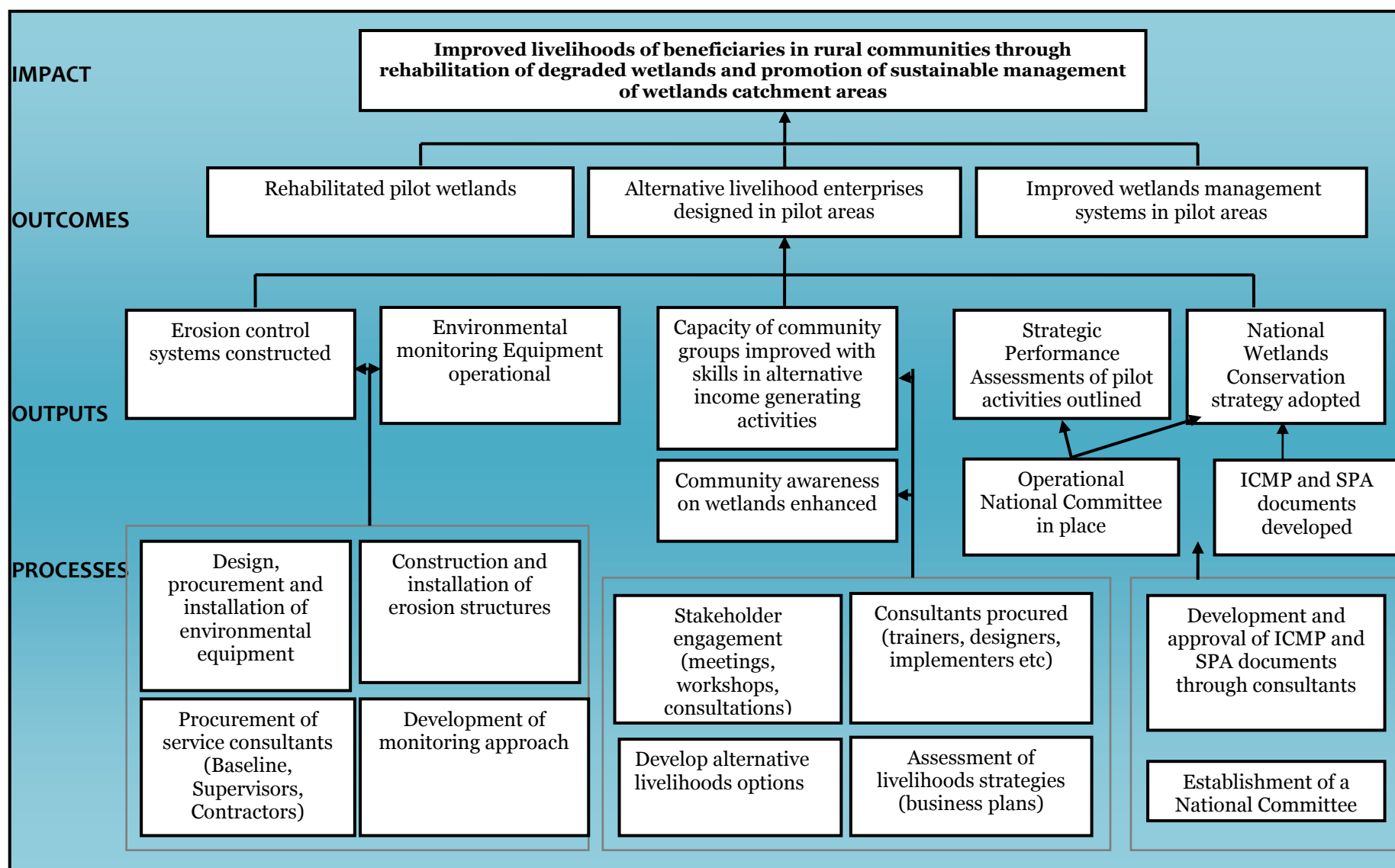
Figure4: The Program Logic for the Rural Water and Sanitation Activity



4.2.2.3. The Logic Model for Wetlands Restoration and Rehabilitation Activity

The Wetlands Restoration and Rehabilitation Activity (see Figure 5 below) will improve livelihood of beneficiaries in rural communities through rehabilitation of degraded wetlands within the catchment areas; improved wetlands management systems as well as promotion of alternative livelihoods enterprises for communities within the catchment areas. This will be done through a pilot-scale project which will be implemented to design and apply restoration measures and examine alternative land management prescriptions at three target study areas, namely Lekhalong-la-Lithunya on the borders of the Botha-Bothe and Mokhotlong Districts, Koti-Sephola in Mokhotlong District and Letšeng-la-Letsie in Quthing District.

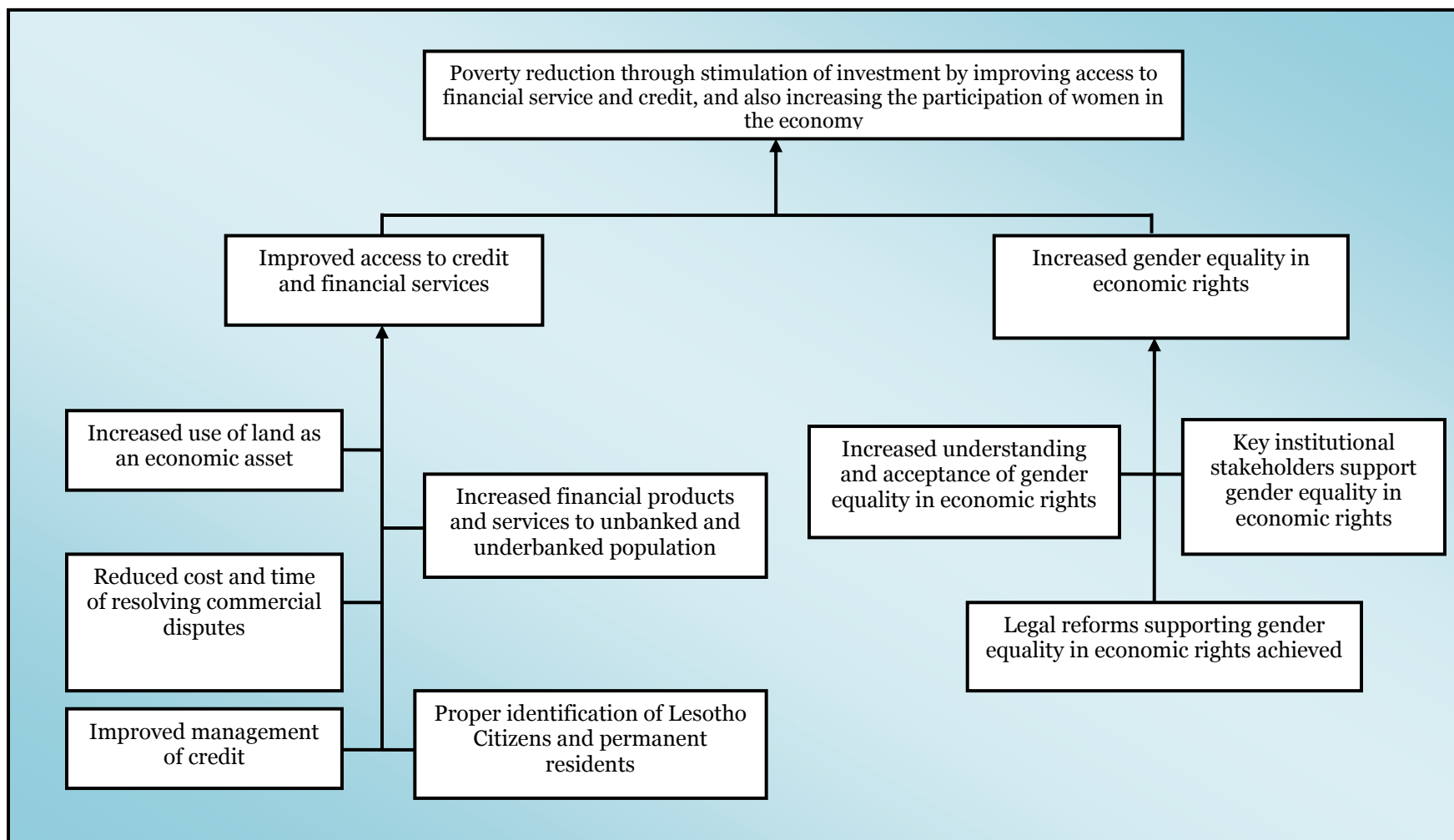
Figure 5: The Program Logic for Wetlands Restoration and Rehabilitation Activity



4.2.3. The Private Sector Development Project

As outlined in Figure 6, PSD Activities, namely, the Land Administration, the Civil Legal Reform, the Credit Bureau, as well as the Debit/Smart Card will lead to a reduction of both transaction and finance costs and further promote access to finance for expanding business activity in the country. The National Identification Card Activity will, on the other hand, promote effective identification of nationals hence reducing the cost and risk of doing business. Lastly, the Gender in Economic Rights Activity is aimed at increasing gender equality and participation of women in economic activities. All the activities under PSD are expected to create an environment that promotes both local and foreign investment, ultimately contributing towards increased economic growth and poverty reduction.

Figure 6: The Program Logic for the Private Sector Development Project



5. Monitoring of Lesotho Compact

The details on monitoring of Lesotho Compact are provided in the sections that follow:

5.1. The Indicators

The MCA-Lesotho M&E Plan is based on the results-based approach. The Plan provides a platform for systematically and regularly tracking performance of the Lesotho Compact at the process, output, outcome and goal levels.

5.1.1. Programme Level Indicators

The M&E Plan has selected a set of indicators that are tracked within different levels of Compact cycle. The process milestones measure the key benchmarks being achieved at the “*Institutional Level*.” The output indicators are the “*Project Activity Level*” and they measure the direct results of the project activities in order to provide an early measure of their likely impact. The outcome and impact indicators, which are at the “*Program Outcome and Impact Levels*”, measure the intermediate and final results of the individual projects as well as the overall Compact programme. The detailed description of the performance indicators at each level is provided in Indicator Framework attached as Annex B.

5.1.2. Activity Level Indicators

In addition to the above-mentioned indicators, regular monitoring and reporting for each of the individual Compact Activities is guided by the Activity Monitoring Plans (AMPs) developed by each Implementing Entity through support from MCA-Lesotho M&E Section. The AMPs define a comprehensive list of process milestones, output and short-term outcome indicators. These indicators are being reported at the level of the Implementing Entity (IE) and/or Project Implementation Unit.

5.2. Common Indicators

Additionally, MCA-Lesotho M&E Plan has identified a set of Common Indicators applicable to Lesotho Compact in line with the MCC Guidance on Common Indicators (February 2011). The selected Common Indicators are included as part of the Indicator Framework in Annex B.

5.3. Baseline Data and Targets

5.3.1. Baseline Data

The M&E Plan has used official and the most reliable data sources available to obtain baseline data for the selected indicators. The baselines figures have been derived from various sources including administrative reports, survey reports, country reports and other publications.

Baseline data have been cited from the following sources:

- a) WHO TB Annual Reports;
- b) Ministry of Health and Social Welfare (MOHSW) Health Management Information System (HMIS);
- c) MOHSW Health Facility Accreditations Reports;
- d) MOHSW Annual Joint Review Reports;
- e) The Lesotho Demographic Health Survey
- f) World Bank Doing Business Reports;
- g) Central Bank of Lesotho Reports;
- h) Deed Registry Reports;
- i) Swedesurvey Baseline Report;
- j) Bureau of Statistics Surveys Reports;
- k) Wetlands Baseline Survey Reports etc
- l) WASCO Customer Satisfaction Survey
- m) Feasibility/Due Diligence Reports

The M&E Plan has made a budget provision for establishing collaborations and partnerships with local research institutions, and government entities, particularly the Bureau of Statistics (BOS), which is responsible for conducting national surveys as well as management of national

database. The collaborations and partnerships are aimed at supporting the commissioning of surveys for obtaining baseline and follow-up data for measuring Compact indicators. The surveys that have been identified as sources of information for baseline and for follow-ups, include, but are not limited to:

- a) Continuous Multipurpose Survey (CMS)
- b) The Impact Evaluation Multipurpose Survey (IEMS)
- c) The Enterprise Survey
- d) Health Facility Survey
- e) The Patient Exit Survey
- f) The Staff Satisfaction Survey
- g) Knowledge, Attitudes, Practice and Coverage Survey

MCA-Lesotho signed an Implementing Entity Agreement (IEA) with the BOS in March 2009 worth US\$ 2,728,745.00. Through the partnership, BOS is supporting MCA-Lesotho in collecting, processing and analyzing key data for measurement of performance of the Lesotho Compact. MCA-Lesotho, in turn, provides technical, human and material support to BOS to be able to effectively carry out the mandate of the IEA. To date, the BOS has assisted MCA-Lesotho to collect baseline information through the first rounds of CMS and IEMS specifically focusing on health, water and sanitation issues.

Additionally, MCA-Lesotho has completed implementation of the baseline phase of the all above-mentioned surveys through support from external consultancies and National Opinion Research Centre (NORC), which is a firm conducting independent impact evaluation of Compact Projects and Activities.

5.3.2. Targets

The M&E Plan has further projected the targets for each of the performance indicators throughout the Compact years. The projections were derived on the basis of the baseline information and taking into account the end of Compact targets for Lesotho Compact as well as the assumptions for economic benefits expected to be derived from the Projects. The targets for each indicator are outlined in the Indicator Performance Table attached in Annex C.

5.4. Data Collection Plan

The data collection plan for routine data in the M&E Plan is guided by the information requirements for measuring Compact performance in line with the indicators defined in Annex B. The plan includes both qualitative and quantitative methods for collecting data and reporting on results. MCA-Lesotho has developed tools and instruments for data collection as stipulated in the respective IEs/PIUs' AMPs. The tools are aimed to be used to regularly and systematically track the process milestones, outputs and outcomes at both activity and programme levels.

5.5. Methods of Data Collection

The methods of measurement are outlined for each indicator, to ensure uniformity of data collection across all levels and time. The methods of measurement for the indicators were jointly designed by MCC and MCA-Lesotho.

MCA-Lesotho ensures that the methods used to collect data are continuously in compliance with ethics standards for research involving human subjects.

The data collection methods include:

- a) Data compilation from program reports
- b) Record abstraction from key monitoring reports
- c) Interviews with key informants
- d) Review of national documents
- e) Health facility surveys
- f) HMIS records (In patient and Out Patient registers, ART and TB registers, etc)
- g) PIU/IE periodic progress reports
- h) Population-based and target group surveys
- i) Special studies
- j) Modeling/estimation
- k) Surveillance etc

5.5.1. Frequency of Data Collection

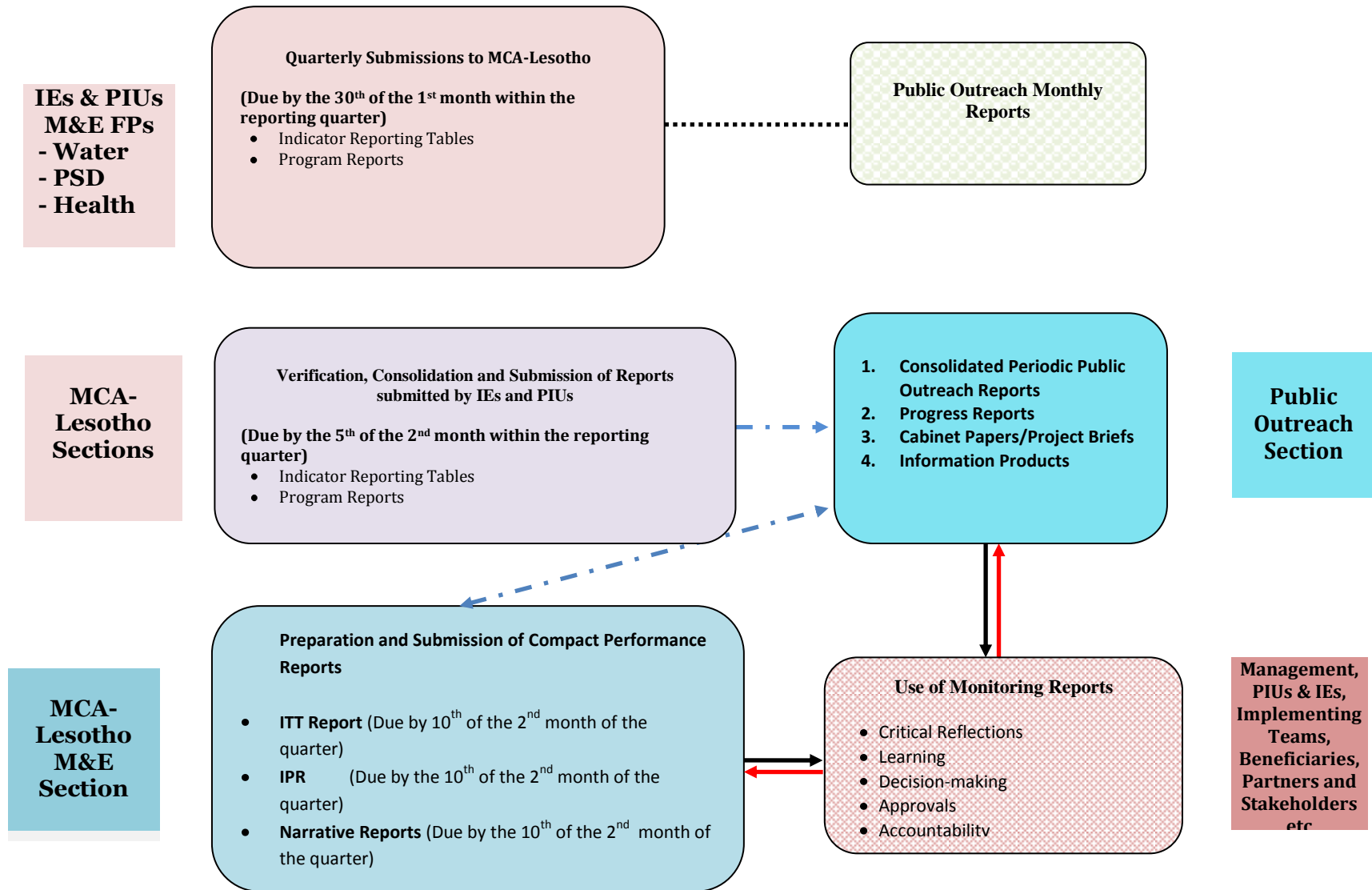
Data will be collected by the implementing entities throughout Compact implementation. Monitoring data will be collected continuously or periodically depending on the type of indicators being measured, while evaluation data will be collected at baseline, mid-term, at the end of the Compact, and/or post-Compact. The frequency of data collection for each indicator is defined in the Indicator Framework attached as Annex B.

5.5.2. Flow of Data

The IEs and PIUs, through coordination of identified M&E Focal Persons (FPs), are responsible for collection and reporting of routine data to MCA-Lesotho in line with AMPs and defined reporting timelines shown in Figure 7 below. The data is reported through Indicator Reporting Tables. Additional information is provided through activity reports as well as reports submitted by consultants as contractual obligations. These reports are circulated among the MCA-Lesotho Sections for verification and/or approvals where applicable before use by the M&E Section as official sources.

MCA-Lesotho M&E Section on the other hand is responsible for providing capacity, coaching and mentoring of the M&E Focal Persons to ensure that they are able to effectively carry out their mandate. This is achieved through organized trainings on M&E, on-the-job training, coaching and mentoring as well as regular supervision.

Figure 7: The Data Flow Chart



5.6. Disaggregating Data by Gender, Income and Age

The data management system outlined in this M&E plan takes into consideration the disaggregation of data by gender, income and age of the beneficiaries where applicable. This enables MCA-Lesotho to track and report on the outcome and impact of the program accruing to the different groups within the targeted populations. The type of data disaggregation for indicators is detailed in Annex B.

5.7. Data Quality Review

The M&E Plan has build in periodic external and internal data quality audits. MCA-Lesotho will conduct both external and internal data quality reviews to regularly assess the quality of performance indicators data and the data collection methods. Data quality reviews will be used to verify the consistency and quality of data over time across the Bureau of Statistics, implementing agencies and other reporting institutions. The objective of reviewing data quality is to verify the quality and consistency of performance data over time, across different implementing entities, and reporting institutions.

In particular, the Data Quality Assurance (DQA) will assess the extent to which the Compact data meets the standard data quality aspects including relevance, validity, accuracy, reliability, timeliness, precision, and integrity. The external data quality reviews will be conducted three times during the compact period i.e. the first year, mid-term and towards the end of Compact implementation. This will focus mainly on data collected by implementing agencies. The M&E Coordinator will be responsible for contracting independent data quality reviewers in compliance with MCC procurement procedures. The M&E unit will also conduct ad hoc and regular checks for data quality through field visits and as it will be deemed necessary.

6. Reporting and Communication of M&E Results

6.1. Performance Reporting within the Program

The MCA-Lesotho M&E Section has proposed a reporting framework for guiding progress and performance reporting for the Lesotho Compact. In this Framework, the PIUs/IEs are mandated to report data on their activities through the proposed MIS on a quarterly basis. They will also provide quarterly narrative reports detailing the progress, successes, challenges and lessons learned to MCA-Lesotho.

The process milestones, outputs and short-term outcomes indicators are being tracked periodically through a set of tools as specified in the MCA-Lesotho Reporting Framework. In the near future, the plan is to have these indicators reported through the MCA-Lesotho Management Information System (MIS) which is expected to be fully operational by June 2012.

The M&E Section is additionally responsible for preparation and dissemination of quarterly Progress Reports and Annual Progress Reports working in collaboration with the Public Outreach Section. Progress reporting refers to tracking the on-going “actual” progress of Project and Activity indicators against “targeted” progress. Progress Reports include data on the indicators described in the Monitoring Component and analysis of those data.

The Reports alert MCA-Lesotho and MCC to any problems and serve as the basis for recommending changes to project or activities. Additionally, they serve as vehicles by which the MCA-Lesotho Management informs the MCA-Lesotho Board of the implementation status.

6.2. Reporting to MCC

MCA-Lesotho is required as part of the Program Implementation Agreement with MCC to provide quarterly and annual reports to MCC. MCC’s Reporting Guidelines describe the necessary content of these Periodic Reports and their due dates. MCC has provided MCA-Lesotho with the guidelines and formats for the regular performance report. The required

performance reports include the tracking of on-going “actual” progress of Project and Activity indicators against “targeted” progress. These reports, in addition to other reporting requirements in the Quarterly Disbursement Request Package (QDRP), serve as tools through which the MCA-Lesotho Management informs MCC of implementation progress, impediments, lessons learned, best practices and on-going field revisions to Project work plans.

The main reporting tools being used include Indicator Tracking Table (ITT), the Indicator Performance Report (IPR) and the Narrative Reports. The quarterly ITT and IPR are used to track progress on key performance areas in line with set annual targets. The analysis of the data collected compares the actual results to the indicator targets and determine the reason for deviations from projections (above a certain threshold). The Narrative Reports on the other hand serve as description of progress as well as highlight challenges that result in deviations from the programme and financial plans.

7. Participatory Monitoring and Evaluation

The M&E plan encourages participation of program stakeholders in the collection and dissemination of the information about progress being made towards the achievement of the program objectives. The M&E plan, together with the Activity Monitoring Plans (AMPs), will foster national “ownership” of the program and will facilitate periodic dissemination of program results and achievements through transparent strategies and a format of communication with the public.

The MCA-Lesotho M&E Section is ensuring the participation of various Compact partners and stakeholders through a number of forums as part of participatory and consultative processes. These including consultative meetings and stakeholders workshops aimed to discuss performance monitoring results and findings from surveys as well as specific special studies. By the time the 2012 revision of the M&E plan was conducted, a number of consultative meetings had been held, including the launching of the current MCA–Lesotho M&E System in 2010; the KAPC Survey dissemination meeting, the Health Facility Survey (HFS) Round I dissemination meeting and other various meetings.

8. Management Information System

The M&E Plan has proposed the development of a Management Information System (MIS) which is planned to be used to collect data, store, and process and deliver information to relevant stakeholders in such a way that the program information is easily accessible.

The development of the system has been completed to date and is awaiting formal launch in July 2012. The MIS is a web-based system with a back up storage and it is linked to the MCA-Lesotho Geographical Information System (GIS) system, which has also been developed. The designs of MIS and GIS have taken into consideration the data requirements for the different components of the Compact. The system is expected to be aligned with existing MCC systems and with that of other relevant service providers and government ministries in the near future.

The MIS will be used to track progress made and help assess the impact of the Compact activities on the beneficiaries.

9. Special Studies

MCA-Lesotho has developed a framework for guiding the design and implementation of Special Studies. The MCA-Lesotho Strategy for Special Studies is planned to serve as an instrument for guiding the planning and implementation research activities that aim at obtaining an in-depth understanding of the underlying factors to the observed results, and challenges, as they unfold in course of implementation of the Compact.

The studies will aim at undertaking more targeted and in-depth analyses of specific project issues to provide reasons for observed trends, and changes and to clarify the inherent relationship between progress on project implementation and validity of project evaluation hypothesis. MCA-Lesotho ensures that the methods used to collect data for the Special Studies are continuously in compliance with ethics standards for research involving human subjects.

Under the Water Sector, the following special studies are planned:

A. Prevalence of water borne diseases within Rural Water and Sanitation (RWS) Project catchment areas

The RWS Activity aims to reduce water borne diseases through provision of clean water, improvement of sanitation and education on hygiene practices. Whereas the health facilities collect and compile data on incidence of water borne diseases, not much is known about the factors leading to those diseases. It is possible that even with clean water some of these diseases may persist. A special study would help to provide evidence-based information on the causes and trends of those diseases. The data collection for the study is planned for the final year of the Compact.

B. Assessment of the economic activities in the wetlands

Rural households are engaged in economic activities from wetlands, including livestock husbandry. However, the volume of economic activities has not been quantified. To support the incorporation of wetlands management into national planning, there needs to be a concerted effort in actual quantification of wetlands benefits. Economic valuation of wetlands

could look into all aspects, including creating scenarios of the costs of losing wetlands. There are also perceptions that poor management of wetlands has reduced the potential for economic activities. Specifically, a study conducted by Wetlands PIU suggests that in the project area, about 50% of people own and manage some sort of livestock. However, the same study suggests that livestock numbers per household are going down as a result of degraded wetlands. The study outlined here will aim to measure the level of economic activities related to wetlands and how rehabilitation of wetlands may affect the economic activities and is planned to be undertaken in the fourth year of the Compact.

Under the Health Sectors Project, they include:

- **Factors influencing usage of health facilities**

The hypothesis behind the MCA–Lesotho Health Infrastructure development activity is that if health facilities are rehabilitated and well equipped the number of clients within 138 health centers and 14 integrated ART Centers will increase. There may be other factors that influence usage and non-usage of health facilities that may need to be taken into consideration. A special study will help to unearth such factors in order to inform project implementation and evaluation, which is planned for the last year of the Compact. The special study is planned to be conducted during the last year of the Compact.

- **Knowledge, Attitudes and Practices (KAP) for Health System Strengthening Trainings**

The Health Sector Project has engaged the Health System Strengthening (HSS) firm to develop and implement part of the Continuing Education Strategy for the Ministry of Health and Social Welfare. Since the approval of the Strategy in 2011, the HSS Firm has been undertaking a number of training activities, targeting various groups within the health care delivery system. The KAP is therefore proposed to assess the effectiveness of these HSS capacity building efforts. The special study is planned to be conducted during the fourth year of the Compact.

- **Quality Assurance Study**

This special study will seek to determine the quality and accuracy of laboratory results from the newly MCA/MCC renovated and equipped National Reference Laboratory (NRL). A sample of test specimens and results will be retested using advanced methods to determine the accuracy of NRL diagnoses. While no baseline level of quality and accuracy was measured, there will still be benefit to knowing the level of quality and accuracy after MCA/MCC investments. The details of this study will still need to be negotiated with MCA and with the MoHSW.

- **Infection Control Assessment**

This special study will be a descriptive study at health centers and hospital-based OPDs to review infection control measures and health care worker infection control perceptions using a rapid assessment tool and in-depth interviews. A detailed proposal for this study was drafted in collaboration with MCA Lesotho in 2010. The study objectives would be to 1) understand the post-MCA/MCC intervention infection control situation in MCA/MCC-targeted hospitals and health centers using key indicators from national and international guidance documents and 2) to understand health worker perceptions of facility-based infection risk for health workers, ability to mitigate risk and obstacles to risk mitigation.

10. Evaluation

Compact Evaluation is the periodic review and assessment of the relevance, efficiency, effectiveness, impact and sustainability of the Compact intervention and investment on the targeted beneficiaries and the national economy. The M&E Plan describes evaluations that will be conducted to provide information on the outcome and impact of the Compact. The Evaluations will provide MCC and MCA-Lesotho stakeholders information on whether or not the outcomes are likely to be achieved and are attributable to the intervention strategies proposed for the Compact.

MCA-Lesotho and MCC have prepared an evaluation framework for all evaluations of the Compact. All evaluations will be guided by the core documentation for the Compact activities

including the Compact design documents, Compact implementation plans, work plans. The evaluation framework clearly specifies the purpose and scope of the planned evaluations.

There are four programme evaluation activities planned and the details are provided below:

10.1. Initial or Ex-Ante Evaluation

An ex ante assessment of most Compact activities has already been done by MCC and MCA–Lesotho. Economic Analysis estimating the Economic Rate of Return (ERR) was conducted for all the projects and the results are outlined in the first section of the M&E Plan. Assumptions about the Compact implementation and the existence of benefit streams and outcomes have been estimated for the Compact. Baseline measures were constructed and appropriate data was gathered before and during the implementation of Compact activities.

10.2. Mid-Term Evaluation

MCA-Lesotho has commissioned implementation of the Mid-term Review (MTR) for Lesotho Compact which was completed in December 2011. The MTR was aimed to assess efficiency and effectiveness of the implementation strategy and further assess to what extent to which the Compact is likely to realize expected results.

The assessment specifically focused on:

- a. **Relevance** – Is the program addressing the right problems with the right approach taking into consideration core mandate responsibilities of MCA-Lesotho and national policies?
- b. **Outcomes** - the extent to which outputs are resulting into the achievement of the purpose or component purposes or objectives
- c. **Effectiveness** - extent to which an operation has attained its objectives
- d. **Coverage and targeting** - have targets been met or are they likely to be met; are the right people benefiting at the right time? ‘Meeting the right needs.’
- e. **Sustainability** – Are there adequate plans to foster self-reliance and continued utilization of services after completion. ‘Continuation without outside help.’
- f. **Connectedness** – Is the operation responding to acute and immediate needs, as well as taking longer-term needs and problems into account.

The results from the MTR showed that despite problems with the initial design and budgeting of Compact Activities, as well as the many implementation delays, MCA-Lesotho has the potential to successfully implement all Compact Activities as they are currently planned, within their budgets and on time. It was further indicated that MCA-Lesotho's strength lies in excellent leadership and a highly professional and motivated core staff, effective Project Implementation Units, and generally supportive Implementing Entities as its partners. The other strength was highlighted as full backing of the Government of the Kingdom of Lesotho as well as other international donors, which have provided the necessary supplemental financial resources to assure Compact completion in light of the shortfalls in the original Compact budget. The MTR revealed challenges and made recommendations to address them.

Some of the challenges revealed by the MTR included the degree of the Millennium Challenge Corporation's (MCC) involvement in design, planning and approval of Compact activities, which could affect the sense of autonomy and ability of both the directors and senior management of MCA-Lesotho to provide effective leadership, as well as reduce the degree of responsibility of MCA-Lesotho Board of Directors; the centralized nature of the MCA-Lesotho organizational structure in which design and approval decisions are processed sequentially at various different intermediate levels in the organizations before being sent to the CEO, which has the potential of causing frequent delays and does not make optimum use of Compact resources and; the risk of key members of the MCA-Lesotho staff who may leave the organization prior to the end of the Compact in order to accept other more long-term employment which has a potential of threatening to successful Compact completion.

The recommendations to address the challenges identified included that MCA-Lesotho should consider establishing a more distributed decision-making structure with greater degrees of responsibility and accountability at lower levels of the organization, accompanied by an improved management information system and ex post approvals in the form of random audits. The change was expected to bring about better use of Compact resources and improve Compact performance. It was further recommended that MCA-Lesotho should make effort to ensure approval of the retention strategy proposal that has already been prepared, in order to assure the availability of key staff through the end of the Compact implementation period.

These recommendations are being implemented during Compact Year 4 (October 2011 – September 2012).

10.3. Final Program Evaluation

The final program evaluation will be conducted at Compact Close-Out period by independent evaluators in combination with project management and MCC sector leaders. The focus will be on the progress towards outcomes and sustainability of the Compact Projects. The final program evaluation will address the following:

- a. The extent to which targets, outcomes and objectives are being achieved;
- b. Positive and unplanned negative results of the programme;
- c. Effectiveness of programme activities;
- d. Success stories and most significant changes attributed to Compact activities;
- e. Lessons learned and best practices in project implementation;
- f. Comparative analysis of projected against final economic rates of return on projects and;
- g. Final beneficiary analysis and income distribution.

10.4. Impact Evaluations

The M&E Plan further identifies impact evaluation activities planned for some of the Compact Activities. These Activities were determined in consultation with the in-country stakeholders and implementing partners on the basis of having the strongest potential for rigorous impact evaluation. The impact evaluations attempt to employ robust and scientific methods to measure and demonstrate cause-and-effect.

The following is a summary of the potential impact evaluations planned for Compact Activities:

10.4.1. Health and Water Sector Projects Impact Evaluations

MCC has engaged an independent consulting firm, National Opinion Research Center (NORC) of the University of Chicago, to carry out the impact evaluations for the Health and Water

Sector Projects. NORC has adopted rigorous impact evaluation methodologies for the health and water projects. The following is a summary of the impact evaluation methodologies adopted:

A. Health Sector Project Impact Evaluation

The impact evaluation of the health sector will focus on the renovation of health centers and evaluating the renovations of the established ART clinics and improved management in OPDs. In addition, two non-infrastructure components, Health Systems Strengthening (HSS) and Health Care Waste Management (HCWM), were incorporated into the health sector impact evaluation after the evaluation methodology had been designed and baseline data had been collected. These two components were not part of the original design because of the uncertainty surrounding their implementation. The original evaluation design was centered on the infrastructure component only but the final evaluation will incorporate the related interventions as well.

The hypotheses to be tested by the impact evaluation include the following:

The Health Project activities will:

- increase the number of individuals receiving essential health services
- improve individuals' health outcomes
- increase beneficiary productivity and income

The impact evaluation design envisioned for the health center activity relies on a randomized rollout and double difference approach. Under this design, clusters of health centers were randomly assigned to treatment and control (or early treatment and late treatment) groups after stratifying by geographic area and repair need. Matching of clusters was performed to enhance comparability between treatment and controls. According to the original design, the lag time between the end of construction in treatment clinics and the start of construction in paired control clinics would have been nine months. However, due to construction delays the nine months lag time has been reduced to six months, and it is possible that the lag time will be reduced further. If the construction plan is reduced such that the gap between treatment and control cases is insufficient to support the original phased design, it may be necessary to use a

before and after evaluation design, which will not allow for attribution of impact to program interventions.

For the OPD activity, an experimental design was not feasible given sample size and the nature of the intervention. Therefore, a before and after approach that attempts to control for external factors (characteristics and conditions of the facilities, among others) has been planned.

B. Rural Water and Sanitation Activity Impact Evaluation

The impact evaluation design for the rural water and sanitation intervention is based on a randomized rollout of 100 water systems to treatment and control groups within each of 10 districts. The implementation is expected to keep a six month gap between end of construction/rehabilitation of treatment water projects and start of control water projects.

Multiple rounds of the Impact Evaluation Multipurpose Survey (IEMS), supported by other surveys being conducted by implementers and other organizations, will serve as the main data source for this evaluation. Service outcomes to be tracked include the number of households provided with improved water supply and sanitation facilities; and health outcomes (focusing on children under age 5) include the incidence of diarrhea. In addition, productivity outcomes to be measured include time lost to work or school due to caring for sick children, time saved from improved water supply and sanitation, and changes in income resulting from improvements in water supply and sanitation.

The main hypotheses regarding the MCC intervention for the Rural Water and Sanitation Supply are that improved water and sanitation will:

- Provide time-savings to households
- Reduce water-related health problems
- Increase beneficiary productivity and income from time savings due to proximity of new infrastructure
- Increase beneficiary productivity and income through time savings due to the health improvements

The evaluation design includes two main approaches, namely, the double difference based on the randomized experimental design and the before and after approach. The double difference approach based on the randomized experimental design relies on the presence of a six-month gap between treatment and control in order to measure a detectable impact on indicators that will show change within this time period. This methodological approach will allow for making attribution of a causal relationship between the program and the observed/detected change in the outcome indicators. The before and after approach will be used for analysis of indicators that require less than six months post-treatment to reflect impact and also in the event that the time gap between treatment and control cases is not maintained. Analysis in this case will be based on multivariate regression.

C. The Urban and Peri-Urban Water Activity Impact Evaluation

In the case of Urban and Peri-Urban Water Activity, it has not been possible to undertake a randomized allocation of treatment for this intervention due to the nature of the urban and peri-urban water network; the lack of a special order in which the network rehabilitation work is conducted by contractors; and the diversity of the rehabilitation work within each phase. Originally, NORC proposed a regression discontinuity design based on proximity to the water mains. However, for a number of reasons, it was not feasible to pursue that approach. Thus, NORC is undertaking a before and after approach for studying impact on households within areas targeted for new or rehabilitated pipelines. In addition, households could be grouped into three strata based on their relative location to the network and the rehabilitation work which would allow us to study differential impact. These strata include:

- Households already connected to the pre-existing network that would benefit from rehabilitation of this network (already connected; impact of improved services)
- Households not connected to the water network for whom connections are planned as part of the intervention (newly connected; impact of new services)
- Households not connected to the water network for whom connections are not planned (not connected; remain dependent on public standpipes or other sources)

The before and after design using these groupings is expected to permit measurements of the differential impact from these varying intervention conditions. The following hypothesis will be tested:

- There will be time savings from an improved water supply.
- These time savings will lead to an increase in beneficiary income and productivity.
- Taken as a whole, the MCC intervention will contribute both to the improvement of water and sanitation services and the lowering of costs with financial savings to households.

It is important to note that originally, the Urban and Peri-Urban Water hypotheses included reference to enterprises, specifically the hypothesis that the urban water supply activity will increase enterprise activity (number of enterprises⁴, number of jobs, wages, and profits). However, due to delays in implementation and since impact on enterprises is expected to take a longer cycle, impact on enterprises is not considered under this effort. Furthermore, the enterprise survey was not designed for the impact evaluation purpose and had suffered from several challenges which exclude it as a data source from the data considered for the impact evaluation. Thus, the hypothesis relevant to impact of urban and peri-urban water on enterprises will not be pursued.

The proposed design will use regression models to analyze each outcome. All regressions will include covariates to control for observable factors associated with the outcome. This design does not allow for attribution of causality.

⁴ Enterprises are business industrial or commercial locations, schools, and government offices-non residential in character.

10.4.2. Land Administration Reform Impact Evaluation

MCC has contracted Michigan State University (MSU) to conduct a rigorous impact evaluation for the Land Reform and Administration Project. The impact evaluation for the Land Project will establish the nature and extent of causal relationships between program interventions. It will also investigate key outcomes and impact of the Project interventions on beneficiaries.

In order to establish the nature and extent of causal relationships between the Land Project interventions related to ‘area-wide formalization of land rights in greater Maseru’ and changes in key outcome indicators and behavioral and economic impacts on beneficiaries, MCA-Lesotho and MCC plan to support an independently conducted rigorous impact evaluation (IE). Michigan State University has been contracted by MCC to design the impact evaluation of this component of the Land Project, conduct regular field visits for quality control and technical guidance, analyze data, and write up results. A local firm (Sechaba Consultants) contracted by MCA-Lesotho will carry out the baseline and follow-up surveys.

Key questions:

The impact evaluation is designed to test the following key economic hypotheses regarding area-wide registration of urban land parcels and land legislative and regulatory reforms.

It is hypothesized that land with formally recognized titles will result in:

1. Increased number of land parcels used as collateral for mortgage
2. Increased investment in the property, increased frequency of formal transfers, subletting, rentals, and other economic activities
3. Increased value of land
4. Reduction in land related conflicts
5. Increased income of beneficiaries

The randomized control trial (RCT) design will be combined with a Difference-in-Difference (DiD) analysis approach. The DiD approach essentially measures the difference of outcome indicators between treatment group and control group before and after program intervention.

In the context of panel data (with a baseline survey and a follow up survey of the same households), DiD will further enhance the rigor of the IE method to estimate the impact of the area-wide regularization intervention on beneficiary households.

The baseline and follow-up surveys to be undertaken for the IE purpose will also contribute towards evaluating some of the M&E indicators that relate to the efficiency and effectiveness of the functioning of the newly established Land Administration Authority (LAA) and the legislative and regulatory land reforms, with a before and after approach.

11. Monitoring and Evaluation Partnerships

MCA-Lesotho M&E has established and maintained partnerships among local and international partners who are involved in poverty reduction. It is important that all partners working under Lesotho Compact project work in a harmonious and complementary way. MCA-Lesotho will participate in M&E partnerships to deliberate on M&E issues of common interest to the all partners. This will be carried out in support of PIUs, implementing entities and will be guided by monitoring and evaluation plans.

12. Hypotheses and Risks

The program logic for the MCA-Lesotho Compact is based on *Hypotheses* about the linkages between individual Project activities and the long term Goal of economic growth and poverty reduction. The following table depicts factors that have been identified, that could influence or affect the progress and projected benefits of the program.

Table 3: Program Hypotheses, Risks and Mitigation Measures

ACCESS TO HEALTH		
Hypotheses	Risks	Mitigation
Provision of health infrastructure will augment the existing health services and improve the health status of all Basotho.	Acute shortage of health care professionals coupled with lack of sound retention strategies	Establishment and filling of positions for health professional to meet the demand for health services. Development and implementation of a sound human resource retention strategy to reduce drainage
Improved collaboration with all stakeholders – NGO's, other government departments especially Ministry of Health & Social Welfare (Environmental Health) will enhance performance	Poor cooperation among stakeholders – NGO's may have negative impact	Establish a stakeholders forum and hold regular meetings and workshops to identify areas of collaboration and responsibilities before and during the implementation phase

ACCESS TO WATER AND SANITATION

<i>Hypotheses</i>	<i>Risks</i>	<i>Mitigation</i>
Improved access to water and sanitation will reduce water borne diseases, and promote industrial investment for local and foreign firms, thereby improving quality of life and increasing employment	Acute shortage of transport for MCA-Lesotho staff may seriously hamper effective program supervision and quality control. This will ultimately negatively affect effective implementation of the project.	Adequate provision of transport for MCA-Lesotho staff as well as increased capacity of staff for improved supervision and quality control.
	Incomplete construction of systems and sanitation (VIP latrines), including the treatment and control villages and not awarding of contracts for the urban peri urban project for the packages that have not gone to the market.	M&E team and infrastructure to organize a team that will look into the best mitigation strategy for the challenges

LAND ADMINISTRATION

<i>Hypotheses</i>	<i>Risks</i>	<i>Mitigation</i>
Policy and legal reform will increase efficiency in land registration therefore reduce cost and time to deliver titles.	Regularization of land rights may open conflicts among communities in regularization areas.	MCA Lesotho in conjunction with Ministry of Local Government/LAA will develop and implement an outreach programme to sensitize communities on land policy decisions and implementation strategies.
Households with registered land rights will perceive land tenure more secure therefore improving investments and increasing income	Land administration reform may lead to conflicts	Establishment of regional land reform working groups. MCA-Lesotho will introduce land conflict resolution into the project in conjunction with civil legal reform project and Ministry of local

		Government/ LAA.
	Transforming the Lands Survey and Physical Planning (LSPP) into the new LAA may delay the process of land registration	MCA-Lesotho will provide Technical Assistance to expedite the establishment of the Land Administration Agency
	Lease holders may not be willing to use their leases as collateral for loans	Land Administration Agency will intensify outreach programmes to sensitize lease holders.
Financial institutions will increase the use of land title as collateral for issuing loans	The demand for leases and mortgages by Land owners may be low. This is determined by individual needs	MCA Lesotho and financial institutions will conduct information campaigns and provide support for lease application for obtaining loans from the financial institutions
Reduced cost and risk of doing business will attract investors and result in economic growth	The primary user of goods and services is GoL; currently payment for goods and services by GoL takes up to 4 months which impacts negatively on the ability of investors to service loans.	MCA-Lesotho will advocate for a speedy development of strategies for removing barriers to investment through its active participation in the Private Sector Development Committee within the Ministry of Finance and Development Planning.

CIVIL LEGAL REFORM		
<i>Hypotheses</i>	<i>Risks</i>	<i>Mitigation</i>
The establishment of a fully fledged commercial court, and small claims court will provide judges and court staff therefore reduce the backlog of commercial cases, improve court efficiency, hence decreasing the cost and time of resolving commercial cases	The GoL is unable to provide adequate recurrent budget to meet staffing requirements, transport as well as maintenance of equipment and infrastructure	Prior to the refurbishment of the court building the GoL will commit sufficient funds for supporting the judges and staff as well as provision of adequate transport for serving summons and executing judgment
Increased utilization of court-annexed mediation will reduce	The demand for mediation may be low due to lack of confidence	MCA-Lesotho will develop training for mediators and an outreach program an

litigation costs and time and therefore increase productive commercial transactions	in the courts' ability to provide effective mediation services.	outreach program to introduce the methodology to lawyers and the public.
Improved case management rules and computerization will increase firms' confidence in the judicial system.	Corruption of judicial actors may impede the efficiency of the justice sector.	MCA-Lesotho will conduct awareness campaigns to advocate for increased transparency and independence of the judicial staff.

DEBIT/SMART CARD PROJECT		
<i>Hypotheses</i>	<i>Risks</i>	<i>Mitigation</i>
Enhanced capacity of Lesotho Post Bank provided by the Smart Card will lower transaction costs	The demand for non-paper based transactions may be slow due to lack of information on new LPB financial products.	Public outreach activities aimed at educating the public about benefits of using formal banking services and having bank accounts will be intensified prior to the go live of the Debit/Smart Card project. The activities will continue post Compact Close Out as part of LPB marketing campaigns.
Increase access to banking and financial services to the unbanked and under-banked population will increase uptake of financial services.	The currently unbanked and under banked population may decide to stay unbanked despite public outreach efforts under the project The demand for Debit/Smart Card may be lower than anticipated.	Public outreach activities aimed at educating the public about benefits of using formal banking services and having bank accounts will be intensified prior to the go live of the Debit/Smart Card project. The activities will continue post Compact Close Out as part of LPB marketing campaigns.

NATIONAL IDENTIFICATION SYSTEM / CREDIT BUREAU

<i>Hypotheses</i>	<i>Risks</i>	<i>Mitigation</i>
Eligible Basotho will get registered and issued ID cards which they will use for identification purposes and to access public services.	The demand for National ID may be lower than anticipated.	MCA Lesotho and Home Affairs will conduct awareness campaigns to advocate the benefits of registering and having a National Identification Cards.
Ability of financial institutions to easily access the reliable credit information from the credit bureau will lower the costs and period of determining the credit worthiness of individuals and businesses. This will in turn lower interests on loans to the ultimate borrowers, who will be able to expand their business with the savings.	Although the financial institutions expressed interest in the Credit Bureau project, it is not easy to predict with any degree of accuracy whether the applications for credit will be successful	Public outreach activities meant to sensitize the business sector about the benefits of using the credit bureau services will be intensified.

GENDER EQUALITY IN ECONOMIC RIGHTS

<i>Hypotheses</i>	<i>Risks</i>	<i>Mitigation</i>
Acceptance of gender equality in economic rights and women's economic activity will increase.	Non- acceptance of programme messages as cultural and religious beliefs pose a risk to program implementation as gender is viewed as a threat to culture and in conflict with religious principles.	Forge working relations with religious, traditional and cultural leaders as they influence and shape the belief system within communities and are also gate keepers over religious, tradition and cultural practices for positive change in attitudes and practices by both men and women.

	Lack of compliance and resistance to changes introduced by the Legal Capacity of Married Persons Act 9 of 2006 by implementing institutions	Build partnerships and build the capacity of key implementing institutions for smooth implementation of the Act.
	Lack of commitment of Implementing Entity to provide continued support and sustain the Activity beyond the Compact	Draw a sustainability plan and advocate for its implementation. Additionally, foster ownership of the Activity mandate by the Implementing Entity, key stakeholders and partners

13.Management, Roles and Responsibilities

13.1. Management of M&E Activities

The M&E Plan advocates for dedicated and adequate number of M&E staff at all times of Compact implementation. The MCA-Lesotho M&E Section shall comprise of the following key personnel to manage and coordinate M&E activities:

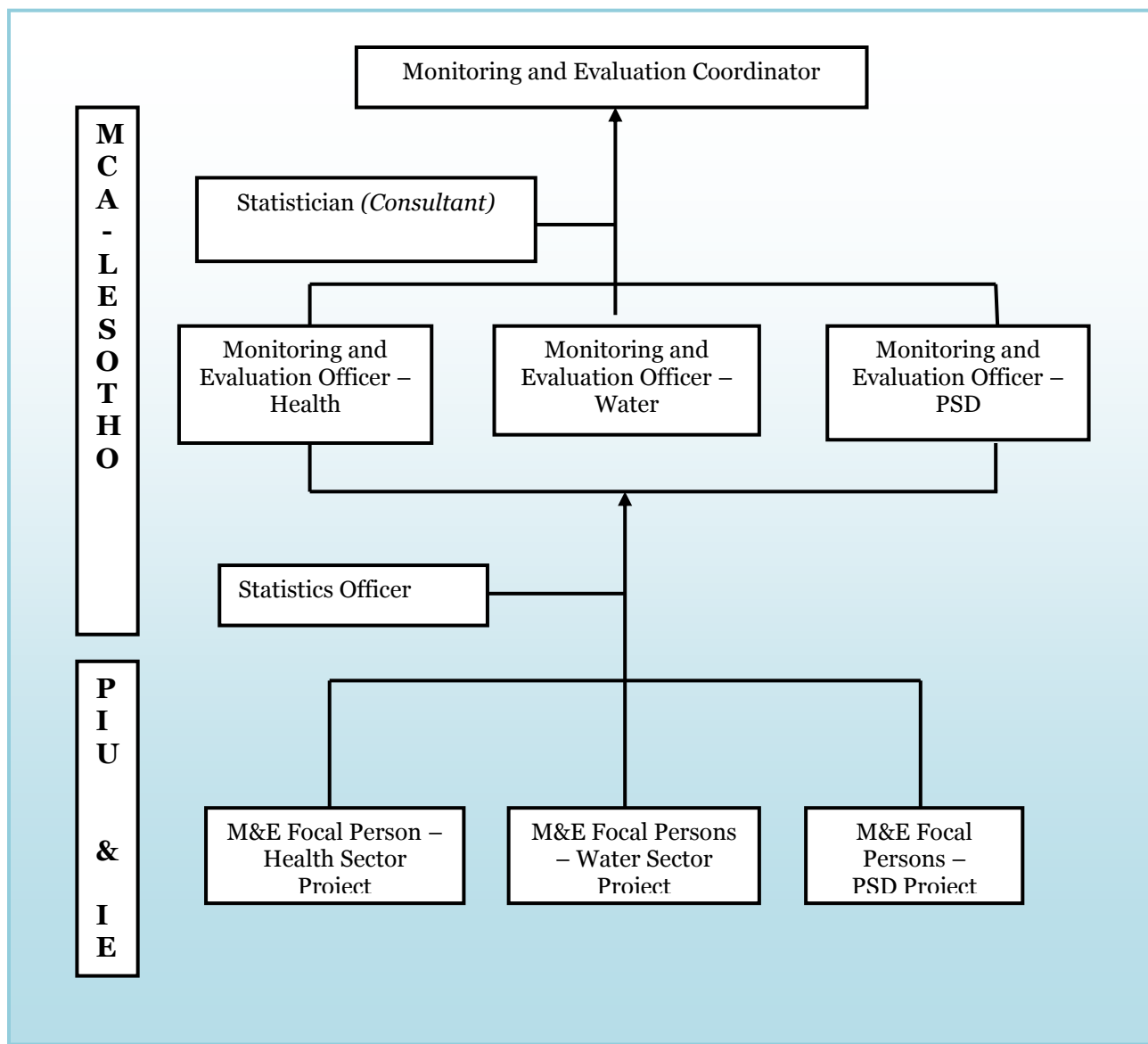
1. The M&E Coordinator as the Head of the Section
2. The Statistician (consultant position)
3. Three Sector Specific M&E Officers for Water, Health and PSD
4. The Statistics Officer

The IEs and PIUs have appointed M&E Focal Points who will act the key primary role player for primary data collection, analysis and reporting for Compact performance indicators at the Activity level. Currently, a data collection and reporting system has been established to facilitate exchange of information with the IEs and PIUs. The same system is expected to form part of the MCA-Lesotho MIS when it is fully operational.

The Section Heads for the three Components within MCA-Lesotho and their respective divisions will be responsible for validating the information submitted by the IEs and PIUs and shall also be responsible for additional reporting requirements, in liaison with the PIUs/IEs, coordinated by the M&E section.

The organogram is illustrated in Figure 8 below.

Figure 8: The M&E Section Organogram



13.2. Role and Responsibilities in M&E

The primary MCA-Lesotho monitoring and evaluation responsibilities will be to:

- Prepare and review detailed monitoring and evaluation plan
- Modify the monitoring and evaluation plan as necessary
- Coordinate with implementing agencies regarding collection of data
- Prepare monitoring and evaluation reports for MCC
- Maintain monitoring and evaluation data base and incorporate it into the national accounting system
- Ensure that all monitoring and evaluation reports are published in the MCA website
- Manage contracts with local and international consultants for monitoring and evaluation services
- Maintain quality and quantity of deliverables
- Coordinate special studies and ad hoc evaluations as necessary
- Oversee program and project activities as well as data quality reviews
- Disseminate information on lessons learned and best practices

The M&E Coordinator acts as the Head of the M&E Section and he/she works under the supervision of Deputy Chief Executive Officer of MCA-Lesotho. The M&E Coordinator oversees and coordinates all aspects of monitoring and evaluation through direct supervision of the M&E Officers for Water and Sanitation and Private Sector Development; and in-direct coordination of the Health M&E Manager who is based within the Health PIU M&E Coordinator's responsibilities are:

- Establishing the on-going monitoring and evaluation system
- Developing and supervising an on-going monitoring and evaluation strategy
- Ensuring that M&E plan is modified and updated as improved information becomes available (updating indicators, baseline and targets upon the receipt of information from technical studies and in-take surveys)
- Ensuring that management staff and implementing entities are receiving adequate support to execute their M&E responsibilities (providing monitoring and evaluation training of MCA Management staff and program implementers)

- Overseeing data collection from all sources, and the design of a data management system
- Participating in the monitoring of performance of individual program components directly through project visits, reviewing project reports, and reviewing secondary data and analysis
- Preparing Terms of Reference and conducting procurement of various M&E contracts (Management Information Systems, data quality review etc.)
- Organizing and overseeing data quality audits
- Developing a schedule for interim program evaluations and process for selecting independent evaluators
- Cooperating with third party Impact Evaluation specialist(s) for the design, implementation and dissemination of the interim and final Program Evaluation
- Publishing periodical reports of the ongoing program monitoring and evaluation that are submitted to MC-Lesotho's board and MCC, and making them publicly available on the MCA-Lesotho website

The Statistician provides on-going statistical support to MCA-Lesotho and its partners, to successfully carry out a mandate specific to performance monitoring and impact evaluation of the Compact activities. The Consultant will work alongside the M&E Coordinator, while the majority of the tasks will be undertaken in collaboration with M&E Officers and the M&E Focal Persons based within IEs and PIUs. Furthermore, the Consultant will be expected to work with impact evaluation sub-contractors – NORC, MSU and other MCA-Lesotho Survey contractors. The contract of the Statistician is supervised by the M&E Coordinator.

Sector Specific Senior M&E Officers' responsibilities are to:

- Develop and implement and review the monitoring plan
- Establish the data collection, analysis and reporting system for the overall program.
- Provide technical support for the PIU M&E personnel on data management
- Ongoing review of quality of data collected by Project Implementing entities
- Coordinate reporting process on key performance indicators
- Identify specific needs for primary data collection processes
- Develop the M&E Management of Information System

- Participate in monitoring of each project through site visits
- Coordinate regular public outreach to stakeholders, NGOs and other elements of civil society regarding program design and impact as a part of the larger ongoing consultative process

The Statistics Officer's responsibilities are to:

- Work with the Senior M&E Officers to identify various data sources and lead the collection of comprehensive and up-to-date data for performance tracking and for assessing interim outcomes
- Participate in the preparation of periodic performance monitoring reports and dissemination to various stakeholders
- Participate in the data quality assurance for enhanced data validity and credibility
- Participate in the implementation of follow-up surveys and impact evaluations and during dissemination of results to relevant stakeholders and partners
- Provide on-going administrative and logistical support within the M&E Section

14. Human Capacity Building on M&E

The M&E Plan outlines a capacity development plan with clear activities including formal training, in-service-training, mentorship, coaching and others. The main goal is to ensure that there are adequately skilled human resources at all levels of the M&E processes in order to effectively complete all tasks outlined in the M&E Plan. Within the first three years of implementation of this plan, the essential M&E staff was provided with the following set of competencies, through formal and non-formal capacity building mechanism:

1. Knowledge of the national M&E Plan (including “3 ones” concept)
2. Skills in using data collection tools
3. Knowledge of Basic M&E concepts
4. Positive attitude of M&E oriented towards the production and dissemination of strategic information
5. Advanced skills in Excel (esp. formulas and graphics, including pivot tables)
6. Skills in using electronic databases
7. Basic skills and knowledge in data analysis
8. Knowledge on data quality concepts and skills in conducting data quality assessment

In the remaining one year and five months of implementation of the M&E Plan, the M&E Section will continuously carry out capacity building; mentoring and coaching activities to ensure that M&E competencies are maintained and new basic skills are built amongst new M&E recruits. At the same time, the M&E Officers will have the opportunity to develop an advanced set of competencies with the possibility of specializing in specific areas such as participation in local, regional and international conferences and workshops.

15. Institutional Strengthening

MCA-Lesotho will strengthen the institutional capacity of implementing entities. Support activities will include:

- Training of counterpart personnel and specialized software applications in support of monitoring and evaluation system, project management and technical analysis
- On-going development of technical management and methodologies
- Organizing workshops for civil society consultative process
- Provision of technical assistance
- Electronic interconnectivity and information exchange
- Align compact investment in software, data base design and information system with the national monitoring and evaluation system etc

Annex A - Modification Tables

Modification Tables for Overall Changes

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Cross-Cutting
Modification:	<ol style="list-style-type: none"> 1. Updates to beneficiary estimates 2. Re-categorization of “objective” level indicators as “impact” level or “outcome” level 3. Replacement of Health Management Information System (HMIS) as a data source with the Annual Joint Review (AJR) Report for the following indicators: <ul style="list-style-type: none"> • Percentage of people living with HIV/AIDS receiving ARV treatment • People with HIV still alive 12 months after initiation of treatment • TB notification per 100,000 of the population • TB treatment success rate • Blood units collected by Blood Transfusion Services (BTS) 4. Changes/updating of the impact evaluation designs for Health, Water and Private Sector Development Projects. The specific changes were as follows: <ul style="list-style-type: none"> ▪ Change in the evaluation strategy for the Health Centers Activity ▪ Clarification of the evaluation strategy for the Out Patient Departments (OPDs) Activity ▪ Addition of evaluation strategy for the Health System Strengthening (HSS) and the Health Care Waste Management (HCWM) Activities. ▪ Clarification of evaluation strategy for Rural Water and Sanitation Activity ▪ Change in the evaluation strategy for Urban and Peri-Urban Water Activity 5. Change in the M&E Section structure
Justification:	<ol style="list-style-type: none"> 1. Beneficiary estimates have been updated for consistency with the revised MCC guidelines for calculating beneficiaries. 2. MCC’s revised M&E Policy no longer includes “objective” level indicators. Therefore, previous “objective” indicators have been re-categorized as appropriate. 3. The AJR Report is considered a more reliable source of data; hence the change in data source. 4. The impact evaluation changes outlined above were made in response to program design and implementation timing; more detailed descriptions follow: <ul style="list-style-type: none"> ▪ Health Centers Activity Impact Evaluation <p>The impact evaluation design planned for the health center activity was based on an experimental design (randomization) and a double differences approach based on the original construction plan. Under this design, health centers were randomly assigned, after stratifying by geographic area and repair need, treatment and control groups. However, as the result of significant changes in the construction plan, which has altered the minimum lag time between treatment and control cases, it</p>

	<p>may be necessary to use a before-after evaluation design, which will not allow for attribution of impact to program interventions.</p> <ul style="list-style-type: none"> ▪ OPDs Activity Impact Evaluation The evaluation strategy has been updated as the result of availability of better information. ▪ HSS and HCWM Activities Impact Evaluation The impact evaluation of HSS and HCWM has been added to its potential for rigorous impact evaluation assessment. The decision to include them was based on the current progress under the Activities. These two components were not part of the original design because of the uncertainty surrounding their implementation; the original evaluation design was centered on the infrastructure component only.
Justification:	<ul style="list-style-type: none"> ▪ Rural Water and Sanitation Activity Impact Evaluation The evaluation strategy has been clarified as the result of availability of better information. The evaluation design proposed includes two main approaches, namely, the double difference based on the randomized experimental design and a before and after approach. ▪ Urban and Peri-Urban Water Activity Impact Evaluation In the case of Urban and Peri-Urban Water Activity, it has not been possible to undertake a randomized allocation of treatment for this intervention due to the nature of the urban and peri-urban water network; the lack of a special order in which the network rehabilitation work is conducted by contractors; and the diversity of the rehabilitation work within each phase. As a result, the currently proposed design is based on a before and after approach for assessing the impact of the urban and peri-urban water intervention at the household level. Under this design, regression models will be used for each outcome. <p>5. There has been change in the section on Administration and Management of M&E activities. The change was done to be in line the re-structuring process within the M&E Section. This specifically entailed removal of the position of Economist from the structure as well as the position of M&E Technical Advisor. The position of the M&E Technical Advisor was replaced by the position of Statistician (consultant position). The position of Statistics Officer, below the level of M&E Officers, was also added.</p>

Impact Level Modification Tables

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Cross-Cutting: Impact Level							
Indicator:	Growth of Gross Domestic Product (GDP)							
Modification:	Modification of baseline and target for Year 4 and Year 5							
Justification:	The baseline was amended as the result of availability of better information. The target for Year 5 was modified to be in line with the target set in the National Strategic Development Plan (2012/13 – 2016/17). The target actually represents an improvement over the current situation, which has significantly worsened since the baseline.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Growth of GDP	Percentage	2.3%	N/A	N/A	4.4%	N/A	4.4%	4.4%
Modified Targets:								
Growth of GDP	Percentage	5.4%	N/A	N/A	4.4%	N/A	5.0%	5.0%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Cross-Cutting: Impact Level							
Indicator:	Employment in water related industries							
Modification:	Indicator removed							
Justification:	The indicator has been removed because it is no longer applicable as the result of de-scoping. The Urban and Peri-Urban Water Activity will no longer result in water connections to industries, hence no impact on business activity and employment is expected within industries during the Compact period.							

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Cross-Cutting: Impact Level							
Indicator:	Population living below the poverty line							
Modification:	New indicator added							
Justification:	The indicator was added to measure the change in economic status of the Lesotho population. The targets were set to be in line with National Strategic Development Plan (2012/13 – 2016/17)							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Population living below the poverty line	Percentage	48.3%	N/A	N/A	N/A	N/A	47.2%	47.2%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Cross-Cutting: Impact Level							
Indicator:	Unemployment Rate							
Modification:	New indicator added							
Justification:	The indicator was added to measure the prevalence of unemployment among the populations. The targets were set in line with the National Strategic Development Plan (2012/13 – 2016/17)							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Unemployment Rate	Percentage	24%	N/A	N/A	N/A	22.8%	22.8%	22.8%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Cross-Cutting: Impact Level							
Indicator:	Human Development Index							
Modification:	New indicator added							
Justification:	The indicator was added to measure of development. The targets were set in line with the National Strategic Development Plan (2012/13 – 2016/17)							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Human Development Index	Index	0.436	N/A	N/A	N/A	0.473	0.473	0.473

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Cross-Cutting: Impact Level							
Indicator:	Population with access to potable water							
Modification:	New indicator added							
Justification:	The new indicator was added to measure the change in access to potable water as national level. The targets were set in line with the National Strategic Development Plan (2012/13 – 2016/17).							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Population with access to potable water	Percentage	85%	N/A	N/A	N/A	90%	92%	92%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Cross-Cutting: Impact Level							
Indicator:	Population without access to improved sanitation							
Modification:	New indicator added							
Justification:	The new indicator was added to measure the change in access to improved sanitation at national level. The targets were set in line with the National Strategic Development Plan (2012/13 – 2016/17).							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Population without access to improved sanitation	Percentage	42%	N/A	N/A	N/A	30.4%	28%	28%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Cross-Cutting: Impact Level							
Indicator:	Number of days taken to start a business							
Modification:	New indicator added							
Justification:	The new indicator was added to measure the change towards removal of business barriers at national level. The targets were set in line with the National Strategic Development Plan (2012/13 – 2016/17).							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Number of days taken to start a business	Number	73	N/A	N/A	N/A	5	5	5

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Cross-Cutting: Objective Level							
Indicator:	Maternal Mortality Ratio (MMR) (per 100,00 live births)							
Modification:	Change in target for Year 5 and change in level							
Justification:	The target was revised to be in line with the target set in the National Strategic Development Plan (2012/13 – 2016/17). The indicator level was changed from Objective Level to Impact Level, and the definition was modified slightly. The target actually represents an improvement over the current situation, which has significantly worsened since the baseline.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Maternal Mortality Ratio (per 100,00 live births)	Rate	762	N/A	N/A	762	N/A	500	500
Modified Targets:								
Maternal Mortality Ratio (per 100,00 live births)	Rate	762	N/A	N/A	762	N/A	1,155	1,155

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Cross-Cutting: Objective Level
Indicator:	Adult Mortality Rate
Modification:	Change in level of the indicator
Justification:	The indicator level was changed from Objective Level to Impact Level.

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Cross-Cutting: Objective Level							
Indicator:	Mortality Rate, Under 5 (per 1,000)							
Modification:	Change in baseline, target for Year 5 and change in level of the indicator							
Justification:	The baseline for the indicator was changed because of better availability of information. The target was revised to be realistic as well as to be in line with the target set in the National Strategic Development Plan (2012/13 – 2016/17). Additionally the level of the indicator was changed from Objective to Impact Level. The target actually represents an improvement over the current situation, which has significantly worsened since the baseline.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Mortality Rate, Under 5 (per 1,000)	Rate	113	N/A	N/A	113	N/A	113	113
Modified Targets:								
Mortality Rate, Under 5 (per 1,000)	Rate	79	N/A	N/A	113	N/A	115	115

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Cross-Cutting: Objective Level
Indicator:	Infant Mortality Rate
Modification:	Change in level of the indicator
Justification:	The indicator level was changed from Objective Level to Impact Level.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Cross-Cutting: Objective Level
Indicator:	People with HIV still alive 12 months after initiation of treatment
Modification:	Change in the level of the indicator
Justification:	The indicator has been moved from the Objective Level to Outcome level under the Health Sector Project

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Cross-Cutting: Objective Level
Indicator:	TB treatment success rate
Modification:	Indicator definition and change in the level of the indicator
Justification:	The indicator has been moved from the Objective Level to Outcome Level under the Health Sector Project and the definition has been revised for more clarity.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Cross-Cutting: Objective Level
Indicator:	Diarrhoea notification rate (per 1,000)
Modification:	Change in the level and name of the indicator
Justification:	The indicator has been moved from the Objective Level to Outcome Level under the Water Sector Project; and the name has been modified from “Diarrheal Notification at Health Centres”.

Health Sector Project Modification Tables

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Health Sector Project
Indicator:	Health centres with essential services
Modification:	Indicator removed
Justification:	The indicator has been deleted from the M&E Plan because it was not straightforward to measure. Essential Service Package was found to contain a broad description of services. As a result, the indicator did not provide a good measure of improvements in service provision.

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Health centers (HCs) with HIV/AIDS essential services							
Modification:	New indicator added							
Justification:	The indicator was introduced in replacement of “ <i>Health centres with essential services</i> ”. It measures improvements in health service provision specifically focusing on essential HIV/AIDS services within the 138 targeted health centres. The indicator will be assessed through the Health Facility Survey.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
HCs with HIV/AIDS essential services	Percentage	N/A	N/A	N/A	N/A	N/A	80%	80%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Health centers with Maternal and Child Health (MCH) essential services							
Modification:	New indicator added							
Justification:	The indicator was introduced in replacement of “ <i>Health centres with essential services</i> ”. It measures improvements in health service provision specifically focusing on essential Maternal and Child Care services within the 138 targeted health centres. The indicator will be assessed through the Health Facility Survey.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
HCs with Maternal and Child Health (MCH) essential services	Percentage	N/A	N/A	N/A	N/A	N/A	80%	80%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Health centers with Tuberculosis (TB) essential services							
Modification:	New indicator added							
Justification:	The indicator was introduced in replacement of “ <i>Health centres with essential services</i> ”. It measures improvements in health service provision specifically focusing on essential TB management services within the 138 targeted health centres. The indicator will be assessed through the Health Facility Survey.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Health centers with Tuberculosis (TB) essential services	Percentage	N/A	N/A	N/A	N/A	N/A	80%	80%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Health centers with required staff compliment (Full-Time Employment (FTE))							
Modification:	Targets updated							
Justification:	The targets for Year 4 and Year 5 were modified to be realistic given that the actual, as at April 2012, already exceed the Year 5 and End of Compact targets.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
HCs with required staff compliment (FTE)	Percentage	0%	N/A	N/A	5%	15%	20%	20%
Modified Targets:								
HCs with required staff compliment (FTE)	Percentage	0%	N/A	N/A	5%	22%	40%	40%

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Health Sector
Indicator:	Deliveries conducted in the health facilities
Modification:	Indicator name, source, and definition changed
Justification:	The name of the indicator was changed to “Deliveries conducted in health centers” to measure the capacity of health centres to conduct deliveries and the definition was refined for clarity; in addition, the data source was corrected, as the wrong source had been indicated previously.

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Immunization coverage rate							
Modification:	Definition, baseline and targets changed.							
Justification:	The definition of the indicator was changed to cover all antigens instead of using a proxy of measles antigen. The baseline was changed to be in line with information from Lesotho DHS 2004 and 2009. The targets for Year 5 and for End of Compact were revised downwards to be more realistic.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Immunization coverage rate	Percentage	68%	N/A	N/A	80%	N/A	90%	90%
Modified Targets:								
Immunization coverage rate	Percentage	62%	N/A	N/A	80%	N/A	80%	80%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	PRWA receiving ARV treatment							
Modification:	Indicator name and definition changed							
Justification:	The name of the indicator and the definition was changed to “Percentage of people living with HIV/AIDs receiving ARV treatment” to measure the capacity of health centres to conduct deliveries.							

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Laboratory tests done							
Modification:	Indicator name changed							
Justification:	The name of the indicator was changed to “Laboratory tests done at the Central Laboratory” for more clarity.							

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Central Laboratory test referrals							
Modification:	New indicator added							
Justification:	The indicator is new used as a proxy for measuring the capacity and functionality of the new Central Laboratory being constructed at Botšabelo Complex. It measures the number of tests referred from the central laboratory to other laboratories, which are normally those based in South Africa.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Central Laboratory test referrals	Number	885	N/A	N/A	N/A	600	400	400

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Health facilities rehabilitated/constructed							
Modification:	Indicator removed							
Justification:	The indicator was removed because it was a composite indicator. It therefore did not MCA-L to measure progress for construction and equipping of health centres separately from the OPDs and other infrastructure milestones. Separate indicators have been included to track HC and OPD construction progress.							

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Percentage physical completion of health center facilities							
Modification:	New indicator added							
Justification:	The indicator was added as a split from the indicator “Health facilities rehabilitated/Constructed”. The new indicator aims to measure progress on construction works for the 138 health centres							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Percentage physical completion of health center facilities	Percentage	0%	N/A	N/A	N/A	75%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Percentage physical completion of Out Patient Departments (OPDs)							
Modification:	New indicator added							
Justification:	The indicator was added in replacement for the indicator “Health facilities rehabilitated/constructed”. The new indicator aims to measure progress on construction works for the 14 OPDs.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Percentage physical completion of Out-Patient Departments (OPDs)	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Health Centres equipped							
Modification:	New indicator added to measure progress in equipping of the 138 health centres. The equipping of Health Centres is crucial because it may have direct impact to the timing of their operationality.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Health Centres equipped	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Hospital OPDs equipped							
Modification:	New indicator added							
Justification:	The indicator is a new indicator aimed to assess progress in equipping of the 14 hospital OPDs. The equipping of OPDs is crucial because it may have direct impact to the timing of their operationality.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Hospital OPDs equipped	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Health Sector
Indicator:	Status of Botšabelo Complex construction
Modification:	Indicator removed.
Justification:	The indicator was removed because it was a composite indicator, and therefore not a good measure of progress for each of the components under Botšabelo Complex; indicators measuring progress of the individual components have been added.

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Percentage physical completion of Central Laboratory construction							
Modification:	New indicator added							
Justification:	The aim of this indicator is to reflect progress on construction works for the Central Laboratory at the Botšabelo Complex.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Percentage physical completion of Central Laboratory Construction	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Percentage physical completion of Blood Transfusion Services construction							
Modification:	New indicator added							
Justification:	The aim is to be able to reflect progress on construction works for individual components at the Botšabelo Complex.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Percentage physical completion of BTS construction	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Percentage physical completion of NHTC student accommodation construction							
Modification:	New indicator added							
Justification:	The aim is to be able to reflect progress on construction works for individual components at Botšabelo Complex.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Percentage physical completion of NHTC student accommodation construction	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Percentage physical completion of NHTC staff accommodation construction							
Modification:	New indicator added							
Justification:	The aim is to be able to reflect progress on construction works for individual components at Botšabelo Complex.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Percentage physical completion of NHTC staff accommodation construction	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Availability and use of ANC and Child Health Care resource guidelines in health facilities							
Modification:	New indicator added							
Justification:	The indicator was introduced to monitor use of the health service delivery tools developed under the HSS component of the project.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Availability and use of ANC and Child Health Care resource guidelines in health facilities	Percentage	0%	N/A	N/A	N/A	N/A	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Stakeholders reached							
Modification:	New indicator added							
Justification:	The indicator was introduced specifically to measure progress of the focused public outreach activities							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Stakeholders reached	Number	0	N/A	N/A	N/A	300	1,300	1,300

Indicator Modification Form								
Date:	May 2012							
Project:	Health Sector							
Indicator:	Community facilitators trained							
Modification:	New indicator added							
Justification:	The indicator was added to measure progress on community capacity building activities							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Community facilitators trained	Number	0	N/A	N/A	N/A	48	96	96

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Districts using computerized Health Management Information System (HMIS) reporting							
Modification:	New indicator added							
Justification:	The indicator is new and has been introduced specifically to measure progress on improvement made on the computerised Health Information Management System (HMIS).							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Districts using computerized HMIS reporting	Number	0	N/A	N/A	N/A	10	10	10

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Hospital OPDs with Electronic Medical Recording System (EMRS)							
Modification:	This is a new indicator							
Justification:	The indicator was introduced to measure progress on the operationalization of the pilot for electronic records moving from the district level to the central level							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Hospital OPDs with EMRS	Number	0	Level	N/A	N/A	1	16	16

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Health facility personnel trained in Infection, Prevention and Control							
Modification:	This is a new indicator							
Justification:	The indicator was added and it measures progress on capacity building of nurses to be conducted by the HSS Firm. The indicator specifically measures progress in training on IPRC for nurses in maternity wards and clinics and new nurses in OPDs.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Health facility personnel trained in Infection, Prevention and Control	Number	0	N/A	N/A	N/A	90	130	130

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	District performance reviews							
Modification:	New indicator added							
Justification:	The indicator is new and it was introduced to measure the capacity of the districts to conduct quarterly district performance reviews, following capacity building efforts implemented by the HSS Firm. Each district is expected to conduct two district based reviews per annum and to participate in two reviews at central level.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
District performance reviews	Number	3	N/A	N/A	N/A	10	10	10

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Level of satisfaction with district supervisory visits							
Modification:	New indicator added							
Justification:	The indicator is new and has been introduced specifically to measure the capacity of the DHMTs to undertake supervisory visits to the health centres.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Level of satisfaction with district supervisory visits	Percentage	0%	N/A	N/A	N/A	60%	80%	80%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Health centers with Health Care Waste Management (HCWM) Technologies							
Modification:	Targets updated							
Justification:	The targets for the indicator were updated from To Be Determined (TBD) as a result of better availability of information.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Health centers with HCWM Technologies	Percentage	TBD	N/A	N/A	N/A	TBD	100%	100%
Modified Targets:								
Health centers with HCWM Technologies	Percentage	0%	N/A	N/A	N/A	75%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Health Centers with access to incinerators							
Modification:	New indicator added							
Justification:	The indicator was added to measure improved access to health care waste disposal through incinerators within all the health centres in the country.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Health Centers with access to incinerators	Percentage	8%	N/A	N/A	N/A	50%	90%	90%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Amount of health infrastructure supervision and program management contracts							
Modification:	Indicator name modified.							
Justification:	The indicator name was changed to “Amount of health infrastructure supervision and program management contracts disbursed” to clarify reporting.							

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Amount of health centres works contracts disbursed							
Modification:	Indicator removed.							
Justification:	The indicator was removed because it was previously serving as a proxy for health centers physical completion. The new indicator added in the M&E Plan for health centers physical completion will be adequate to measure progress.							

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Health centre construction work contract amount disbursed							
Modification:	Indicator removed.							
Justification:	The indicator was removed because it was previously serving as a proxy for health centers physical completion. The new indicator added in the M&E Plan for health centers physical completion will be adequate to measure progress.							

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Health Sector
Indicator:	Amount of detailed design contracts disbursed for OPD centers
Modification:	Indicator removed.
Justification:	The indicator was removed. The design work for OPDs was included in the contract for the construction; the construction contract indicator has also been removed. Indicators related to both were previously included as proxies for physical completion. However, new indicators have been added in the M&E Plan to better track physical completion of OPDs.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Health Sector
Indicator:	OPD contract works amount disbursed
Modification:	Indicator removed.
Justification:	The indicator was removed because it was previously serving as a proxy for OPDs physical completion. The new indicator added in the M&E Plan for OPDs physical completion will be adequate to measure progress.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Health Sector
Indicator:	Amount of construction contracts disbursed for OPD centers
Modification:	Indicator removed.
Justification:	The indicator was removed because it was previously serving as a proxy for OPDs physical completion. The new indicator added in the M&E Plan for OPDs physical completion will be adequate to measure progress.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Health Sector
Indicator:	Central Laboratory construction works amount disbursed
Modification:	Indicator removed.
Justification:	The indicator was removed because it was previously serving as a proxy for Central Laboratory physical completion. The new indicator added in the M&E Plan for physical completion will be adequate to measure progress.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Health Sector
Indicator:	NHTC contract works amount disbursed
Modification:	Indicator removed.
Justification:	The indicator was removed because it was previously serving as a proxy for NHTC physical completion. The new indicator added in the M&E Plan for physical completion will be adequate to measure progress.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Health Sector
Indicator:	Blood Transfusion Services contract amount disbursed
Modification:	Indicator removed.
Justification:	The indicator was removed because it was previously serving as a proxy for BTS center physical completion. The new indicator added in the M&E Plan for physical completion will be adequate to measure progress.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Health Sector
Indicator:	Waste Management Contract signed
Modification:	Indicator name modified.
Justification:	The indicator name was modified to “HCWM contract signed” to use terminology relevant to the activity.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Health Sector
Indicator:	Value of Waste Management Contract
Modification:	Indicator name modified.
Justification:	The indicator name was modified to “Value of HCWM contract” to use terminology relevant to the activity.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Health Sector
Indicator:	Waste Management Contract amount disbursed
Modification:	Indicator name modified.
Justification:	The indicator name was modified to “HCWM contract amount disbursed” to use terminology relevant to the activity.

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Availability of Environmental Management Plans (EMPs)							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of health and safety in the projects and during construction and this includes, it is also expected that each system owns up to its plan.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Availability of EMPs	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Compliance with EMPs							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of health and safety in the projects and during construction and this includes the Environmental Management Plans, it is also expected that each system owns up to its plan.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Compliance with EMPs	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Availability of Health and Safety Plans							
Modification:	This is a new indicator							
Justification:	The indicator was introduced given the importance of health and safety in the projects and during construction, it is also expected that each system owns up to its plan.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Availability of Health and Safety Plans	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Compliance with Health and Safety Plans							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of not just having the Health and Safety plans available but to monitor if the plans are being used appropriately.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Compliance with Health and Safety Plans	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Compliance with HIV and AIDS Plans							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of not just having the HIV and AIDS plans available but to monitor if the plans are being used appropriately.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q17-Q20
Compact Targets:								
Compliance with HIV and AIDS Plans	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Availability of Trafficking in Persons (TIP) Strategy							
Modification:	This is a new indicator							
Justification:	The indicator was introduced given the importance of avoiding people to be engaged in TIP especially for the workers involved in the project constructions.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q17-Q20
Compact Targets:								
Availability of Trafficking in Persons (TIP) Strategy	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Health Sector							
Indicator:	Compliance with gender integration							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of gender integration into all projects, a well as during construction activities. It is also expected that its incorporated in all projects							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Gender integration	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Water Sector Project Modification Tables

Indicator Modification Form	
Date:	May 2012
Project Activity	Rural Water and Sanitation
Indicator:	School days lost due to reduction of water borne diseases
Modification:	Indicator name modified
Justification:	The indicator name has been changed to “School days lost due to water borne disease” to clarify what is being measured by the indicator.

Indicator Modification Form	
Date:	May 2012
Project Activity	Rural Water and Sanitation
Indicator:	Households with access to improved water supply
Modification:	Indicator removed
Justification:	The indicator has been removed because the methods of collecting the data to inform the indicator were unreliable. Other methods explored proved to be costly relative to the value of the indicator.

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	People with access to rural water supply							
Modification:	New indicator added							
Justification:	The indicator was added in replacement of the “Households with access to improved water supply” indicator. It is aimed to measure the number of people with access to water points within 150 metres of walking distance and is specific to the project areas.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
People with access to rural water supply	Number	0	N/A	N/A	N/A	30, 000	150, 000	150, 000

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Access to improved latrines							
Modification:	Modification of targets.							
Justification:	The targets were modified as the result of re-scoping within the project activity, which is aiming at 100% coverage of sanitation facilities within all project areas; and indicator name was modified from “Access to improved latrines” to provide more specificity.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Access to improved latrines	Percentage	0%	N/A	N/A	15.5%	16%	16.3%	16.3%
Modified Targets:								
Households with access to improved latrines	Percentage	0%	N/A	N/A	15.5%	84%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Knowledge of good hygiene practices							
Modification:	Modification of the targets							
Justification:	The targets were established given the availability of necessary information.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Knowledge of good hygiene practices	Percentage	0%	N/A	N/A	TBD	N/A	TBD	TBD
Modified Targets:								
Knowledge of good hygiene practices	Percentage	0%	N/A	N/A	N/A	68%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Ventilated Improved Pit (VIP) latrines built							
Modification:	Modification of the targets							
Justification:	The targets were modified as the result of better availability of information.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
VIP latrines built	Number	0	N/A	N/A	9,262	16,262	26,000	26,000
Modified Targets:								
VIP latrines built	Number	0	N/A	N/A	9,262	16,262	30,000	30,000

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Water points constructed							
Modification:	New indicator added							
Justification:	The indicator was added to provide a measure on progress regarding construction and completion of all water systems. It is also a Common Indicator.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Water points constructed	Number	0	N/A	N/A	N/A	170	250	250

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Phase A water systems constructed							
Modification:	New indicator added							
Justification:	The indicator was added to provide a measure on progress regarding construction and completion of Phase A water systems.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Phase A water systems constructed	Number	0	N/A	N/A	N/A	80	80	80

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Phase B water systems constructed							
Modification:	New indicator added							
Justification:	The indicator was added to provide a measure on progress regarding construction and completion of Phase B water systems.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Phase B water systems constructed	Number	0	N/A	N/A	N/A	90	90	90

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Phase C water systems constructed							
Modification:	New indicator added							
Justification:	The indicator was added to provide a measure on progress regarding construction and completion of Phase C water systems.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Phase C water systems constructed	Number	0	N/A	N/A	N/A	N/A	80	80

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	People trained in hygiene and sanitary best practices							
Modification:	New indicator added							
Justification:	The indicator was added to measure progress in number of trainings in hygiene awareness within the project. It is also an MCC Common Indicator.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
People trained in hygiene and sanitary best practices	Number	0	N/A	N/A	N/A	90	170	170

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Water minders trained							
Modification:	New indicator added							
Justification:	The indicator was introduced as a new indicator to the project given the importance of tracking the people trained to take care of water systems after each phase of construction is complete.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Water minders trained	Number	0	N/A	N/A	N/A	170	250	250

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Availability of Environmental Management Plans (EMPs)							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of health and safety in the projects and during construction and this includes, it is also expected that each system owns up to its plan.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Availability of EMPs	Percentage	0%	N/A	N/A	N/A	68%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Compliance with EMPs							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of health and safety in the projects and during construction and this includes the Environmental Management Plans, it is also expected that each system owns up to its plan.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Compliance with EMPs	Percentage	0%	N/A	N/A	N/A	68%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Availability of Health and Safety Plans							
Modification:	This is a new indicator							
Justification:	The indicator was introduced given the importance of health and safety in the projects and during construction, it is also expected that each system owns up to its plan.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Availability of Health and Safety Plans	Percentage	0%	N/A	N/A	N/A	68%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Compliance with Health and Safety Plans							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of not just having the Health and Safety plans available but to monitor if the plans are being used appropriately.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Compliance with Health and Safety Plans	Percentage	0%	N/A	N/A	N/A	68%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Compliance with HIV and AIDS Plans							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of not just having the HIV and AIDS plans available but to monitor if the plans are being used appropriately.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q17-Q20
Compact Targets:								
Compliance with HIV and AIDS Plans	Percentage	0%	N/A	N/A	N/A	68%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Availability of Trafficking in Persons (TIP) Strategy							
Modification:	This is a new indicator							
Justification:	The indicator was introduced given the importance of avoiding people to be engaged in TIP especially for the workers involved in the project constructions.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q17-Q20
Compact Targets:								
Availability of Trafficking in Persons (TIP) Strategy	Percentage	0%	N/A	N/A	N/A	68%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Compliance with gender integration							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of gender integration into all projects, a well as during construction activities. It is also expected that its incorporated in all projects							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Compliance with gender integration	Percentage	0%	N/A	N/A	N/A	68%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Temporary employment created							
Modification:	Change in indicator name and definition							
Justification:	The indicator name was changed to “Temporary employment generated in water and sanitation construction” and definition modified to be in line with MCC Common Indicator name and definition.							

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Construction of water and sanitation contract signed							
Modification:	Indicator removed							
Justification:	The indicator was removed because it does not provide good measure of contract award for separate contracts for Rural Water and Sanitation phases, which are overlapping within one Compact year.							

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Amount of Construction Contracts Disbursed for Rural Water and Sanitation Systems							
Modification:	Indicator removed							
Justification:	The indicator was removed because it added little value beyond what is represented through two indicators related to the value of rural water and sanitation system contracts signed and percent disbursed against such contracts.							

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Construction of Water and Sanitation Works Contract amount disbursed							
Modification:	Indicator name changed, definition was established.							
Justification:	The indicator was modified to be in line with name and definition of Common Indicators: "Percent disbursed of water and sanitation construction contracts." In addition, the Y4 target has been changed to TBD pending the signing of an additional construction contract and the planning of related disbursements.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Construction of Water and Sanitation Works Contract amount disbursed	Percentage	0%	N/A	17%	71%	98%	100%	100%
Modified Targets:								
Percent disbursed of water and sanitation construction contracts	Percentage	0%	N/A	17%	71%	TBD	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Value of construction of water and sanitation works contract							
Modification:	Indicator name, definition and targets modified.							
Justification:	The indicator was modified to be in line with name and definition of Common Indicators. The targets were modified for confidentiality reasons; they will be updated once the Phase C contract has been signed.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Value of construction of water and sanitation works contract	US Dollar	0.00	N/A	11,143,192	22778248	N/A	N/A	22778248
Modified Targets:								
Value of signed water and sanitation construction contracts	US Dollar	0.00	N/A	11,143,192	22778248	TBD ⁵	TBD	TBD

⁵ Target information withheld because one contract for Phase C is yet to be signed.

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Construction of Phase B water and sanitation works contract signed							
Modification:	New indicator added							
Justification:	The indicator was added and it shows the date at which the contract was awarded for Phase B construction works.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Construction of Phase B water and sanitation works contract signed	Date	N/A	N/A	N/A	30-Aug-2011	N/A	N/A	30-Aug-2011

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Value of Phase B water and sanitation works contract							
Modification:	New indicator added							
Justification:	The indicator was added and it shows total value of the contract awarded for Phase B construction works.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Value of Phase B water and sanitation works contract	US Dollars	0.00	N/A	N/A	17,356,789	N/A	N/A	17,356,789

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Amount of construction contract disbursed for Phase B water and sanitation contract							
Modification:	New indicator added							
Justification:	The indicator was added and it shows the amount disbursed on the contract over the total value of Phase B construction works' contract.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Amount of construction contract disbursed for Phase B water and sanitation contract	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Construction of Phase C water and sanitation works contract signed							
Modification:	New indicator added							
Justification:	The indicator was added and it shows the date at which the contract was awarded for Phase C construction works.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Construction of Phase C water and sanitation works contract signed	Date	N/A	N/A	N/A	N/A	31-Jul-12	N/A	31-Jul-12

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Value of Phase C water and sanitation works contract							
Modification:	New indicator added							
Justification:	The indicator was added to show the total value of the contract awarded for Phase C construction works.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Value of Phase C water and sanitation works contract	US Dollars	0.00	N/A	N/A	N/A	TBD	N/A	N/A

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Rural Water and Sanitation							
Indicator:	Amount of construction contract disbursed for Phase C water and sanitation contract							
Modification:	New indicator added							
Justification:	The indicator was added and it shows the amount disbursed on the contract over the total value of Phase C construction works' contract.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Amount of construction contract disbursed for Phase C water and sanitation contract	Percentage	0%	N/A	N/A	N/A	10%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Average time saved per household							
Modification:	Indicator removed							
Justification:	The indicator was removed because it was not measurable within the compact period. The Urban and Peri-Urban Water Activity is no longer going to achieve direct house connections. The Activity will however make provisions for house connections; hence, there are no means to measure average time saved per household.							

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Urban and Peri-Urban Water
Indicator:	Households with reliable water services
Modification:	Indicator removed
Justification:	The indicator was removed because it was not measurable. The Urban and Peri-Urban Water Activity is no longer going to achieve direct house connections and therefore the impact on water reliability will not be possible to measure within the compact period.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Urban and Peri-Urban Water
Indicator:	Enterprises with reliable water services
Modification:	Indicator removed
Justification:	The indicator was removed from the M&E plan because it is no longer applicable given that the Activity will only attain provision for water connections instead of the actual water connections to enterprises.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Urban and Peri-Urban Water
Indicator:	Commercial Water Consumption
Modification:	Indicator removed
Justification:	The indicator was removed from the M&E Plan because it is no longer applicable given that the Activity will only attain provisions for water connections instead of the actual water connections to enterprises. Hence water supply and consumption for commercial use is no longer anticipated.

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Unaccounted for Water							
Modification:	Change in indicator name, modification of definition, revision of targets							
Justification:	The name of the indicator was changed from <i>Unaccounted for Water</i> to <i>Non Revenue Water</i> to align to the indicator name and definition as provided in the MCCs Guidance for Common Indicators; targets in the Indicator Annexes were updated to correct discrepancies in the prior version of the M&E Plan.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Original Targets:								
<i>Unaccounted for Water</i>	Percentage	29%	0%	0%	0%	0%	0%	0%
Revised Targets:								
<i>Non Revenue Water</i>	Percentage	29%	N/A	N/A	N/A	26%	25%	25%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Volume of treated water							
Modification:	Indicator removed							
Justification:	The indicator was removed from the M&E Plan because it is no longer applicable given that the Activity will not attain a fully functional water treatment plant within the Compact period.							

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Status of water works in the four cities							
Modification:	Indicator removed							
Justification:	The indicator was removed from the M&E Plan since monitoring status of work in each city was infeasible.							

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Water Pipes Coverage							
Modification:	New indicator added							
Justification:	The indicator was added specifically to measure coverage in terms of kilometres of pipe laid in all project areas; the hypothesis is that the more extensive the pipe coverage, the more households that will have access to potable water							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Water Pipes Coverage	Km	0	N/A	N/A	N/A	99.94	173.67	173.67

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Households with provisions to connect to water networks							
Modification:	New indicator added							
Justification:	The indicator has been added due to change in scope of the Activity, which has moved from connecting water pipes to households to making provisions for such connections to the households. The indicator measures progress in the number of houses that have received provisions to connect to the water network.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Households with provisions to connect to water networks	Number	0	N/A	N/A	N/A	500	2,454	2,454

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Reservoirs Constructed							
Modification:	New indicator added							
Justification:	The indicator has been added as a proxy to measure increased storage volume for treated water which improves reliability of water supply.							
			Targets					
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Reservoirs Constructed	Number	0	N/A	N/A	N/A	1	8	8

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Rehabilitated reservoirs							
Modification:	New indicator added							
Justification:	The indicator has been added to measure progress in rehabilitation of water reservoirs.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Rehabilitated Reservoirs	Number	0	N/A	N/A	N/A	1	4	4

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Upgraded pumping stations							
Modification:	New indicator added							
Justification:	The indicator has been added as a proxy to measure reliability of water supply.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Upgraded pumping stations	Number	0	N/A	N/A	N/A	N/A	3	3

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Functioning Metolong water treatment plant							
Modification:	New indicator added							
Justification:	The indicator was added to track an important milestone within this activity.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Functioning Metolong water treatment plant	Date	N/A	N/A	N/A	N/A	N/A	30-Jul-13	30-Jul-13

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Percentage physical completion of Package 1 (Maseru and Mazenod) urban water supply contract							
Modification:	New indicator added							
Justification:	The indicator is added as a proxy to measure percentage physical completion of Package 1 (Maseru and Mazenod) urban water supply systems.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Percentage physical completion of Package 1 (Maseru and Mazenod) urban water supply contract	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Semongkong (new water supply system) civil works about disbursed							
Modification:	Indicator name changed.							
Justification:	The indicator name was changed to “Percentage physical completion of Package 2 (Semonkong) urban water supply contract” as a way of making it clearer. The indicator is used as a proxy for physical completion.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Original Targets:								
Semongkong (new water supply system) civil works about disbursed	Percentage	0%	N/A	N/A	70.5%	29.5%	100%	100%
Revised Targets:								
Percentage physical completion of Package 2 (Semonkong) urban water supply contract	Percentage	0%	N/A	N/A	70.5%	15.8%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Mafeteng, Mohale's Hoek, Quthing and Qacha's Nek water supply civil works about disbursed							
Modification:	Indicator name changed.							
Justification:	The indicator name was changed to “Percentage physical completion of Package 3 (Mafeteng, Mohale's Hoek, Quthing and Qacha's Nek) urban water supply contract” as a way of making it clearer. The indicator is used as a proxy for physical completion.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Original Targets:								
Mafeteng, Mohale's Hoek, Quthing and Qacha's Nek water supply civil works about disbursed	Percentage	0%	N/A	N/A	47%	89%	100%	100%
Revised Targets:								
Percentage physical completion of Package 3 (Mafeteng, Mohale's Hoek, Quthing and Qacha's Nek) urban water supply contract	Percentage	0%	N/A	N/A	47%	67.5%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Mokhotlong, Butha-Buthe, Leribe and Maputsoe water supply civil works about disbursed							
Modification:	Indicator name changed.							
Justification:	The indicator name was changed to “Percentage physical completion of Package 4 (Mokhotlong, Butha-Buthe, Leribe and Maputsoe) urban water supply contract” as a way of making it clearer. The indicator is used as a proxy for physical completion.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Original Targets:								
Mokhotlong, Butha-Buthe, Leribe and Maputsoe water supply civil works about disbursed	Percentage	0%	N/A	N/A	58%	100%	100%	100%
Revised Targets:								
Percentage physical completion of Package 4 (Mokhotlong, Butha-Buthe, Leribe and Maputsoe) urban water supply contract	Percentage	0%	N/A	N/A	58%	81.4%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Percentage physical completion of Package 5 (Mapoteng) urban water supply contract							
Modification:	New indicator added							
Justification:	The indicator is added as a proxy to measure physical completion of Package 5 (Mapoteng) urban water supply network.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Percentage physical completion of Package 5 (Mapoteng) urban water supply contract	Percentage	0%	N/A	N/A	N/A	81.2%	100%	100%

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Urban and Peri-Urban Water
Indicator:	Amount of feasibility and/or detailed design contracts disbursed for urban water systems
Modification:	Indicator name changed.
Justification:	The indicator name was changed to “Amount of feasibility and/or detailed design and construction supervision contract disbursed for urban water systems” because the contract for feasibility, designs and construction supervision was signed as one contract.

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Availability of Environmental Management Plans (EMPs)							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of health and safety in the projects and during construction. It is also expected that each system owns up to its plan.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Availability of EMPs	Percentage	0%	N/A	N/A	N/A	100%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Compliance with EMPs							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of health and safety in the projects and during construction and this includes the Environmental Management Plans, it is also expected that each system owns up to its plan.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Compliance with EMPs	Percentage	0%	N/A	N/A	N/A	40%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Availability of Health and Safety Plans							
Modification:	This is a new indicator							
Justification:	The indicator was introduced given the importance of health and safety in the projects and during construction, it is also expected that each system owns up to its plan.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Availability of Health and Safety Plans	Percentage	0%	N/A	N/A	N/A	40%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Compliance with Health and Safety Plans							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of not just having the Health and Safety plans available but to monitor if the plans are being used appropriately.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Compliance with Health and Safety Plans	Percentage	0%	N/A	N/A	N/A	40%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Compliance with HIV and AIDS Plans							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of not just having the HIV and AIDS plans available but to monitor if the plans are being used appropriately.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q17-Q20
Compact Targets:								
Compliance with HIV and AIDS Plans	Percentage	0%	N/A	N/A	N/A	40%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Availability of Trafficking in Persons (TIP) Strategy							
Modification:	This is a new indicator							
Justification:	The indicator was introduced given the importance of avoiding people to be engaged in TIP especially for the workers involved in the project constructions.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q17-Q20
Compact Targets:								
Availability of TIP Strategy	Percentage	0%	N/A	N/A	N/A	40%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Compliance with gender integration							
Modification:	New indicator added							
Justification:	The indicator was introduced given the importance of gender integration into all projects, a well as during construction activities. It is also expected that its incorporated in all projects							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Gender integration	Percentage	0%	N/A	N/A	N/A	40%	100%	100%

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Urban and Peri-Urban Water							
Indicator:	Metolong Water Treatment Works contract amount disbursed							
Modification:	Indicator name changed.							
Justification:	The indicator name was changed to “Percentage physical completion of Metolong Water Treatment Works contract” as a way of making it clearer. The indicator is used a proxy for physical completion.							

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Urban and Peri-Urban Water
Indicator:	Conveyance system contract signed and awarded
Modification:	Indicator removed.
Justification:	The indicator was removed. As the result of re-scoping within the Activity, the scope of the contract changed from design and construction of conveyance system to design and preparation of tender documents.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Urban and Peri-Urban Water
Indicator:	Value of conveyance system contract
Modification:	Indicator removed.
Justification:	The indicator was removed. As the result of re-scoping within the Activity, the scope of the contract changed from design and construction of conveyance system to design and preparation of tender documents.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Urban and Peri-Urban Water
Indicator:	Conveyance contract works amount disbursed
Modification:	Indicator removed.
Justification:	The indicator was removed. As the result of re-scoping within the Activity, the scope of the contract changed from design and construction of conveyance system to design and preparation of tender documents.

Indicator Modification Form	
Date:	May 2012
Project/Activity:	Wetlands Restoration and Rehabilitation
Indicator:	Area re-vegetation
Modification:	Indicator removed
Justification:	This indicator was removed from the M&E Plan because it was not measurable within the capacity of the project.

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Wetlands Restoration and Rehabilitation							
Indicator:	Catchment area receiving wetland remediation by re-vegetation							
Modification:	New indicator added							
Justification:	This is a new indicator in replacement of the “Area re-vegetation”. It has been introduced to measure proportion of area re-vegetated or replanted within Khalong-la Lithunya and Koti Sephola restoration areas. This will be measured in meters squared and use a different measurement methodology (from “Area re-vegetation”) given the smaller area to be re-vegetated.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Catchment area receiving wetland remediation by re-vegetation	Metres squared	0	N/A	N/A	N/A	4,400	5,506	5,506

Indicator Modification Form								
Date	May 2012							
Project/Activity:	Wetlands Restoration and Rehabilitation							
Indicator	Adoption document signed							
Modification	New indicator added							
Justification:	The indicator is new and is a result of the re-scoping of the project such that some activities have changed and the wetlands project can only work towards developing strategies for improvement of the wetlands management system. The adoption document is a strategy for implementation of the project beyond the Compact by the implementing entity.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Adoption document signed	Date	N/A	N/A	N/A	N/A	N/A	30-Aug-13	30-Aug-13

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Wetlands Restoration and Rehabilitation							
Indicator:	Alternative Livelihoods Income Generation Strategy adopted							
Modification:	New indicator added							
Justification:	The indicator is new and is a result of the re-scoping of the project such that some activities have changed and the wetlands project can only work towards documenting alternative income generating activities for community groups within the project areas							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Alternative Livelihoods Income Generation Strategy adopted	Date	N/A	N/A	N/A	N/A	N/A	30-Aug-13	30-Aug-13

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Wetlands Restoration and Rehabilitation							
Indicator:	Retention structures							
Modification:	The name and target for the indicator were modified							
Justification:	The name was made more specific, end of Compact target for the indicator was increased since the previous target had already been achieved, and the unit of measure was made more appropriate.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
Retention structures	Hectares	0	N/A	N/A	97	N/A	195	195
Modified Targets:								
Retention structures constructed	Number	0	N/A	N/A	97	195	208	208

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Wetlands Restoration and Rehabilitation							
Indicator:	People trained							
Modification:	New indicator added							
Justification:	The indicator was added to measure progress in training of targeted groups in development of business plans for alternative livelihood enterprises.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
People trained	Number	0	N/A	N/A	N/A	90	90	90

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Wetlands Restoration and Rehabilitation							
Indicator:	People aware of threats to wetlands							
Modification:	Indicator removed							
Justification:	This indicator has been removed because there is no reliable means for tracking it. The project is administering a small survey; however, the representativeness and consistency of the data cannot be verified.							

Indicator Modification Form								
Date:	May 2012							
Project/Activity:	Wetlands Restoration and Rehabilitation							
Indicator:	Strategic Performance Assessment (SPA) approved							
Modification:	New indicator added							
Justification:	The indicator is new and is a result of the re-scoping of the project such that some activities have changed and the wetlands project can only work towards providing documentation and approval of SPA. This is an assessment of pilot activities of the project since its inception in 2008. It is comparing the results thereof against the original intention of the project, identifying lessons learned from the implementation and thus preparing a framework of lessons and potential tools to be used in the development of the National Wetlands Conservation Strategy.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets:								
SPA approved	Date	N/A	N/A	N/A	N/A	N/A	31-May-13	31-May-13

Private Sector Development Project Modification Tables

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Civil Legal Reform Project							
Indicator	Cost required to complete procedures							
Modification	New indicator added							
Justification	This is an outcome indicator. The Project aims to reduce the cost related to resolution of commercial dispute in Lesotho. Previously, there was no indicator measuring the reduction in costs of resolution of commercial disputes. The indicator will be measured using World Bank Doing Business Report. The indicator is measured as the cost of attorney, court and enforcement as a percentage of claim value.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Cost required to complete procedures	Percentage	19.5%	N/A	N/A	N/A	17%	9.8%	9.8%

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Civil Legal Reform Project							
Indicator	Cases that are successfully resolved in the commercial court							
Modification	New indicator added							
Justification	This is an outcome indicator measuring performance of the commercial court and the rate at which backlog is reduced.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Cases that are successfully resolved in the commercial court	Number	2	N/A	N/A	N/A	200	250	250

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Civil Legal Reform Project							
Indicator	Cases referred to Alternative Dispute Resolution (ADR) that are successfully completed							
Modification	Indicator name, definition and targets modified							
Justification	The indicator name was changed to <i>Cases referred to Court Annexed Mediation that are successfully completed</i> for more clarity since the ADR is now well known. The targets were revised for Y5 in view of the actual to date and delays in implementation.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Cases referred to Alternative Dispute Resolution (ADR) that are successfully completed	Percentage	0%	N/A	N/A	25%	54%	85%	85%
Modified Targets								
Cases referred to Court Annexed Mediation that are successfully completed	Percentage	0%	N/A	N/A	25%	54%	70%	70%

Indicator Modification Form								
Date	May 2012							
Project Activity	Civil Legal Reform Project							
Indicator	Cases completed under the small claims procedure							
Modification	Indicator name, definition and unit of measurement were modified							
Justification	The indicator name has been changed to <i>Cases resolved under small claims procedures</i> for better clarity. The unit of measure was changed to percentage to measure cases resolved out of the total filed under the Small Claims Procedure. The targets were also changed to percentages.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Cases completed under the small claims procedure	Number	0	N/A	N/A	50	105	194	194
Modified Targets								
Cases resolved under small claims procedures	Percentage	0%	N/A	N/A	N/A	80%	85%	85%

Indicator Modification Form								
Date	May 2012							
Project /Activity	Civil Legal Reform Project							
Indicator	Cases filed at the commercial court							
Modification	Indicator level and targets modified							
Justification	The indicator level was changed from output to outcome level because the change is expected after project intervention. Targets were modified to be more realistic.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Cases filed at the commercial court	Number	216	N/A	243	450	780	965	965
Modified Targets								
Cases filed at the commercial court	Number	216	N/A	243	450	1,100	1,200	1,200

Indicator Modification Form								
Date	May 2012							
Project/Activity	Civil Legal Reform Project							
Indicator	Value of commercial cases							
Modification	Indicator level, indicator name, unit of measurement and baseline were modified							
Justification	The indicator level was changed from outcome to output and a slight modification on the indicator name was made to make it clearer. Additionally, the baseline was revised based on better availability of information from High Court Registry. The targets were revised because of the change in baseline as well as correction of error in the data. Finally, the reporting currency was changed from Maloti to US Dollars; the exchange rate used is 7:1.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Value of commercial cases	Maloti	81,402,981	N/A	76,756,500	116,173,720	170,843,700	256,265,550	256,265,550
Modified Targets								
Value of commercial cases in court	US Dollars	1,571,486	N/A	N/A	N/A	3,298, 141	4,947,211	4,947, 211

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Civil Legal Reform Project							
Indicator	Cases filed in the small claims procedure							
Modification	New indicator added							
Justification	The indicator was introduced at output level to measure use of the small claims procedure.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Cases filed in the small claims procedure	Number	0	N/A	N/A	N/A	700	1 200	1 200

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Credit Bureau Project							
Indicator	Performing loans							
Modification	Baseline and targets modified							
Justification	The baseline and targets were revised as the original values were based on erroneous data. The targets were also changed from Maloti to USD as per the advice to use the USD to facilitate reporting in US currency in the ITT. The exchange rate used is 7:1.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Performing loans	Maloti	1,368,540,000	1,505,394,000	1,806,472,800	1,779,102,000	2,312,832,600	3,006,682,380	3,006,682,380
Modified Targets								
Performing loans	US Dollars	191,371,286	235, 906,286	291, 584,714	348, 165, 951	424 ,345,539	491,589,292	491,589,292

Indicator Modification Form								
Date	May 2012							
Project/Activity	Credit Bureau Project							
Indicator	Portfolio of loans							
Modification	Targets modified							
Justification	The baseline and targets were also revised as the original values were based on erroneous data. The targets were also changed from Maloti to USD to facilitate reporting in USD when reporting currency in the ITT. The exchange rate used is 7:1.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Portfolio of loans	Maloti	1,409,000,000	1,549,900,000	1,859,880,000	2,417,844,000	3,143,197,200	4,086,156,360	4,086,156,360
Modified Targets								
Portfolio of loans	US Dollars	195,371,000	243,577,286	300,999,143	349,413,857	425,561,429	492,997,857	492,997,857

Indicator Modification Form								
Date	May 2012							
Project/Activity	Credit Bureau Project							
Indicator	Non-performing loans							
Modification	New indicator added							
Justification	Indicator introduced at outcome level to measure the performance of loans that do not meet the required installments as given by financial institutions. The introduction of Credit Bureau is to reduce the non-performing loans to less than 2% non-repayment rate.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Non-performing loans	US Dollars	3 999 714	N/A	N/A	N/A	1 215 890	1 408 565	1 408 565

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Credit Bureau Project							
Indicator	Credit providers registered							
Modification	New indicator added							
Justification	Indicator introduced at outcome level to measure the Project performance in terms of the number of credit providers that register.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Credit providers registered	Number	0	N/A	N/A	N/A	10	25	25

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Credit Bureau Project							
Indicator	Loan application processing time							
Modification	Targets modified							
Justification	The targets were revised due to delays in implementation of the Project to more realistic targets for Y4 and Y5.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Loan application processing time	Days	7	7	7	3	1	1	1
Modified Targets								
Loan application processing time	Days	7	7	7	3	3	2	2

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Credit Bureau Project							
Indicator	Award of contract for Drafting of the Laws							
Modification	New indicator added							
Justification	The indicator was introduced at process level to monitor progress on the engagement of a consultant to draft the Credit Bureau laws.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Award of contract for Drafting of the Laws	Date	N/A	N/A	N/A	30-Dec-10	N/A	N/A	30-Dec-10

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Credit Bureau Project							
Indicator	Award of contract for training of CBL Staff							
Modification	New indicator added							
Justification	The indicator was introduced at process level to monitor progress on the engagement of a consultant to train the staff on regulation of the Credit Bureau.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Award of contract for training of CBL Staff	Date	N/A	N/A	N/A	30-Dec-10	N/A	N/A	30-Dec-10

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Debit/Smart Card							
Indicator	New customers (previously unbanked)							
Modification	New indicator added							
Justification	The Project aims to reach and expand access to the unbanked population in Lesotho. The indicator introduced at outcome level will measure the rate at which the unbanked people are being reached through project implementation.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
New customers (previously unbanked)	Number	0	N/A	N/A	N/A	16,272	24,000	24,000

Indicator Modification Form								
Date	May 2012							
Project/Activity	Debit/Smart Card							
Indicator	Debit/Smart cards issued							
Modification	Targets modified							
Justification	The Y4 and Y5 annual targets were changed due to delays in implementation initially planned for completion in Y3. As a result, no cards were issued in Year 3 and initial targeted number issued for the compact will hence decline to 50,000.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Debit/Smart cards issued	Number	0	N/A	N/A	50,000	120,000	236,363	236,363
Modified Targets								
Debit/Smart cards issued	Number	0	N/A	N/A	50,000	50,000	50,000	50,000

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Debit/Smart Card							
Indicator	New distribution points (Merchant Points of Sale (POS))							
Modification	New indicator added							
Justification	Indicator introduced at output level to measure the Project performance in terms of the number of distribution points introduced to prepare for Project launch.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
New distribution points (Merchant POS)	Number	0	N/A	N/A	N/A	100	300	300

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Debit/Smart Card							
Indicator	Interface LPB to core banking system installed							
Modification	New indicator added							
Justification	Indicator introduced at process level to monitor the date on which the system will be installed and commissioned.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Interface LPB to core banking system installed	Date	N/A	N/A	N/A	N/A	30-Apr-2012	N/A	30-Apr-2012

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Debit/Smart Card							
Indicator	Integrated electronic transacting platform activated							
Modification	New indicator added							
Justification	The indicator was introduced at process level to monitor the date on which the debit/smart card activity goes live.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Integrated electronic transacting platform activated	Date	N/A	N/A	N/A	N/A	30-Apr-2012	N/A	30-Apr-2012

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Land Administration Reform Project							
Indicator	Time to process a lease							
Modification	Targets modified and definition clarified that this is administrative time by LAA/LSPP to process a lease; data source updated.							
Justification	The original baseline value, which was based on the World Bank Doing Business report, was not considered realistic; the new baseline is based on the Swedesurvey Baseline Report which is believed to be more reliable. The targets were revised for Y4 and Y5 to be more realistic and consistent with new information. The data source for Y4 and Y5 will be LAA Reports.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Time to process a lease	Days	180	180	N/A	101	N/A	30	30
Modified Targets								
Time to process a lease	Days	365	180	N/A	101	30	30	30

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Land Administration Reform Project							
Indicator	Bonds registered							
Modification	Indicator name, definition, and targets modified.							
Justification	The indicator name, definition, and targets were revised to reflect more clearly how land and property are being used to stimulate economic activity. In addition, the targets better reflect what seems feasible in compact year 4 based on availability of more reliable information from the LAA registers.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Bonds registered	Number	148	148	418	758	1188	1540	1 540
Modified Targets								
Bonds registered	Number	148	148	418	758	1040	1 540	1 540

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Land Administration Reform Project							
Indicator	Value of bonds registered							
Modification	Indicator name, definition, and targets changed							
Justification	The indicator name and definition were revised to reflect more clearly how land and property are being used to stimulate economic activity. The targets were derived based on current trends in the records of the bonds registered by the Deeds Registry.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Value of bonds registered	US Dollar	TBD	TBD	TBD	TBD	TBD	TBD	TBD
Modified Targets								
Value of bonds registered	US Dollar	9,763,117	N/A	N/A	N/A	N/A	14,000,000	14,000,000

Indicator Modification Form	
Date	May 2012
Project Activity	Land Administration Reform Project
Indicator	Monetary cost to process a lease application
Modification	Indicator removed
Justification	The indicator was removed because of impracticality of measuring informal costs such as transportation, bribes and follow-up expenses.

Indicator Modification Form								
Date	May 2012							
Project Activity	Land Administration Reform Project							
Indicator	Administrative cost to process a lease application							
Modification	New indicator added							
Justification	The indicator has been added in replacement of the <i>Monetary cost to process a lease application</i> . It is aimed to measure only average administrative costs to title holders to process a lease.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Administrative cost to process a lease application	US Dollar	167	N/A	N/A	N/A	167	75	75

Indicator Modification Form	
Date	May 2012
Project Activity	Land Administration Reform Project
Indicator	Land transactions recorded
Modification	Indicator removed
Justification	The indicator was removed because the definition included both first and secondary land transactions and therefore not a good measure to show performance of the project.

Indicator Modification Form								
Date	May 2012							
Project /Activity	Land Administration Reform Project							
Indicator	Secondary land transactions recorded							
Modification	New indicator added							
Justification	The indicator was added as a replacement of the indicator <i>Land transactions recorded</i> . The indicator measures changes in the volume of secondary transactions (excluding mortgages). The baseline value reflects the existing number of transactions recorded in 2008 in line with the LAA records.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Secondary land transactions recorded	Number	168	N/A	N/A	N/A	360	560	560

Indicator Modification Form								
Date	May 2012							
Project /Activity	Land Administration Reform Project							
Indicator	Percentage change in time for property transactions							
Modification	New indicator							
Justification	The indicator has been added because it is an MCC common indicator							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Percentage change in time for property transactions	Percentage	0%	N/A	N/A	N/A	71%	71%	71%

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Land Administration Reform Project							
Indicator	Percentage change in cost for property transactions							
Modification	New indicator							
Justification	The indicator has been added because it is an MCC common indicator							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Percentage change in cost for property transactions	Percentage	0%	N/A	N/A	N/A	4.2%	6.3%	6.3%

Indicator Modification Form								
Date	May 2012							
Project /Activity	Land Administration Reform Project							
Indicator	Land Parcels regularized and registered							
Modification	Level changed from outcome to output. The indicator name was changed and the target for Y4 was also changed.							
Justification	The indicator level was changed because it is the direct result of the project under land regularization component. Additionally, the name was slightly changed to read “urban land parcels regularized and registered”. The target for Y4 was revised to reflect the pace of implementation.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Land Parcels regularized and registered	Number	0	N/A	N/A	5 000	25 000	55 000	55 000
Modified Targets								
Urban land Parcels regularized and registered	Number	0	N/A	N/A	5 000	18 000	55 000	55 000

Indicator Modification Form								
Date	May 2012							
Project Activity	Land Administration Reform Project							
Indicator	Legal and regulatory reforms adopted							
Modification	New indicator added							
Justification	The indicator has been added because it is an MCC common indicator							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Legal and regulatory reforms adopted	Number	0	N/A	N/A	N/A	8	18	18

Indicator Modification Form								
Date	May 2012							
Project/Activity	Land Administration Reform Project							
Indicator	Land administration offices established or upgraded							
Modification	New indicator							
Justification	The indicator has been added because it is an MCC common indicator							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Land administration offices established or upgraded	Number	0	N/A	N/A	N/A	1	N/A	1

Indicator Modification Form								
Date	May 2012							
Sector	Private Sector Development							
Project /Activity	Land Administration Reform Project							
Indicator	Stakeholders reached							
Modification	Indicator removed							
Justification	It is not feasible to measure because radio programs and newspaper activities are not measurable due to inability to effectively monitor the number of people listening to the radio programs and also lack of capacity to measure the people who actually read newspaper inserts.							

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Land Administration Reform Project							
Indicator	Stakeholders trained							
Modification	Targets modified							
Justification	The targets have been revised to be in line with realistic targets for planned training activities.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Stakeholders trained	Number	0	N/A	75	175	275	375	375
Modified Targets								
Stakeholders trained	Number	0	N/A	75	175	223	263	263

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Land Administration Reform Project							
Indicator	Conflicts successfully mediated							
Modification	New indicator added							
Justification	The indicator has been added because it is an MCC common indicator							
Indicator	Unit	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
	Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20	Q1-Q4	Q5-Q8
Compact Targets								
Conflicts successfully mediated	Number	0	N/A	N/A	N/A	271	451	451

Indicator Modification Form								
Date	May 2012							
Project/Activity	Land Administration Reform Project							
Indicator	Parcels corrected or incorporated in land system							
Modification	New indicator added							
Justification	The indicator has been added because it is an MCC common indicator							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Parcels corrected or incorporated in land system	Number	0	N/A	N/A	N/A	35,000	65,000	65,000

Indicator Modification Form								
Date	May 2012							
Sector	Private Sector Development							
Project/Activity	Land Administration Reform Project							
Indicator	Household land rights formalized							
Modification	New indicator added							
Justification	The indicator has been added because it is an MCC common indicator							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Household land rights formalized	Number	0	N/A	N/A	N/A	9,000	27,500	27,500

Indicator Modification Form								
Date	May 2012							
Sector	Private Sector Development							
Project/Activity	Land Administration Reform Project							
Indicator	Land Act in place							
Modification	Indicator level modified							
Justification	The indicator level has been changed from output to process level.							

Indicator Modification Form	
Date	May 2012
Project/ Activity	Land Administration Reform Project
Indicator	LAA Act in place
Modification	Indicator level modified
Justification	The indicator level has been changed from output to process level.

Indicator Modification Form	
Date	May 2012
Project/ Activity	Land Administration Reform Project
Indicator	Land regularization design consultant contract amount disbursed
Modification	Indicator unit and definition modified
Justification	The indicator unit and definition have been modified to clarify reporting.

Indicator Modification Form								
Date	May 2012							
Project/Activity	Land Administration Reform Project							
Indicator	Land regularization implementation contract amount disbursed							
Modification	Target modified							
Justification	The Year 4 and 5 targets were aligned to the revised award date.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Land Regularization Implementation Contract amount disbursed	Percentage	N/A	N/A	N/A	65%	100%	N/A	100%
Modified Targets								
Land Regularization Implementation Contract amount disbursed	Percentage	0	N/A	N/A	65%	65%	100%	100%

Indicator Modification Form	
Date	May 2012
Project/ Activity	Land Administration Reform Project
Indicator	Equipment purchased
Modification	Indicator removed
Justification	The indicator was removed because it does not directly reflect performance of the Project.

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Gender Equality in Economic Rights							
Indicator	Percentage of public with knowledge of the new law							
Modification	New indicator added							
Justification	The indicator is included at outcome level to measure the percentage of the population reporting knowledge of at least two amended laws on equal rights of men and women in Lesotho.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Percentage of public with knowledge of the new law	Percentage	76%	N/A	N/A	N/A	N/A	95%	95%

Indicator Modification Form								
Date	May 2012							
Project/Activity	Gender Equality in Economic Rights							
Indicator	Percentage of public supporting gender equality in economic rights							
Modification	New indicator added							
Justification	The indicator was introduced at outcome level to measures percentage of the population who would support gender equality in economic rights in Lesotho							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Percentage of public supporting gender equality in economic rights	Percentage	77%	N/A	N/A	N/A	N/A	90%	90%

Indicator Modification Form								
Date	May 2012							
Project/Activity	Gender in Equality in Economic Rights							
Indicator	Woman holding titles to land							
Modification	Indicator name, baseline and targets modified							
Justification	The baseline and targets have been established based on the availability of more reliable information from LAA.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Initial targets	Number	TBD	N/A	N/A	TBD	N/A	TBD	TBD
Modified Targets								
Modified targets	Number	2 955	N/A	N/A	N/A	N/A	6 000	6 000

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Gender Equality in Economic Rights							
Indicator	Training workshops conducted							
Modification	New indicator added							
Justification	The indicator is included at output level to measures Project the number of training workshops that have been conducted by the Project. This provides additional information on the extent to which the Project is capacitating stakeholders on gender equality issues.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Training workshops conducted	Number	0	N/A	N/A	N/A	240	240	240

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Gender Equality in Economic Rights							
Indicator	Enterprises owned by women							
Modification	Indicator deleted							
Justification	The indicator is not a useful indicator for measuring progress on this activity since it is not in line with the logic and the benefit streams of the project.							

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Gender Equality in Economic Rights							
Indicator	Outreach activities conducted							
Modification	New indicator added							
Justification	The indicator is included at output level to measure the extent to which the Project is reaching the public.							
Indicators	Units	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5	End of Compact
			Q1-Q4	Q5-Q8	Q9-Q12	Q13-Q16	Q17-Q20	Q1-Q20
Compact Targets								
Outreach activities conducted	Number	0	N/A	N/A	N/A	170	170	170

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Automated Clearing House (ACH)							
Indicator	Clearing time: Maseru							
Modification	Indicator deleted							
Justification	The indicator was removed because the ACH Project was cancelled.							

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Automated Clearing House (ACH)							
Indicator	Clearing time: Country							
Modification	Indicator deleted							
Justification	The indicator was removed because the ACH Project was cancelled.							

Indicator Modification Form								
Date	May 2012							
Project/ Activity	Automated Clearing House (ACH)							
Indicator	Electronic payments: Salaries							
Modification	Indicator deleted							
Justification	The indicator was removed because the ACH Project was cancelled.							

Indicator Modification Form	
Date	May 2012
Project/ Activity	Automated Clearing House (ACH)
Indicator	Electronic payments: Pensions
Modification	Indicator deleted
Justification	The indicator was removed because the ACH Project was cancelled.

Indicator Modification Form	
Date	May 2012
Project/ Activity	Automated Clearing House (ACH)
Indicator	Payment systems Act
Modification	Indicator deleted
Justification	The indicator was removed because the ACH Project was cancelled.

Indicator Modification Form	
Date	May 2012
Project/ Activity	Automated Clearing House (ACH)
Indicator	ACH Regulations
Modification	Indicator deleted
Justification	The indicator was removed because the ACH Project was cancelled.

Indicator Modification Form	
Date	May 2012
Project/ Activity	Automated Clearing House (ACH)
Indicator	ACH guidelines, rules and procedures
Modification	Indicator deleted
Justification	The indicator was removed because the ACH Project was cancelled.

Indicator Modification Form	
Date	May 2012
Project/ Activity	Automated Clearing House (ACH)
Indicator	Value of Contract for ACH service providers
Modification	Indicator deleted
Justification	The indicator was removed because the ACH Project was cancelled.

Indicator Modification Form	
Date	May 2012
Project/ Activity	Automated Clearing House (ACH)
Indicator	Award of Contract for ACH service provider
Modification	Indicator deleted
Justification	The indicator was removed because the ACH Project was cancelled.

Annex B - Indicator Framework

A. OVERALL/CROSS-CUTTING

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
IMPACT LEVEL INDICATORS							
Growth of GDP	N	The growth in market value of all goods and services produced in a country by labor and property in one year ⁶ .	GDP is measured by adding up all of an economy's incomes- wages, interest, profits, and rents or expenditures, consumption, investment, government purchases, and net exports.	BOS/CBL	Annually	Percentage	None
GDP per capita	N	The GDP of a country divided by its total population.		BOS /CBL	Annually	US Dollars	None
Population living below the poverty line	N	The percentage of population living below an income threshold of \$1.25 per day as established by the World Bank.	A person is considered poor if he or she lives in a household whose daily income or consumption is less than \$1.25 per person.	BOS	Annually	Percentage	None

⁶ Source: World Bank, <http://go.worldbank.org/C9GR27WRJo>.

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
IMPACT LEVEL INDICATORS							
Unemployment Rate	N	Percentage of the labor force that is unemployed	It is calculated as a percentage by dividing the number of unemployed individuals by all individuals currently in the labor force and multiplying by 100.	BOS	Annually	Percentage	None
Human Development Index	N	A measure of development by combining indicators of life expectancy, educational attainment and income into a composite human development index, the HDI.	The HDI sets a minimum and a maximum for each dimension, called goalposts, and then shows where each country stands in relation to these goalposts, expressed as a value.	UN/BOS	Annually	Index	None
Maternal Mortality Ratio (per 100,000 live births)	N	Number of maternal deaths during pregnancy, at child birth or within two months after the birth or termination of pregnancy (per 100,000 live births)		Demographic Health Survey (DHS)	Once	Rate	None
Adult Mortality Rate (per 1,000)	N	Number of deaths of adult aged 15-49 per 1,000 live population		DHS	Once	Rate	None
Mortality Rate, Under 5 (per 1,000)	N	Number of deaths of under 5 children per 1000 live births	-	DHS	Once	Rate	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
IMPACT LEVEL INDICATORS							
Infant Mortality Rate (per 1,000)	N	Number of infant deaths (children under 12 months of age) per 1000 live births	-	DHS	Once	Rate	None
Population with access to potable water	N	Percentage of population with access to potable water	-	BOS	Annually	Percentage	None
Population without access to improved sanitation	N	Percentage of population without access to improved sanitation facilities	-	BOS	Annually	Percentage	None
Number of days taken to start a business	N	Number of days taken to register and to operationalize a business	-	MTICM ⁷	Annually	Days	None

⁷ Ministry of Trade, Industry, Cooperatives and Marketing

B. HEALTH SECTOR PROJECT

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
HCs with HIV/AIDS essential services	N	Percentage of 138 MCC-supported HCs providing full package of HIV/AIDS essential services as outlined in the Supportive Supervision System for DHMT (2011)	<p>HIV/AIDS essential services are assessed on the basis of 100% availability of: service provision manual and guidelines; Information, Education and Communication (IEC) activities; HIV Testing & Counselling (HTC)*; Prevention of Mother to Child Transmission of HIV (PMTCT)*; infant follow-up; management of HIV positive persons*; co-management of HIV and TB*; HIV Occupational health; condom promotion; medicines, equipment and supplies and records management.</p> <p>For purposes of this M&E Plan, HCs that provide the four services indicated with an “*” will be counted towards this indicator.</p>	Health Facility Survey (HFS)	Annually	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
HCs with Maternal and Child Health (MCH) essential services	N	Percentage of 138 MCC-supported HCs providing full package of MCH essential services as outlined in the Supportive Supervision System for DHMT (2011)	MCH essential services are assessed on the basis of 100% availability of: service provision manual and guidelines; antenatal care, basic obstetric care; postnatal care; family planning; immunization; child growth monitoring and nutrition; medicines, equipment and supplies and; records management.	HFS	Annually	Percentage	None
HCs with Tuberculosis (TB) essential services	N	Percentage of 138 MCC-supported HCs providing full package of TB essential services as outlined in the Supportive Supervision System for DHMT (2011)	TB essential services are assessed on the basis of 100% follow-up of TB Directly Observed Therapy (DOT) Strategy which entails patient education; smear microscopy; standard treatment regimen; regular drug supply; patient racking; patient recording system and management of drugs and supplies.	HFS	Annually	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
HCs with required staff compliment (FTE)	N	Percentage of 138 MCC-supported HCs with minimum full-time staff compliment comprising of one Nurse Clinician, one Professional Nurse and one Nursing Assistant	-	HFS	Annually	Percentage	None
Deliveries conducted in health centers	N	Percentage of deliveries conducted in the 138 MCC-supported health centers out of the deliveries conducted in all public and Christian Health Association of Lesotho (CHAL) health facilities	-	Annual Joint Review (AJR) Report	Annually	Percentage	None
Health Centers conducting deliveries	N	Percentage of HCs in the country that conduct deliveries	-	HFS	Annually	Percentage	None
Immunization coverage rate	N	Percentage of children aged 12 – 23 months fully immunized with all antigens.	Fully immunized means children who received BCG, DPT 1, DPT 2, DPT 3, Polio 0, Polio 1, Polio 2, Polio 3 and Measles vaccines.	DHS	Annually	Percentage	None
Percentage of people living with HIV/AIDS receiving ARV treatment	N	Percentage of people with advanced HIV & AIDS that are receiving antiretroviral (ARV) treatment per year	-	AJR Report	Annually	Percentage	None
People with HIV still alive 12 months after initiation of treatment	N	Percentage of individuals still alive and on antiretroviral therapy 12 months after initiating the treatment	Despite its name, this indicator includes people with HIV and AIDS.	AJR Report	Annually	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
TB notification per 100,000 of the population	N	Total number of TB of all forms registered during the period under review	-	AJR Report	Annually	Rate	None
TB treatment success rate	N	Percentage of new registered smear-positive TB cases that were cured or those who completed a full course of treatment out of all registered TB cases	-	AJR Report	Annually	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
Blood units collected by Blood Transfusion Services (BTS)	N	Number of units of blood collected from all sources at the central BTS per annum	-	AJR Report	Annually	Number	None
Laboratory tests done at the Central Laboratory	N	Total number of all tests done at the Central Laboratory on annual basis	-	AJR Report	Annually	Number	None
Central Laboratory test referrals	N	Total number of all tests referred from Central Laboratory to other laboratories, which in most cases, are based in South Africa	-	AJR Report	Annually	Number	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
Students who graduate from NHTC	N	Number of students graduating per annum on different courses offered at National Health Training College.	-	NHTC Reports	Annually	Number	None
Health centers with Health Care Waste Management (HCWM) technologies	N	Percentage of 138 MCC-supported health centers that have and are adhering to 3-Bin System for management of health care waste	-	HFS	Annually	Percentage	None
Health centers with access to incinerators	N	Percentage of health centers that directly have access to incinerators or those that access incinerators through supported fulltime transportation as means of health care waste disposal out of all facilities in the country.	-	AJR	Annual	Percentage	None
Percentage physical completion of health center facilities	N	Level of physical completion of construction works for the health center facilities.	It is measured as an overall average of physical completion for all 138 health centers within the four lots	Contractors reports	Quarterly	Percentage	None
Percentage physical completion of Out-Patient Departments (OPDs)	N	Level of physical completion of construction works for the hospital OPDs.	It is measured as an overall average of physical completion for all 14 OPDs within the three phases.	Contractors reports	Quarterly	Percentage	None
Health centers equipped	N	Percentage of compact-supported HCs equipped with minimum requirements defined by the MOHSW Essential Equipment List.	This is measured on the basis of full installation and functionality of the equipment.	HPIU Reports	Quarterly	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
Hospital OPDs equipped	N	Percentage of compact-supported Hospital OPDs equipped with minimum requirements defined by MOHOSW Essential Equipment List.	This is measured on the basis of full installation and functionality of the equipment.	HPIU Reports	Quarterly	Percentage	None
Percentage physical completion of Central Laboratory construction	N	Level of physical completion of construction works for the Central Laboratory at Botšabelo Complex	It is measured as an overall average of physical completion for Central Laboratory	Contractors report	Quarterly	Percentage	None
Percentage physical completion of BTS construction	N	Level of physical completion of construction works for the BTS at Botšabelo Complex	It is measured as an overall average of physical completion for the BTS	Contractors report	Quarterly	Percentage	None
Percentage physical completion of NHTC student accommodation construction	N	Level of physical completion of construction works for the NHTC student accommodation at Botšabelo Complex	It is measured as an overall average of physical completion for the NHTC student accommodation	Contractors report	Quarterly	Percentage	None
Percentage physical completion of NHTC staff accommodation construction	N	Level of physical completion of construction works at the NHTC Staff accommodation	It is measured as an overall average of physical completion for the NHTC Staff accommodation	Contractors report	Quarterly	Percentage	None
Districts using computerized HMIS reporting	N	Number of districts which are submitting computerized reports through the HMIS from the DHMTs to the central level.	-	HSS Firm Reports	Quarterly	Number	None
Hospital OPDs with EMRS	N	Number of hospitals OPDs with operational medical recording systems	-	HSS Firm Reports	Quarterly	Number	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
Health facility personnel trained in Infection, Prevention and Control	N	Total number of nurses working in Maternal Wards and Clinics as well as new nurses in HC and OPDs trained in Infection, Prevention and Control	-	HSS Firm Reports	Quarterly	Number	None
District performance reviews	N	Total number of district that conduct a minimum of two performance reviews per annum	-	HSS Firm Reports	Annual	Number	None
Level of Satisfaction with district supervisory visits	N	Percentage of health facilities whose management reports being satisfied with supportive supervision received from district level supervisory structures	-	HFS	Annually	Percentage	None
Availability and use of ANC and Child Health Care resource guidelines in health facilities	N	Percentage of health facilities that have ANC and Child Health Care resource guidelines and are using the guidelines regularly out of all public, CHAL and Lesotho Red Cross Society health facilities	-	HFS	Annually	Percentage	None
Stakeholders reached	N	Number of stakeholders provided with health project information materials. The stakeholders includes chiefs, councils, community, health workers, village health workers, traditional healers and DHMTs	-	HPIU Reports	Quarterly	Number	None
Community facilitators trained	N	Number of community facilitators trained	-	HPIU Reports	Annually	Number	Gender

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
Temporary employment	N	Number of people temporarily employed within the project	-	Contractors Reports	Quarterly	Number	Gender
Amount of health infrastructure supervision and program management contracts disbursed	N	Amount disbursed for Programme Management and Construction Supervision contract out of the total contract amount	-	HPIU Reports	Quarterly	US Dollars	None
HCs construction works contract signed	N	Date at which the HCs construction contract is awarded	-	HPIU Reports	Once	Date	None
Value of Health Center construction works	N	Total value of HCs construction works contract	-	HPIU Reports	Quarterly	US Dollars	None
OPD contract works signed	N	Date at which the OPDs construction contract is awarded	-	HPIU Reports	Once	Date	None
Value of OPD contract works	N	Total value of OPDs construction works contract	-	HPIU Reports	Quarterly	US Dollars	None
Value of Central Laboratory construction works	N	Total value of Central Laboratory construction works contract	-	HPIU Reports	Quarterly	US Dollars	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
NHTC works contract signed	N	Date at which the contract for NHTC construction works is awarded	-	HPIU Reports	Once	Date	None
Value of NHTC works contract	N	Total value of NHTC construction contract	-	HPIU Reports	Quarterly	US Dollars	None
Blood Transfusion Services contract signed	N	Date at which the contract for BTS construction works is awarded	-	HPIU Reports	Once	Date	None
Value of Blood Transfusion Services contract	N	Total value of BTS construction contract	-	HPIU Reports	Quarterly	US Dollars	None
HSS contract signed	N	HSS contract awarded	-	HPIU Reports	Once	Date	None
Value of HSS contract	N	Total amount of HSS contract	-	HPIU Reports	Quarterly	US Dollars	None
HSS contract amount disbursed	N	Percentage of total HSS contract amount disbursed	-	HPIU Reports	Quarterly	Percentage	None
HCWM contract signed	N	HCWM contract awarded	-	HPIU Reports	Once	Date	None
Value of HCWM contract	N	Total amount of HCWM contract	-	HPIU Reports	Quarterly	US Dollars	None
HCWM contract amount disbursed	N	Percentage of total HCWM contract amount disbursed	-	HPIU Reports	Quarterly	Percentage	None
Availability of EMPs	N	Number of sites with site specific EMPs in place, out of all the construction sites	-	PMCS Reports	Quarterly	Percentage	None
Compliance with EMPs	N	Number of sites which are implementing site-specific EMPs, out of all the construction sites	-	PMCS Reports	Quarterly	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
Availability of Health and Safety Plans	N	Number of sites with site-specific Health and Safety Plans, out of all the construction lots	-	PMCS Reports	Quarterly	Percentage	None
Compliance with Health and Safety Plans	N	Number of lots that are fully implementing site-specific Health and Safety Plans, out of all the construction lots	-	PMCS Reports	Quarterly	Percentage	None
Compliance with HIV and AIDS Plans	N	Number of sites that are complying with the site-specific HIV and AIDS Plans, out of all the construction lots.		PMCS Reports	Quarterly	Percentage	None
Availability of Trafficking in Persons (TIP) Strategy	N	Number of sites with site-specific TIP Strategy, out of all the construction sites		PMCS Reports	Quarterly	Percentage	None
Compliance with gender integration	N	Number of sites integrating gender issues in the project designs and during project implementation.	Compliance is measured by assessing the availability of gender disaggregated and employment of women as temporary workers per a given quarter, regardless of the ratio of men to women.	PMCS Reports	Quarterly	Percentage	None

C. WATER SECTOR PROJECT

I. RURAL WATER AND SANITATION ACTIVITY

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
Diarrhoea notification rate per 1,000	N	Total number of new diarrhea cases (total-water digestive illnesses) per 1,000 people	-	DHS	Every four years	Rate	None
School days lost due to water borne disease	N	The average number of school days lost due to water borne diseases	-	Impact Evaluation Multipurpose Survey (IEMS)	Annually	Number	None
Households with access to improved latrines	N	Number of households with access to improved latrines as a share of the total number of households in the project areas	-	DRWS Contractors report	Quarterly	Percentage	None
People with access to rural water supply	N	Number of people in project villages with access to functioning water points within walking distance of 150 meters	-	DRWS Contractors reports	Quarterly	Number	None
Knowledge of good hygiene practices	N	Number of people within the project villages who attended Participatory Hygiene and Sanitation Transformation (PHAST) trainings and who report knowledge of good hygiene practices out of all people targeted	-	DRWS Contractors reports	Annually	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
VIP latrines built	N	The number of VIP latrines within homesteads in project areas, designed and constructed according to national with national standards	-	DRWS Contractors reports	Quarterly	Number	None
Water points constructed	Y	Number of non-networked, stand-alone water supply systems constructed such as: protected dug wells, tube-wells/boreholes, protected natural springs and rainwater harvesting/catchment systems	-	DRWS Contractors reports	Quarterly	Number	None
Phase A Water systems constructed	N	Numbers of water supply systems within Phase A certified as completed (PLC E9-3)	The water supply systems included are those that have earned certificate of completion.	DRWS Contractors reports	Quarterly	Number	None
Phase B Water systems constructed	N	Numbers of water supply systems within Phase B certified as completed (PLC E9-3)	The water supply systems included are those that have earned certificate of completion.	DRWS Contractors reports	Quarterly	Number	None
Phase C Water systems constructed	N	Numbers of water supply systems within Phase C certified as completed (PLC E9-3)	The water supply systems included are those that have earned certificate of completion.	DRWS Reports	Quarterly	Number	None
Water minders trained	N	Numbers of systems whose water minders that have been trained in after care maintenance and repair (PLC E9-2)	This indicator is measured through interviews with water minders upon scheme completion	DRWS Reports	Quarterly	Number	Gender

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
People trained in hygiene and sanitary best practices	Y	The number of people who have completed training on hygiene and sanitary best practices that block fecal-oral transmission route.	-	DRWS Reports	Quarterly	Number	Gender

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
Temporary employment generated in water and sanitation construction	Y	The number of people temporarily employed or contracted by MCA-Lesotho constructed construction companies to work on construction of water and sanitation systems	-	Construction reports	Quarterly	Number	Gender
Design Review, Project Management and Construction Supervision (PMCS) amount disbursed	N	Amount disbursed for PMCS contract	-	DRWS Reports	Quarterly	Percentage	None
Value of signed water and sanitation construction contracts	Y	The value of all signed construction contracts for reconstruction, rehabilitation, or upgrading of water and sanitation works using Compact funds	-	DRWS Reports	Quarterly	US Dollars	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
Percent disbursed of water and sanitation construction contracts	Y	The total amount of all signed construction contracts for construction, reconstruction, rehabilitation, or upgrading of water and sanitation works disbursed divided by the total value of all signed contracts.	-	DRWS Reports	Quarterly	Percentage	None
Construction of Phase B water and sanitation works contract signed	N	Date at which the Phase B water and sanitation contract is signed	-	DRWS Reports	Once	Date	None
Value of Phase B water and sanitation works contract	N	Total value of Phase B water and sanitation contract	-	DRWS Reports	Quarterly	US Dollars	None
Amount of construction contract disbursed for Phase B water and sanitation contract	N	Amount disbursed for Phase B water and sanitation contract out of the total contract value	-	DRWS Reports	Quarterly	Percentage	None
Construction of Phase C water and sanitation works contract signed	N	Date at which the Phase C water and sanitation contract is signed	-	DRWS Reports	Once	Date	None
Value of Phase C water and sanitation works contract	N	Total value of Phase C water and sanitation contract	-	DRWS Reports	Quarterly	US Dollars	None
Amount of construction contract disbursed for Phase C water and sanitation contract	N	Amount disbursed for Phase C water and sanitation contract out of the total contract value	-	DRWS Reports	Quarterly	Percentage	None
Availability of EMPs	N	Number of lots with site specific EMPs in place, out of all the construction lots	-	PMCS Reports	Quarterly	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
Compliance with EMPs	N	Number of lots which are fully implementing site-specific EMPs, out of all the construction lots	-	PMCS Reports	Quarterly	Percentage	None
Availability of Health and Safety Plans	N	Number of lots with site-specific Health and Safety Plans, out of all the construction lots	-	PMCS Reports	Quarterly	Percentage	None
Compliance with Health and Safety Plans	N	Number of lots that are fully implementing site-specific Health and Safety Plans, out of all the construction lots		PMCS Reports	Quarterly	Percentage	None
Compliance with HIV and AIDS Plans	N	Number of lots that are fully complying with the site-specific HIV and AIDS Plans, out of all the construction lots.		PMCS Reports	Quarterly	Percentage	None
Availability of Trafficking in Persons (TIP) Strategy	N	Number of lots with site-specific TIP Strategy, out of all the construction lots		PMCS Reports	Quarterly	Percentage	None
Compliance with gender integration	N	Number of lots integrating gender issues in the project designs and during project implementation.		PMCS Reports	Quarterly	Percentage	None

II. URBAN AND PERI-URBAN AND METOLONG BULK WATER CONVEYANCE ACTIVITIES

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
Non Revenue Water	Y	The difference between water produced/supplied and water sold (i.e. volume of water “lost”) expressed as a percentage of water supplied	-	WASCO project reports	Quarterly	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
Water pipes coverage	N	The Length of pipe line laid in all project areas	-	WASCO contractor's reports	Quarterly	Kilometers	None
Households with provisions to connect to water networks	N	The number of provisions for new house connections to improved water networks	-	WASCO contractor's reports	Quarterly	Number	None
Reservoirs Constructed	N	Number of water reservoirs constructed for increased coverage	-	WASCO contractor's reports	Quarterly	Number	None
Rehabilitated Reservoirs	N	Number of water reservoirs rehabilitated for reliable water supply and reduction of unaccounted for water.	-	WASCO contractor's reports	Quarterly	Number	None
Upgraded pumping stations	N	Number of pumping stations upgraded and installed	-	WASCO contractor's reports	Quarterly	Number	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
Functioning Metolong water treatment plant	N	Date when construction and testing of the water treatment plan is completed	This indicator will be measured towards the end of the Compact, when the Metolong Authority will be testing the functionality of the WTW before Compact close out	Metolong contractors' report	Once	Date	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
Temporary employment created	N	Number of people temporarily employed within the project	-	Construction reports	Quarterly	Number	Gender
Metolong Water Treatment Works contract signed and awarded	N	Date at which the contract for Metolong WTW was awarded	-	Metolong project reports	Once	Date	None
Value of Metolong Water Treatment Works contract	N	The total value of Metolong WTW contract	-	Construction reports	Once	US Dollars	None
Percentage physical completion of Metolong Water Treatment Works contract	N	Amount disbursed for Metolong WTW contract out of the total contract amount	Proxy for Metolong WTW physical completion	Metolong project reports	Quarterly	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
Amount of feasibility and/or detailed design and construction supervisory contract disbursed for urban water systems	N	Amount disbursed for the feasibilities and designs out of the total contract amount	-	WASCO project reports	Quarterly	Percentage	None
Percentage physical completion of Package 1 (Maseru and Mazenod) urban water supply contract	N	Amount disbursed for the Package 1 contract out of the total contract amount	-	WASCO project reports	Quarterly	Percentage	None
Percentage physical completion of Package 2 (Semonkong) urban water supply contract	N	Amount disbursed for the Package 2 contract out of the total contract amount	-	WASCO project reports	Quarterly	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
Percentage physical completion of Package 3 (Mafeteng, Mohale's Hoek, Quthing and Qacha's Nek) urban water supply contract	N	Amount disbursed for the Package 3 contract out of the total contract amount	-	WASCO project reports	Quarterly	Percentage	None
Percentage physical completion of Package 4 (Mokhotlong, Botha-Bothe, Leribe and Maputsoe) urban water supply contract	N	Amount disbursed for the Package 4 contract out of the total contract amount	-	WASCO project reports	Quarterly	Percentage	None
Percentage physical completion of Package 5 (Mapoteng) urban water supply contract	N	Amount disbursed for the Package 5 contract out of the total contract amount	-	WASCO project reports	Quarterly	Percentage	None
Finalize design and tender document for the urban water project	N	Date at which the designs and tender documents for the four urban water packages are completed	-	WASCO project reports	Once	Date	None
Availability of EMPs	N	Number of packages with site specific EMPs in place, out of all the construction sites	-	PMCS Reports	Quarterly	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
Compliance with EMPs	N	Number of packages which are fully implementing site-specific EMPs, out of all the construction sites	-	PMCS Reports	Quarterly	Percentage	None
Availability of Health and Safety Plans	N	Number of packages with site-specific Health and Safety Plans, out of all the construction sites	-	PMCS Reports	Quarterly	Percentage	None
Compliance with Health and Safety Plans	N	Number of lots that are fully implementing site-specific Health and Safety Plans, out of all the construction lots	-	PMCS Reports	Quarterly	Percentage	None
Compliance with HIV and AIDS Plans	N	Number of packages that are fully complying with the site-specific HIV and AIDS Plans, out of all the construction sites.		PMCS Reports	Quarterly	Percentage	None
Availability of Trafficking in Persons (TIP) Strategy	N	Number of packages with site-specific TIP Strategy, out of all the construction sites		PMCS Reports	Quarterly	Percentage	None
Compliance with gender integration	N	Number of packages integrating gender issues in the project designs and during project implementation.	Compliance is measured by assessing the availability of gender disaggregated and employment of women as temporary workers per a given quarter, regardless of the ratio of men to women.	PMCS Reports	Quarterly	Percentage	None

III. WETLANDS RESTORATION AND REHABILITATION ACTIVITY

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
Grazing Capacity	N	The weighted average of number of animals that can be placed on a range land without any harm in the three areas (Letšeng la Letsie; Koti-Sephola & Khalong- la- Lithunya) per year	-	Wetlands Project Reports	Quarterly	au/ha/yr (Animal Unit/Hectares/ Year)	None
Catchment area receiving wetland remediation by re-vegetation	N	Total area re-vegetated or re-planted within Khalong-la- Lithunya and Koti-Sephola restoration areas	-	Wetlands Project Reports	Quarterly	Meters squared	None
Adoption document signed	N	The date on which the National Wetlands Conservation Strategy is adopted and signed by the Government and other stakeholders	-	Project reports	Once	Date	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
Retention structures constructed	N	The number of constructed retention structures in the two areas of the project	Retention structures included gabions, ecologs, rock packs, and concrete structures.	Wetlands Project Reports	Quarterly	Number	None
People trained	N	Number of people trained in alternative livelihoods enterprises disaggregated by gender		Wetlands Project Reports	Quarterly	Number	Gender
Alternative Livelihoods Income Generation Strategy adopted	N	The date at which the Alternative Livelihoods strategy was completed and adopted		Wetlands Project records	Once	Date	None
Strategic Performance assessment (SPA) approved	N	The date at which the SPA document is completed and approved		Wetlands Project Reports	Once	Date	None
PROCESS LEVEL INDICATORS							
Temporary employment created	N	Number of people temporarily employed within the project	-	Construction reports	Quarterly	Number	Gender

D. PRIVATE SECTOR DEVELOPMENT PROJECT

I. CIVIL LEGAL REFORM ACTIVITY

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
Time required to enforce a contract	N	Average number of days taken from filing a payment dispute to full enforcement (settlement) of judgment		World Bank Doing Business Report	Annually	Days	None
Cost required to complete procedures	N	Attorney, court and enforcement costs as a percentage of claim value	This includes average attorney fees, court costs including expert fees, and enforcement costs. It however excludes bribes and other informal costs such as transport expenses etc.	World Bank Doing Business Report	Annually	Percentage	None
Pending commercial cases	N	Number of active and inactive commercial cases that are pending in commercial court	-	Commercial Court Register	Annually	Number	None
Cases that are successfully resolved in the commercial court	N	Number of cases that are completed against cumulative cases filed in the commercial court	-	Commercial Court Register	Quarterly	Number	None
Cases resolved in Small Claims Procedures	N	Percentage of cases that are completed against cumulative active cases filed in Small Claims Procedure	-	Commercial Court Register	Quarterly	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
Cases referred to Court Annexed Mediation that are successfully completed	N	Percentage of cases completed out of cases referred annually to Court Annex Mediation.	-	Commercial Court Register	Quarterly	Percentage	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
Cases filed at the commercial court	N	Number of new cases filed at the commercial court.	-	Commercial Court Register	Quarterly	Number	None
Value of commercial cases in court	N	Total value of claims filed in the commercial court.	-	Commercial Court Register	Quarterly	US Dollar	None
Cases filed in the Small Claims Procedure	N	Number of cases filed under the new small claims procedure.	-	Commercial Court Register	Quarterly	Number	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
Civil Legal Consultant contract awarded	N	The date on which the Civil Legal Consultant signed the contract with MCA-L	-	CLRP Reports	Once	Date	None
Value of civil legal consultant contract	N	Amount of disbursement for Civil Legal Consultant	-	CLRP Reports	Quarterly	US Dollar	None
Civil legal consultant contract amount disbursed	N	Amount disbursed for Civil Legal Consultant since contract implementation	-	CLRP Reports	Quarterly	US Dollar	None

II. CREDIT BUREAU ACTIVITY

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
Performing Loans	N	Amount of performing loans that meets required installments as given by financial institutions	-	Central Bank Reports	Quarterly	US Dollar	None
Portfolio of loans	N	Amount of loan portfolio resulting from reduced business risk and cost of doing business	-	Central Bank Reports	Quarterly	US Dollar	None
Non-performing loans	N	Total value of performing loans that have not met the required installments as given by financial institutions	-	Central Bank Reports	Quarterly	US Dollar	None
Credit Providers registered	N	The number of credit providers that are registered with the credit bureau	-	Central Bank Reports	Quarterly	Number	None
Loan application processing time	N	Average time required to process a loan from application submission to approval of a loan	-	Central Bank Reports	Annually	US Dollar	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
Data Protection Act	N	The date when Data Protection Bill was enacted by Parliament	-	Central Bank Reports	Once	Date	None
Credit Reporting Act	N	The date when Credit Reporting Bill was enacted by Parliament	-	Central Bank Reports	Once	Date	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
Award of contract for Drafting of the Laws	N	Date when the contract was awarded for drafting of the Credit Bureau Bill and Data Protection Bill	-	Central Bank Reports	Once	Date	None
Award of contract for training of CBL Staff	N	Date when the contract was awarded for training of CBL Staff on CB Supervision	-	Central Bank Reports	Once	Date	None

III. DEBIT/SMART CARD ACTIVITY

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
New customers (Previously unbanked)	N	Number of new accounts for previously unbanked people post business go-live of Debit/smart card project.	-	Lesotho PostBank EFT switch	Quarterly	Number	Gender
OUTPUT LEVEL INDICATORS							
Debit/Smart cards issued	N	Number of Debit/Smart Cards issues to existing and new customers.	-	Lesotho Post Bank Reports	Quarterly	Number	Gender
New distribution points (Merchant POS)	N	Number of new merchants providing services as part of the project	-	Channel deployment plan	Quarterly	Number	None
PROCESS LEVEL INDICATORS							
Interface LPB to core banking system installed	N	The date at which the ATM/POS interface to core banking system is installed and commissioned	-	Lesotho Post Bank Reports	Once	Date	None
Integrated electronic transacting platform	N	The date at which the debit/smart card project goes live	-	Lesotho Post Bank Reports	Once	Date	None

IV. LAND ADMINISTRATION REFORM ACTIVITY

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
Time to process a lease	N	Average number of days it takes to process a land title from application to lease registration. ⁸	This includes the time from submission of the application to issuance of a lease at the LAA	LAA Baseline data: Swedesurvey; follow-up data: LAA Reports	Annual	Days	None
Bonds registered	N	Number of loans secured with land or property	This will include home loans and commercial loans that use land or property as collateral.	LAA Reports	Quarterly	Number	None
Value of bonds registered	N	Total aggregate value loans secured with land or property	This will include home loans and commercial loans that use land or property as collateral.	LAA Reports	Quarterly	US Dollar	None
Administrative cost to process a lease application	N	Average administrative costs to title holder to process a formal land title document (lease) at the LAA.	-	Baseline data: Swedesurvey; follow-up data: LAA Reports	Annually	US Dollar	None
Secondary Land transactions recorded	N	Total number of transfers and sub-lease agreements transacted and registered with the Deeds Registrar/Land Deeds Registrar	This excludes mortgages, inheritances and gifts	LAA Reports	Quarterly	Number	None

⁸ Note that the baseline value for this indicator draws on LSPP data and may, in some cases, reflect the time taken to conduct surveys; however, the LAA requires that surveys be completed prior to submission of applications.

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
Percentage change in time for property transactions	Y	The average percentage change in number of days for an individual or company to conduct a property transaction within the formal system	For the Lesotho Compact, this reflects the average number of days from filing an application for property transfer to the date of registration of the transfer ⁹	LAA Reports	Quarterly	Percentage	None
Percentage change in cost for property transactions	Y	The average percentage change in US Dollars of out of pocket cost for an individual or company to conduct a property transaction within the formal system	The average cost of property transfer calculated as percentage value of the property	LAA Reports	Quarterly	Percentage	None

⁹ The transfer processes are as follows: Application for transfer lodged at LSPP/LAA; valuation of property; consent given; preparation of deed by conveyance; deed lodged with Registrar for inspection of compliance and payment of transfer duty; deed of transfer is registered and transfer endorsed on lease documents.

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
Urban land parcels regularized and registered	N	Number of land parcels regularized and issued with leases within the LARP	-	LAA Reports	Quarterly	Number	None
Land and regulatory reforms adopted	Y	The number of specific pieces of legislation or implementing regulations adopted by the compact country and attributable to compact support.		Land PIU Reports	Quarterly	Number	None
Land administration offices established or upgraded	Y	The number of land administration and service offices or other related facilities that the project physically establishes or upgrades.		LAA Reports	Quarterly	Number	None
Stakeholders trained	Y	The number of public officials, traditional authorities, project beneficiaries and representatives of the private sector, receiving formal on-the-job land training or technical assistance regarding registration, surveying, conflict resolution, land allocation, land use planning, land legislation, land management or new technologies.	<p>This includes training activities conducted by LAA and all LARP implementing consultants (LEI, COWI, PO Consultant, GIS and Survey Training Consultants)</p> <p>The people are trained in different types of training activities but are only counted once</p>	Public Outreach Reports	Quarterly	Number	Gender

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
Conflicts successfully mediated	Y	The number of disputed land and property rights cases that have been resolved by local authorities, contractors, mediators or courts with compact support.	This includes disputes resolved during regularization related to property boundary disputes, disputes over existing ownership, and disputes over the right to own, inherit, use or access the property or land-based resource. The Project aims to resolve 90% of the cases through Mediation Procedure and the remaining cases will be referred to formal court system.	Project Reports	Quarterly	Number	None
Parcels corrected or incorporated in land system	Y	The number of parcels with relevant parcel information corrected or newly incorporated into an official land information system (whether a system for the property registry, cadastre or an integrated system).	Surveyed parcels approved by the LAA within the regularization activity; targets reflect 55,000 parcels in urban areas and 10,000 in rural areas.	LAA land information system	Quarterly	Number	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
Household land rights formalized	Y	The number of households receiving formal recognition of ownership and/or use rights through certificates, titles, leases, or other recorded documentation by government institutions or traditional authorities at national or local levels within the LARP	The target is based on the finding that a household has an average of 2 parcels. A household is considered to be composed of husband and wife or people living under one roof as a family. Rural parcels include parcels which were recorded in the land allocation registers. Only land rights formalized by the Project are counted toward this indicator.	LAA land information system	Quarterly	Number	Urban/rural, gender, male (only), female (only) and male/female (joint)

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
Land Act in place	N	The date at which the Land Act was passed by Parliament and gazetted	-	Project Reports	Once	Date	None
LAA Act in place	N	The date at which the LAA Act was passed by Parliament and gazetted	-	Project Reports	Once	Date	None

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
PROCESS LEVEL INDICATORS							
Land regularization design consultant contract signed and awarded	N	The date for signing of contract for the regularization design phase consultant	-	Procurement Repots	Once	Date	None
Value of land regularization design consultant	N	Value of contract of land regularization design consultant at the time of contract award	-	Procurement Repots	Once	US Dollar	None
Land regularization design consultant contract amount disbursed	N	Percentage of land regularization design consultant contract disbursed	-	Finance Reports	Quarterly	Percentage	None
Land regularization implementation consultant contracted	N	Date for signing of contract for the regularization implementation consultant	-	Procurement Repots	Once	Date	None
Value of land regularization implementation consultant	N	Value of contract of land regularization implementation consultant at the time of contract award	-	Procurement Repots	Once	US Dollar	None
Land regularization implementation consultant contract amount disbursed	N	Percentage of land regularization implementation consultant contract amount disbursed	-	Finance Reports	Quarterly	Percentage	None
LAA Director contracted	N	Date for signing of contract for the LAA Director	-	Procurement Repots	Once	Date	None

V. GENDER IN ECONOMIC RIGHTS ACTIVITY

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME LEVEL INDICATORS							
Percentage of public with knowledge of the new law	N	Number of people who report to know at least two amended Laws on equal rights of men and women out of people interviewed	-	KAPC Survey	2008 Baseline and follow-up survey	Percentage	Gender
Percentage of public supporting gender equality in economic rights	N	Number of people who report supporting gender equality in economic rights out of people interviewed	-	KAPC Survey	2008 Baseline and follow-up survey	Percentage	Gender
Women holding titles to land	N	Total number of titles registered in women's names. This excludes women holding titles jointly with their spouses.	-	LAA Reports	Quarterly	Number	Gender

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
People trained	N	Total number of people who attended the training workshops facilitated by Gender Equality Project	-	Programme Activity Reports	Quarterly	Number	Gender
People reached	N	Total number of people sensitized on gender-related issues through community based outreach campaigns facilitated by Gender Equality Project	-	Programme Activity Reports	Quarterly	Number	Gender

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTPUT LEVEL INDICATORS							
Training workshops	N	The number of training workshops conducted	-	Programme Activity Reports	Quarterly	Number	None
Outreach activities conducted	N	Number of interactive community activities conducted	-	Programme Activity Reports	Quarterly	Number	None

VI. NATIONAL IDENTIFICATION CARD ACTIVITY

Indicator	Common Indicator	Definition of Indicator	Detailed or Specific Definition, As Needed	Data Source	Frequency of Data Collection	Unit of Measurement	Disaggregation
OUTCOME AND OUTPUT LEVEL INDICATORS							
Eligible population with ID Cards	N	Number of Lesotho citizens and permanent residents aged 16 and above issued with ID cards	-	Project Reports	Quarterly	Number	None
Population registered in the national database	N	Number of Lesotho citizens and permanent residents registered in the National Identification Register (NIR) as against the total population	-	Project Reports	Quarterly	Number	None

Annex C - Indicator Table

A. OVERALL/CROSS-CUTTING

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
IMPACT LEVEL INDICATORS										
Growth of GDP	N	Percentage	Level	5.4%	N/A	N/A	4.4%	N/A	5.0%	5.0%
GDP per capita	N	US Dollar	Level	632	N/A	N/A	700	N/A	978	978
Population living below the poverty line	N	Percentage	Level	48.3%	N/A	N/A	N/A	N/A	47.2%	47.2%
Unemployment Rate	N	Percentage	Level	24%	N/A	N/A	N/A	22.8%	22.8%	22.8%
Human Development Index	N	Index	Level	0.436	N/A	N/A	N/A	0.473	0.473	0.473
Maternal Mortality Ratio (per 100,000 live births)	N	Rate	Level	762	N/A	N/A	762	N/A	1,155	1,155
Adult Mortality Rate (per 1,000)	N	Rate	Level	11	N/A	N/A	11	N/A	11	11
Mortality Rate, Under 5 (per 1,000)	N	Rate	Level	79	N/A	N/A	113	N/A	115	115
Infant Mortality Rate (per 1,000)	N	Rate	Level	91	N/A	N/A	91	N/A	91	91
Population with access to potable water	N	Percentage	Level	85%	N/A	N/A	N/A	90%	92%	92%
Population without access to improved sanitation	N	Percentage	Level	42%	N/A	N/A	N/A	30.4%	28%	28%
Number of days taken to start a business	N	Days	Level	73	N/A	N/A	N/A	5	5	5

B. HEALTH SECTOR PROJECT

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTCOME LEVEL INDICATORS										
HCs with HIV/AIDS essential services	N	Percentage	Level	N/A	N/A	N/A	N/A	N/A	80%	80%
HCs with Maternal and Child Health (MCH) essential services	N	Percentage	Level	N/A	N/A	N/A	N/A	N/A	80%	80%
HCs with Tuberculosis (TB) essential services	N	Percentage	Level	N/A	N/A	N/A	N/A	N/A	80%	80%
HCs with required staff compliment (FTE)	N	Percentage	Level	0%	N/A	N/A	5%	22%	40%	40%
Deliveries conducted in the health centers	N	Percentage	Level	52%	N/A	N/A	65%	N/A	80%	80%
Health Centers conducting deliveries	N	Percentage	Cumulative	20%	20%	20%	20%	30%	50%	50%
Immunization coverage rate	N	Percentage	Level	62%	N/A	N/A	80%	N/A	80%	80%
Percentage of people living with HIV/AIDS receiving ARV treatment	N	Percentage	Cumulative	34%	N/A	52%	60%	65%	70%	70%
People with HIV still alive 12 months after initiation of treatment	N	Percentage	Level	74%	N/A	N/A	75%	N/A	80%	80%
TB notification per 100,000 of the population	N	Rate	Level	541	N/A	N/A	500	N/A	400	400
TB treatment success rate	N	Percentage	Level	72%	N/A	N/A	75%	N/A	85%	85%

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 – 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTPUT LEVEL INDICATORS										
Blood units collected by Blood Transfusion Services (BTS)	N	Number	Level	3,381	3,381	3,381	4,200	4,500	5,000	5,000
Laboratory tests done at the Central Laboratory	N	Number	Level	321,819	N/A	350,00	370,000	390,000	400,000	400,000
Central Laboratory test referrals	N	Number	Level	885	N/A	N/A	N/A	600	400	400
Students who graduate from NHTC	N	Number	Level	104	150	150	180	200	250	250
Health centers with Health Care Waste Management (HCWM) technologies	N	Percentage	Level	0%	N/A	N/A	N/A	75%	100%	100%
Health Centers with access to incinerators	N	Percentage	Level	8%	N/A	N/A	N/A	50%	90%	90%
Percentage physical completion of health center facilities	N	Percentage	Cumulative	0%	N/A	N/A	N/A	75%	100%	100%
Percentage physical completion of Out-Patient Departments (OPDs)	N	Percentage	Cumulative	0%	N/A	N/A	N/A	100%	100%	100%
Health centers equipped	N	Percentage	Cumulative	0%	N/A	N/A	N/A	100%	100%	100%
Hospital OPDs equipped	N	Percentage	Cumulative	0%	N/A	N/A	N/A	100%	100%	100%
Percentage physical completion of Central Laboratory construction	N	Percentage	Cumulative	0%	N/A	N/A	N/A	100%	100%	100%
Percentage physical completion of BTS construction	N	Percentage	Cumulative	0%	N/A	N/A	N/A	100%	100%	100%
Percentage physical completion of NHTC student accommodation construction	N	Percentage	Cumulative	0%	N/A	N/A	N/A	100%	100%	100%

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTPUT LEVEL INDICATORS										
Percentage physical completion of NHTC staff accommodation construction	N	Percentage	Cumulative	0%	N/A	N/A	N/A	100%	100%	100%
Districts using computerized HMIS reporting	N	Number	Level	0	N/A	N/A	N/A	10	10	10
Hospital OPDs with EMRS	N	Number	Level	0	N/A	N/A	N/A	1	16	16
Health facility personnel trained in Infection, Prevention and Control	N	Number	Cumulative	0	N/A	N/A	N/A	90	130	130
District performance reviews	N	Number	Level	0	N/A	N/A	N/A	10	10	10
Level of satisfaction with district supervisory visits	N	Percentage	Level	0%	N/A	N/A	N/A	60%	80%	80%
Availability and use of ANC and Child Health Care resource guidelines in health facilities	N	Percentage	Level	0%	N/A	N/A	N/A	N/A	100%	100%
Stakeholders reached	N	Number	Cumulative	0	N/A	N/A	N/A	300	1,300	1,300
Community facilitators trained	N	Number	Cumulative	0	N/A	N/A	N/A	48	96	96

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
PROCESS LEVEL INDICATORS										
Temporary employment created	N	Number	Level	0	N/A	N/A	N/A	N/A	N/A	N/A
Amount of health infrastructure supervision and program management contracts disbursed	N	US Dollars	Cumulative	0.00	N/A	7,385,174.00	N/A	7,385,174.00	7,385,174.00	7,385,174.00
HCs construction works contract signed	N	Date	Date	N/A	N/A	30-Sept-2010	N/A	N/A	N/A	30-Sept-2010
Value of Health Center construction works	N	US Dollars	Level	0.00	N/A	42,088,013.00	N/A	N/A	N/A	42,088,013.00
OPD contract works signed	N	Date	Date	N/A	N/A	N/A	31-Oct-2010	N/A	N/A	31-Oct-2010
Value of OPD contract works	N	US Dollars	Level	0.00	N/A	N/A	11,500,000	N/A	N/A	11,500,000
Value of Central Laboratory construction works	N	US Dollars	Level	0.00	N/A	1,795,090	N/A	N/A	N/A	1,795,090
NHTC works contract signed	N	Date	Date	N/A	N/A	30-Sept-2010	N/A	N/A	N/A	30-Sept-2010
Value of NHTC works contract	N	US Dollars	Level	0.00	N/A	5,814,980	N/A	N/A	N/A	5,814,980
Blood Transfusion Services contract signed	N	Date	Date	N/A	N/A	30-Sept-2010	N/A	N/A	N/A	30-Sept-2010
Value of Blood Transfusion Services contract	N	US Dollars	Level	0.00	N/A	1,495,355	N/A	N/A	N/A	1,495,355
HSS contract signed	N	Date	Date	N/A	31-Jul-2009	N/A	N/A	N/A	N/A	31-Jul-2009
Value of HSS contract	N	US Dollars	Level	0.00	12,900,000	N/A	N/A	N/A	N/A	12,900,000
HSS contract amount	N	Percentage	Cumulative	0%	N/A	21%	51%	77%	100%	100%

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
PROCESS LEVEL INDICATORS										
disbursed										
HCWM contract signed	N	Date	Date	N/A	31-Jul-2009	N/A	N/A	N/A	N/A	31-Jul-2009
Value of HCWM contract	N	US Dollars	Level	0.00	2,153,119.00	N/A	N/A	N/A	N/A	2,153,119.00
HCWM contract amount disbursed	N	Percentage	Cumulative	0%	N/A	36%	61%	85%	100%	100%
Availability of EMPs	N	Percentage	Level	0%	N/A	N/A	N/A	100%	100%	100%
Compliance with EMPs	N	Percentage	Level	0%	N/A	N/A	N/A	100%	100%	100%
Availability of Health and Safety Plans	N	Percentage	Level	0%	N/A	N/A	N/A	100%	100%	100%
Compliance with Health and Safety Plans	N	Percentage	Level	0%	N/A	N/A	N/A	100%	100%	100%
Compliance with HIV and AIDS Plans	N	Percentage	Level	0%	N/A	N/A	N/A	100%	100%	100%
Availability of Trafficking in Persons (TIP) Strategy	N	Percentage	Level	0%	N/A	N/A	N/A	100%	100%	100%
Compliance with gender integration	N	Percentage	Level	0%	N/A	N/A	N/A	100%	100%	100%

C. WATER SECTOR PROJECT

IV. RURAL WATER AND SANITATION ACTIVITY

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTCOME LEVEL INDICATORS										
Diarrhoea notification rate per 1,000	N	Rate	Level	31	N/A	N/A	30	N/A	29	29
School days lost due to water borne disease	N	Number	Level	24	N/A	N/A	20	N/A	12	12
Households with access to improved latrines	N	Percentage	Cumulative	0%	N/A	N/A	15.5%	84%	100%	100%
People with access to rural water supply	N	Number	Cumulative	0	N/A	N/A	N/A	30,000	150,000	150,000
Knowledge of good hygiene practices	N	Percentage	Cumulative	0%	N/A	N/A	N/A	68%	100%	100%

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTPUT LEVEL INDICATORS										
VIP latrines built	N	Number	Cumulative	o	N/A	N/A	9,262	16,262	30,000	30,000
Water points constructed	Y	Number	Cumulative	o	N/A	N/A	N/A	170	250	250
Phase A Water systems constructed	N	Number	Cumulative	o	N/A	N/A	N/A	8o	8o	8o
Phase B Water systems constructed	N	Number	Cumulative	o	N/A	N/A	N/A	9o	9o	9o

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTPUT LEVEL INDICATORS										
Phase C Water systems constructed	N	Number	Cumulative	o	N/A	N/A	N/A	N/A	80	80
Water minders trained	N	Number	Cumulative	o	N/A	N/A	N/A	170	250	250
People trained in hygiene and sanitary best practices	Y	Number	Cumulative	o	N/A	N/A	N/A	90	170	170

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
PROCESS LEVEL INDICATORS										
Temporary employment generated in water and sanitation construction	Y	Number	Level	o	N/A	N/A	N/A	N/A	N/A	N/A
Design Review, Project Management and Construction Supervision PMCS amount disbursed	N	Percentage	Cumulative	0%	N/A	33%	64%	95%	100%	100%
Value of signed water and sanitation construction contracts	Y	US Dollars	Cumulative	0.00	N/A	11,143,192.00	22,778,248.00	TBD ¹⁰	TBD	TBD
Percent disbursed of water and sanitation construction contracts	Y	Percentage	Cumulative	0%	N/A	17%	71%	TBD	100%	100%
Construction of Phase B water and sanitation works contract signed.	N	Date	Date	N/A	N/A	N/A	Aug 31, 2011	N/A	N/A	Aug 31, 2011

¹⁰ To be declared. Information withheld for confidentiality reasons.

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
PROCESS LEVEL INDICATORS										
Value of Phase B water and sanitation works contract	N	US Dollars	Level	0.00	N/A	N/A	17,356,789.00	N/A	N/A	17,356,789.00
Amount of construction contract disbursed for Phase B water and sanitation contract	N	Percentage	Cumulative	0%	N/A	N/A	N/A	100%	100%	100%
Construction of Phase C water and sanitation works contract signed	N	Date	Date	N/A	N/A	N/A	N/A	Jul 31, 12	N/A	Jul 31, 12
Value of Phase C water and sanitation works contract	N	US Dollars	Level	0.00	N/A	N/A	N/A	TBD ¹¹	N/A	TBD
Amount of construction contract disbursed for Phase C water and sanitation contract	N	Percentage	Cumulative	0%	N/A	N/A	N/A	10%	100%	100%
Availability of EMPs	N	Percentage	Level	0%	N/A	N/A	N/A	68%	100%	100%
Compliance with EMPs	N	Percentage	Level	0%	N/A	N/A	N/A	68%	100%	100%
Availability of Health and Safety Plans	N	Percentage	Level	0%	N/A	N/A	N/A	68%	100%	100%
Compliance with Health and Safety Plans	N	Percentage	Level	0%	N/A	N/A	N/A	68%	100%	100%
Compliance with HIV and AIDS Plans	N	Percentage	Level	0%	N/A	N/A	N/A	68%	100%	100%
Availability of Trafficking in Persons (TIP) Strategy	N	Percentage	Level	0%	N/A	N/A	N/A	68%	100%	100%
Compliance with gender integration	N	Percentage	Level	0%	N/A	N/A	N/A	68%	100%	100%

¹¹ To be declared. Information withheld for confidentiality reasons.

V. URBAN AND PERI-URBAN AND METOLONG BULK WATER CONVEYANCE ACTIVITIES

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTCOME LEVEL INDICATORS										
Non Revenue Water	Y	Percentage	Level	29%	N/A	N/A	N/A	26%	25%	25%
OUTPUT LEVEL INDICATORS										
Water pipes coverage	N	Kilometers	Cumulative	o	N/A	N/A	N/A	99.94	173.67	173.67
Households with provisions to connect to water networks	N	Number	Cumulative	o	N/A	N/A	N/A	500	2,454	2,454
Reservoirs Constructed	N	Number	Cumulative	o	N/A	N/A	N/A	1	8	8
Rehabilitated Reservoirs	N	Number	Cumulative	o	N/A	N/A	N/A	1	4	4
Upgraded pumping stations	N	Number	Cumulative	o	N/A	N/A	N/A	N/A	3	3
Functioning Metolong water treatment plant	N	Date	Date	N/A	N/A	N/A	N/A	N/A	Jul 30, 2013	Jul 30, 2013

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
PROCESS LEVEL INDICATORS										
Temporary employment created	N	Number	Level	o	N/A	N/A	N/A	N/A	N/A	N/A
Metolong Water Treatment Works contract signed and awarded	N	Date	Date	N/A	N/A	30-Sept-10	N/A	N/A	N/A	30-Sept-10

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
PROCESS LEVEL INDICATORS										
Value of Metolong Water Treatment Works contract	N	US Dollars	Level	0.00	N/A	55,000,000	N/A	N/A	N/A	55,000,000
Percentage physical completion of Metolong Water Treatment Works contract	N	Percentage	Cumulative	0%	N/A	N/A	30.9%	78.2%	100%	100%
Amount of feasibility and/or detailed design and construction supervisory contract disbursed for urban water systems	N	US Dollar	Cumulative	0.00	N/A	1,785,834.40	4,464,586	4,464,586	4,464,586	4,464,586
Percentage physical completion of Package 1 (Maseru and Mazenod) urban water supply contract	N	Percentage	Cumulative	0%	N/A	N/A	N/A	100%	100%	100%
Percentage physical completion of Package 2 (Semonkong) urban water supply contract	N	Percentage	Cumulative	0%	N/A	N/A	70.5%	15.8%	100%	100%
Percentage physical completion of Package 3 (Mafeteng, Mohale's Hoek, Quthing and Qacha's Nek) urban water supply contract	N	Percentage	Cumulative	0%	N/A	N/A	47%	67.5%	100%	100%

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
PROCESS LEVEL INDICATORS										
Percentage physical completion of Package 4 (Mokhotlong, Botha-Bothe, Leribe and Maputsoe) urban water supply contract	N	Percentage	Cumulative	0%	N/A	N/A	58%	81.4%	100%	100%
Percentage physical completion of Package 5 (Mapoteng) urban water supply contract	N	Percentage	Cumulative	0%	N/A	N/A	N/A	81.2%	100%	100%
Finalize design and tender document for the urban water project	N	Date	Date	N/A	N/A	30-Apr-10	N/A	N/A	N/A	30-Apr-10
Availability of EMPs	N	Percentage	Level	0%	N/A	N/A	N/A	100%	100%	100%
Compliance with EMPs	N	Percentage	Level	0%	N/A	N/A	N/A	40%	100%	100%
Availability of Health and Safety Plans	N	Percentage	Level	0%	N/A	N/A	N/A	40%	100%	100%
Compliance with Health and Safety Plans	N	Percentage	Level	0%	N/A	N/A	N/A	40%	100%	100%
Compliance with HIV and AIDS Plans	N	Percentage	Level	0%	N/A	N/A	N/A	40%	100%	100%
Availability of Trafficking in Persons (TIP) Strategy	N	Percentage	Level	0%	N/A	N/A	N/A	40%	100%	100%
Compliance with gender integration	N	Percentage	Level	0%	N/A	N/A	N/A	40%	100%	100%

VI. WETLANDS RESTORATION AND REHABILITATION ACTIVITY

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTCOME LEVEL INDICATORS										
Grazing Capacity	N	au/ha/yr (Animal Unit/ Hectares/ Year)	Level	10.5	N/A	N/A	N/A	6.0	4.0	4.0
Catchment area receiving wetland remediation by re-vegetation	N	Meters squared	Cumulative	0	N/A	N/A	N/A	4,400	5,506	5,506
Adoption document signed	N	Date	Date	N/A	N/A	N/A	N/A	N/A	30-Aug-13	30-Aug-13
OUTPUT LEVEL INDICATORS										
Retention structures constructed	N	Number	Cumulative	0	N/A	N/A	97	195	208	208
People trained	N	Number	Cumulative	0	N/A	N/A	N/A	90	90	90
Alternative Livelihoods Income Generation Strategy adopted	N	Date	Date	N/A	N/A	N/A	N/A	N/A	30-Aug-13	30-Aug-13
Strategic Performance assessment (SPA) approved	N	Date	Date	N/A	N/A	N/A	N/A	N/A	31-May-13	31-May-13
PROCESS LEVEL INDICATORS										
Temporary employment created	N	Number	Level	0	N/A	N/A	N/A	N/A	N/A	N/A

D. PRIVATE SECTOR DEVELOPMENT PROJECT

I. CIVIL LEGAL REFORM ACTIVITY

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTCOME LEVEL INDICATORS										
Time required to enforce a contract	N	Days	Level	695	N/A	695	695	660	660	660
Cost required to complete procedures	N	Percentage	Level	19.5%	N/A	N/A	N/A	17%	9.8%	9.8%
Pending commercial cases	N	Number	Level	143	N/A	107	76	60	50	50
Cases that are successfully resolved in the commercial court	N	Number	Cumulative	2	N/A	N/A	N/A	200	250	250
Cases resolved in Small Claims Procedures	N	Percentage	Cumulative	0%	N/A	N/A	N/A	80%	85%	85%
Cases referred to Court Annexed Mediation that are successfully completed	N	Percentage	Level	0%	N/A	N/A	25%	54%	70%	70%

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTPUT LEVEL INDICATORS										
Cases filed at the commercial court	N	Number	Cumulative	216	N/A	243	450	1,100	1,200	1,200
Value of commercial cases in court	N	US Dollar	Cumulative	1 571 486	N/A	N/A	N/A	3 298 141	4 947 211	4 947 211
Cases filed in the Small Claims Procedure	N	Number	Cumulative	0	N/A	N/A	N/A	700	1 200	1 200
PROCESS LEVEL INDICATORS										
Civil Legal Consultant contract awarded	N	Date	Date	N/A	31-Mar-09	N/A	N/A	N/A	N/A	31-Mar-09
Value of civil legal consultant contract	N	US Dollar	Level	0.00	N/A	1 250 000	N/A	N/A	N/A	1 250 000
Civil legal consultant contract amount disbursed	N	US Dollar	Cumulative	0.00	369 565	956 522	1 250 000	1 250 000	1 250 000	1 250 000

II. CREDIT BUREAU ACTIVITY

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTCOME LEVEL INDICATORS										
Performing Loans	N	US Dollar	Level	191,371,286	235,906,286	291,584,714	348,165,951	424,345,539	491,589,292	491,589,292
Portfolio of loans	N	US Dollar	Level	195,371,000	243,577,286	300,999,143	349,413,857	425,561,429	492,997,857	492,997,857
Non-performing loans	N	US Dollar	Level	3,999,714	N/A	N/A	N/A	1,215,890	1,408,565	1,408,565
Credit Providers registered	N	Number	Level	0	N/A	N/A	N/A	10	25	25
Loan application processing time	N	Days	Level	7	7	7	3	3	2	2
OUTPUT LEVEL INDICATORS										
Data Protection Act	N	Date	Date	N/A	N/A	N/A	30-Dec-10	N/A	N/A	30-Dec-10
Credit Reporting Act	N	Date	Date	N/A	N/A	N/A	30-Dec-10	N/A	N/A	30-Dec-10
PROCESS LEVEL INDICATORS										
Award of contract for Drafting of the Laws	N	Date	Date	N/A	N/A	N/A	30-Dec-10	N/A	N/A	30-Dec-10
Award of contract for training of CBL Staff	N	Date	Date	N/A	N/A	N/A	30-Dec-10	N/A	N/A	30-Dec-10

III. DEBIT/SMART CARD ACTIVITY

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTCOME LEVEL INDICATORS										
New customers (Previously unbanked)	N	Number	Cumulative	o	N/A	N/A	N/A	16,272	24,000	24,000
OUTPUT LEVEL INDICATORS										
Debit/Smart cards issued	N	Number	Cumulative	o	N/A	N/A	50,000	50,000	50,000	50,000
New distribution points (Merchant POS)	N	Number	Cumulative	o	N/A	N/A	N/A	100	300	300
PROCESS LEVEL INDICATORS										
Interface LPB to core banking system installed	N	Date	Date	N/A	N/A	N/A	N/A	30-Apr-2012	N/A	30-Apr-2012
Integrated electronic transacting platform	N	Date	Date	N/A	N/A	N/A	N/A	30-Apr-2012	N/A	30-Apr-2012

IV. LAND ADMINISTRATION REFORM ACTIVITY

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTCOME LEVEL INDICATORS										
Time to process a lease	N	Days	Level	365 ¹²	180	N/A	101	30	30	30
Bonds registered	N	Number	Cumulative	148	148	418	758	1040	1 540	1 540
Value of bonds registered	N	US Dollar	Cumulative	9 763 117	N/A	N/A	N/A	N/A	14,000,000	14,000,000
Administrative cost to process a lease application	N	US Dollar	Level	167 ¹³	N/A	N/A	N/A	167	75	75
Secondary Land transactions recorded	N	Number	Cumulative	168	N/A	N/A	N/A	360	560	560
Percentage change in time for property transactions	Y	Percentage	Cumulative	0%	N/A	N/A	N/A	71%	71%	71%
Percentage change in cost for property transactions	Y	Percentage	Cumulative	0%	N/A	N/A	N/A	4.2%	6.3%	6.3%

¹² Baseline from Swedesurvey Baseline Report

¹³ Baseline from Swedesurvey Baseline Report

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTPUT LEVEL INDICATORS										
Urban land parcels regularized and registered	N	Number	Cumulative	0	N/A	N/A	5 000	18 000	55 000	55 000
Legal and regulatory reforms adopted	Y	Number	Cumulative	0	N/A	N/A	N/A	8	18	18
Land administration offices established or upgraded	Y	Number	Level	0	N/A	N/A	N/A	1	N/A	1
Stakeholders trained	Y	Number	Cumulative	0	N/A	75	175	223	263	263
Conflicts successfully mediated	Y	Number	Cumulative	0	N/A	N/A	N/A	271	451	451
Parcels corrected or incorporated in land system	Y	Number	Cumulative	0	N/A	N/A	N/A	35,000	65,000	65,000
Household land rights formalized	Y	Number	Cumulative	0	N/A	N/A	N/A	9 000	27,500	27,500

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
PROCESS LEVEL INDICATORS										
Land Act in place	N	Date	Date	N/A	30-Jun-09	N/A	N/A	N/A	N/A	30-Jun-09
LAA Act in place	N	Date	Date	N/A	30-Sep-09	N/A	N/A	N/A	N/A	30-Sep-09
Land regularization design consultant contract signed and awarded	N	Date	Date	N/A	31-Jul-09	N/A	N/A	N/A	N/A	31-Jul-09
Value of land regularization design consultant	N	US Dollar	Level	0.00	2 512 373.00	N/A	N/A	N/A	N/A	2 512 373
Land regularization design consultant contract amount disbursed	N	Percentage	Cumulative	0%	15%	74%	100%	100%	100%	100%
Land regularization implementation consultant contracted	N	Date	Date	N/A	N/A	31-March-2010	N/A	N/A	N/A	31-Mar-10
Value of land regularization implementation consultant	N	US Dollar	Level	0.00	N/A	N/A	6 807 144	N/A	N/A	6 807 144
Land regularization implementation contract amount disbursed	N	Percentage	Cumulative	0%	N/A	N/A	65%	65%	100%	100%
LAA Director contracted	N	Date	Date	N/A	N/A	31-Oct-2009	N/A	N/A	N/A	31-Oct-2009

V. GENDER IN ECONOMIC RIGHTS ACTIVITY

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTCOME LEVEL INDICATORS										
Percentage of public with knowledge of the new law	N	Percentage	Cumulative	76%	N/A	N/A	N/A	N/A	95%	95%
Percentage of public supporting gender equality in economic rights	N	Percentage	Cumulative	77%	N/A	N/A	N/A	N/A	90%	90%
Women holding titles to land	N	Number	Cumulative	2 955	N/A	N/A	N/A	N/A	6 000	6 000
OUTPUT LEVEL INDICATORS										
People trained	N	Number	Cumulative	0	2 000	3 000	5 000	6 000	6 000	6 000
People reached	N	Number	Cumulative	0	4 800	7 800	9 800	10 800	10 800	10 800
Training workshops	N	Number	Cumulative	0	N/A	N/A	N/A	240	240	240
Outreach activities conducted	N	Number	Cumulative	0	N/A	N/A	N/A	170	170	170

VI. NATIONAL IDENTIFICATION CARD ACTIVITY

Indicator	Common Indicator	Unit	Classification Type	Baseline	Remaining Targets					End of Compact Y[1 - 5]
					Y[1]	Y[2]	Y[3]	Y[4]	Y[5]	
OUTCOME LEVEL INDICATORS										
Eligible population with ID Cards	N	Number	Cumulative	0	N/A	N/A	87 500	437 500	735 500	735 500
Population registered in the national database	N	Percentage	Cumulative	0%	N/A	N/A	5%	15%	40%	40%